ICANN Quarterly Stakeholder Call

15 February 2017 | FY17 Q2 - Quarter ending 31 December 2016

Agenda

President & CEO Overview

Göran Marby

Board Update

Cherine Chalaby

Policy Update

Marika Konings

Adiel Akplogan

Management Update

Sally Costerton

Africa & Middle East Update

David Olive

Financial Update

Xavier Calvez

President & CEO Overview

Göran Marby
President & CEO

ICANN

Operation & Execution

- FY18 budget planning began

- 94% overall satisfaction rate in IANA customer service survey

- CEO report from Board Workshop available: **go.icann.org/2kdEo1n**

Organizational Enhancements to Strengthen Accountability and Transparency

1 **Process Flows and Manuals**

Clarifying processes and procedures for policy development and implementation, advice and for the reviews process

2 **ICANN Organization Q&A session at ICANN58**

Your opportunity to ask organizational-related questions to the Executive Team

3 **Strengthened Executive Team**

Jamie Hedlund is now SVP Consumer Safeguards and Contractual Compliance

Closing

- Nominating Committee seeks applications for several ICANN Community and PTI leadership positions:
    - 2 members of ICANN's **Board of Directors**
    - 2 members of the **GNSO Council**
    - 3 members of the **At Large Advisory Committee** (one each from: Africa, Asia/Australia/ Pacific Islands and Latin America/Caribbean Islands regions)
    - 1 member of the **ccNSO Council**
    - 2 members of **PTI's Board of Directors**

- **Applications due by 23:59 UTC on 21 March 2017.** Visit https://www.icann.org/nomcom2017 for more information.
    - Individuals interested in applying for ICANN positions, visit: Apply-ICANN
    - Individuals interested in applying for PTI positions, visit: Apply-PTI

- My trip to Africa in January
    - Addis Ababa, Ethiopia
    - Nairobi, Kenya – African GAC workshop

Engagement with the Community

1. Webinar on Human rights
2. DNA virtual town hall
3. Meeting with RSSAC

Update on Board Workshop in LA

1. New Structure (Five Block Model)
2. GAC Communique (Block 1)
3. Org wide Operational Improvements (Block 2)
4. Domain Name Space Issues (Block 3)
5. Process for community review budget overrun (Block 4)
6. ICANN & IGF (Block 5)

Policy Update

Marika Konings
Sr. Director Policy Development and Support
& Team Leader for GNSO

Adiel Akplogan
Vice President for Technical Engagement

ICANN

Generic Names Supporting Org. (GNSO)

MORE INFORMATION
https://www.icann.org/policy
http://gnso.icann.org/en/

New areas of work in Q2

- ⊙ Adoption of the Charter for a Cross-Community Working Group on new gTLD Auction Proceeds

Completed work in Q2

- Approval of the final framework for future Cross Community Working Groups
- Adoption of the GAC-GNSO Consultation Group on GAC Early Engagement in GNSO Policy Development Processes Final Status Report & Recommendations.
- Adoption of the GNSO Review Working Group Implementation Plan
- Adoption of the GNSO Review of GAC Communiqué from Hyderabad Acceptance of the final report of the GNSO Bylaws Drafting Team on all the new or additional rights and responsibilities that the GNSO has under the revised Bylaws

Country-Code Names Supporting Org. (ccNSO)

FY17 Q2 HIGHLIGHTS

30 resolutions adopted

3 Policy & policy related processes underway

25 ccNSO sessions at ICANN 57

20+ sessions at ICANN 56

MORE INFORMATION
https://www.icann.org/policy
http://ccnso.icann.org/

New areas of work in Q2

- ⊙ Creation of a ccNSO Drafting team charter ccNSO WG to define issues relating to review mechanism and retirement of ccTLDs
- ⊙ Participation as chartering organization in CCWG new gTLD Auction Proceeds

⊙ Completed work in Q2

- ⊙ Adoption of ccNSO internal Guidelines on selection and appointment of the ccNSO Representative on the Empowered Community Administration Committee and specific review teams
- ⊙ Adoption of the final framework for future Cross Community Working Groups (joint WG with GNSO)
- ⊙ General and special (AF and NA region) Council Elections Nomination of Chris Disspain Board seat 11, starting at ICANN's AGM 2017.

Number Resource Org. (NRO) & Address Supporting Org. (ASO)

- ASO elected Filiz Yilmaz (RIPE NCC region) as new ASO AC Chair. Louie Lee (ARIN Region) and Ricardo Patara (LACNIC region) remain as ASO AC Vice Chairs
  - https://aso.icann.org/aso-ac-nro-nc-elects-new-chair/

- NRO EC roles for 2017:
  - John Curran (ARIN) - Chair
  - Paul Wilson (APNIC) - Secretary
  - Alan Barrett (AFRINIC) - Treasurer

- The ASO AC is planning their annual face-to-face meeting during ICANN58 in Copenhagen.

- ASO Independent Review RFP completed
  - https://www.nro.net/news/request-for-proposals-for-consulting-services-independent-review-of-the-icann-address-supporting-organisation

Number Resource Org. (NRO) & Address Supporting Org. (ASO)

- ◉ Key element of the Internet Number Community Proposal, to ensure that the IANA Numbering Services Operator maintains the service level defined in the SLA, announced on October 4th
  https://www.nro.net/news/iana-numbering-services-review-committee-composition
  New members for 2017
  - Omo Oiaya (AFRINIC)
  - Brajesh Jain (APNIC)

- ◉ ASO/NRO representatives in Cross-Community Working Group on ICANN Accountability Stream 2
  - ◉ Izumi Okutani, Jorge Villa, Fiona Asonga, Athina Fragkouli and Michael Abejuela

- ◉ Upcoming RIR Meetings

  ❑ **APNIC 43 Ho Chi Minh, Vietnam 20 February – 2 March 2017**
  ❑ **ARIN 39 New Orleans, LA, USA 2 -5 April 2017**
  ❑ **RIPE 74 Budapest, Hungary 8 – 12 May 2017**
  ❑ **LACNIC 28 Foz de Iguaçu Brazil, 22-26 May 2017**
  ❑ **AFRINIC 26 Nairobi Kenia, 29 May – 2 June 2017**

Management Update

Sally Costerton
Sr. Advisor to the President & SVP of Global Stakeholder Engagement

ICANN

Executive Summary

- Public Technical Identifiers Statistics

- Internet Technology and Engineering update

- Status of ICANN reviews

- Global Domains Division report

- Contractual Compliance report

- Engagement update

Public Technical Identifier's (PTI) IANA Functions Customer Satisfaction Survey

94% **overall satisfaction rating.**

Customer Standing Committee report:

PTI satisfactorily met IANA Naming Function Service Level Agreements for October, November and December

PTI: IANA Functions

501
Domain-related requests

994
Protocol parameter-related requests

404
General inquiries

0 Number-related events

1 Key signing ceremony

1 October – 31 December 2016

Internet Technology / Office of the CTO Update

- Key signing key ceremony held in October in Culpeper, Virginia – the next root zone KSK was generated
  - More information: http://www.icann.org/kskroll

- Ongoing work to prepare to roll KSK continues

- Started the Open Data Initiative, a project to make ICANN's public data more easily accessible at ICANN57 in Hyderabad

- Initiated public comment period on the Identifier Technologies Health Indicators to gather community feedback on the project's definition of Internet health
  - More information: http://www.icann.org/ithi

- Informed of a law enforcement action to disrupt a botnet during the last week of 2016. The action involved multiple TLDs and registrars.

- Started collecting data for IPv6 readiness assessment

IT & Engineering Update

Enterprise Resourcing Platform (ERP) rolled out in November

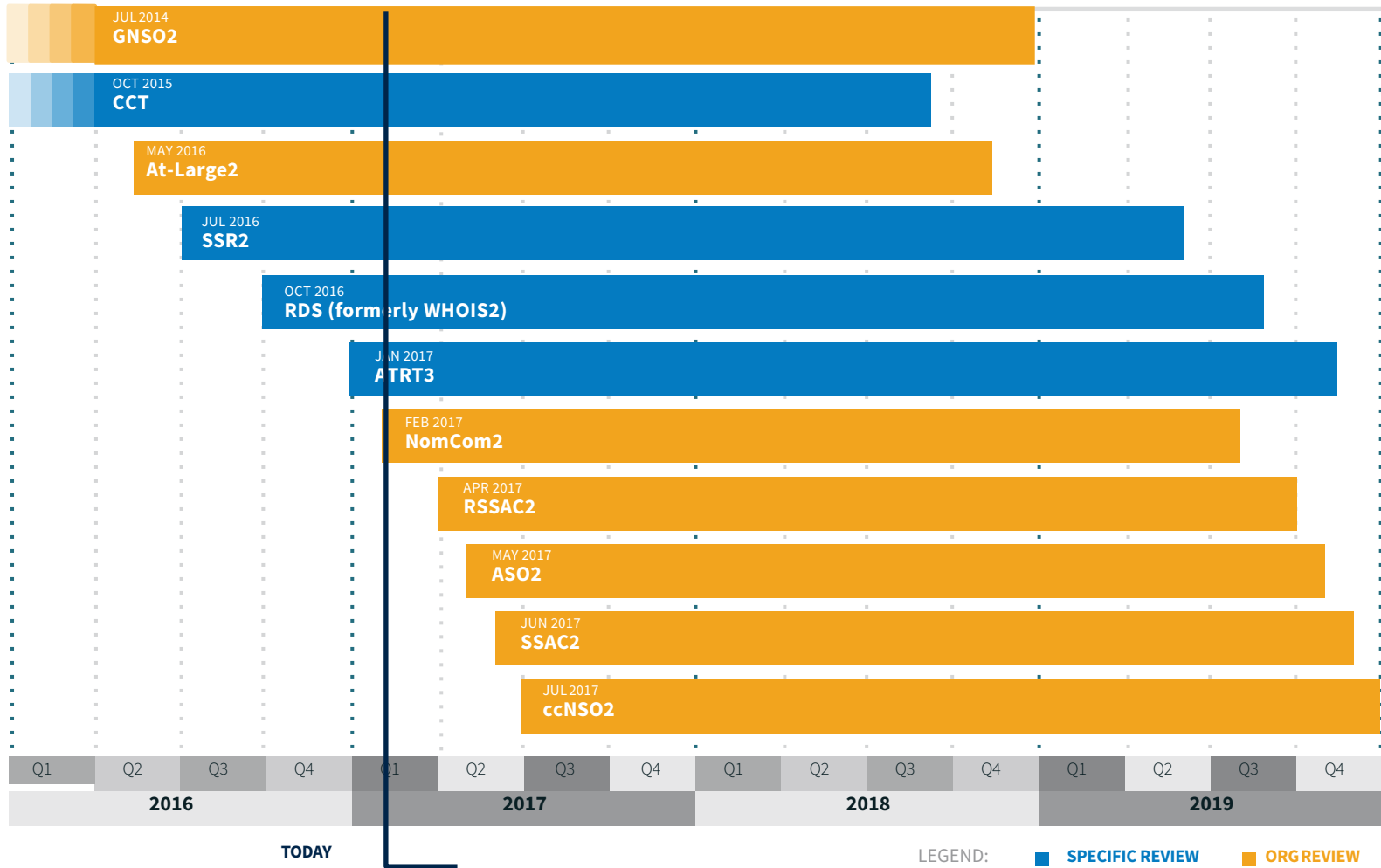Global Domains Division portal
- Registry services

Governmental Advisory Committee (GAC) website

Status of Reviews

Lines represent the Planning, Conducting, and Implementation phases of the Review process

Review	Start
GNSO2	JUL 2014
CCT	OCT 2015
At-Large2	MAY 2016
SSR2	JUL 2016
RDS (formerly WHOIS2)	OCT 2016
ATRT3	JAN 2017
NomCom2	FEB 2017
RSSAC2	APR 2017
ASO2	MAY 2017
SSAC2	JUN 2017
ccNSO2	JUL 2017

Q1	Q2	Q3	Q4	Q1	Q2	Q3	Q4	Q1	Q2	Q3	Q4	Q1	Q2	Q3	Q4
2016				**2017**				**2018**				**2019**			

TODAY

LEGEND: ■ SPECIFIC REVIEW   ■ ORG REVIEW

GDD: New gTLD Program

WHY IT'S IMPORTANT

The New gTLD Program is driving the largest expansion of the Domain Name System ever. Hundreds of new top-level domain names are being introduced into the Internet on a rolling basis.

The New gTLD Program is meant to enhance competition, innovation and choice.

1,229 **Total registry agreements signed.**

1,215 **Total strings delegated.**

- ⊙ Net auction proceeds: $233 million
  - ⊙ Proceeds reserved.
  - ⊙ Cross-community working group to develop proposal(s) for mechanism for allocating new gTLD auction proceeds.

*as of 31 December 2016

GDD: Internationalized Domain Names

WHY IT'S IMPORTANT

IDNs at the top-level enable Internet users to access domain names in local languages and scripts.

IDN ccTLDs allow countries and territories to represent their respective names scripts other than US-ASCII characters.

- Greek script generation panel formed
- Lao and Thai script rules finalized and issued for public comment

Overall Statistics:

13 Generation Panels seated, working or completed

6 Label Generation Rules finalized

MORE INFORMATION: https://www.icann.org/IDN

*as of 31 December 2016

Global Domains Division

Standardized Framework for Release of Two-Character Labels

- Measures for Two-Character ASCII Labels to Avoid Confusion with Corresponding Country Codes Letter/Letter

Reports:

- Staff Report of Public Comment Proceeding regarding Proposed Amendments to Base New gTLD Registry Agreement (reissued)

- WHOIS Accuracy Reporting System

- gTLD Marketplace Health Index

Public Comment Activities:

- Phase II Assessment of the Competitive Effects Associated with the New gTLD Program

- Draft report on Continuous Data-Driven Analysis of Root Server System Stability

- Revised Registry Registration Data Directory Services Consistent Labeling and Display Policy

  - Summary report

GDD: Global Support Center Tickets

Case Volume Trending
Cases Created & Resolved

Legend: New Cases Created, Resolved Cases
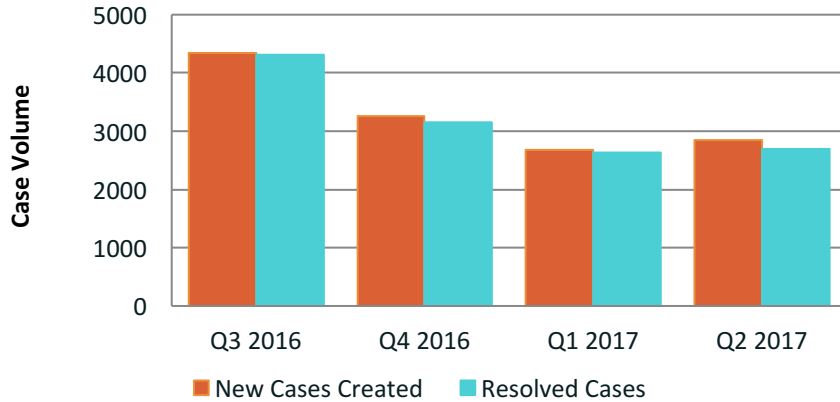
Case Backlog
Quarter End Volume Trending

Legend: Inquiry Backlog
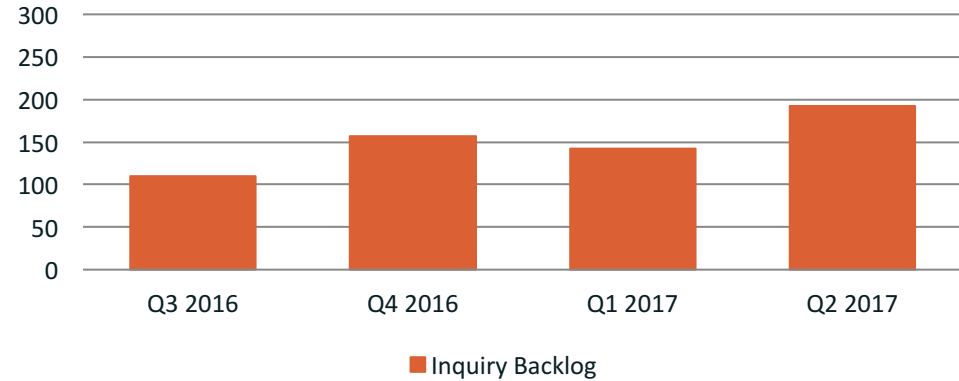
Status Frequency
Communication & Updates

Legend: Last Response SLT, % Last Reponse within SLT
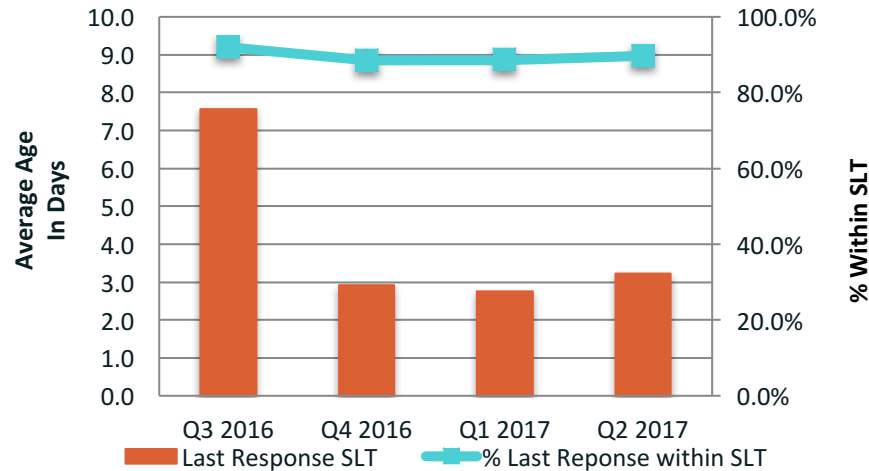
Resolution Time Target
Case Aging

Legend: Resolution Ave Age (I), % of Resolved within SLT
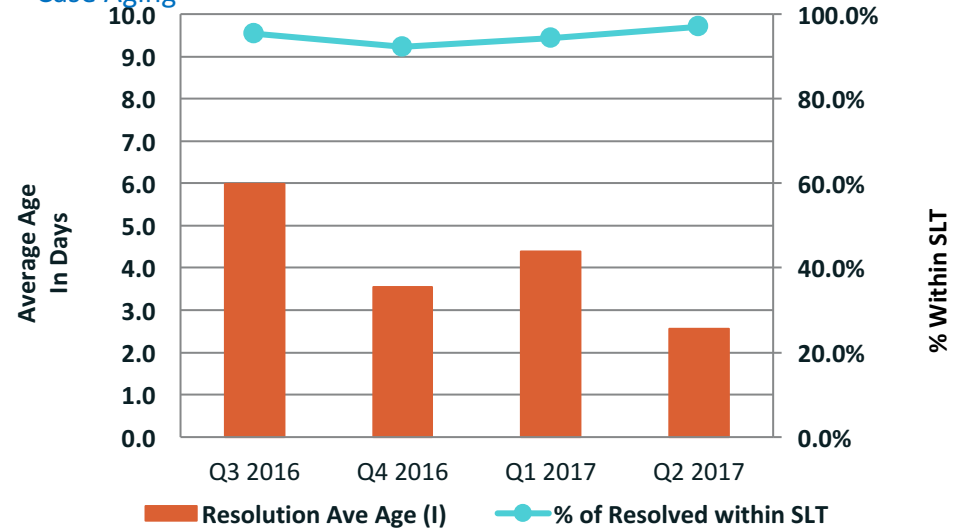
Contractual Compliance

REGISTRAR AUDITS – 2013 RAA

October 4, 2016 Round

Total of **55** Registrars

46 registrars for full audit

1 terminated due to not responding

9 registrars for partial re-audit

	Total New Volume (In Thousand)	Total Closed Volume (In Thousand)	Closure Rate (Target >=5.5) (55%)	Received to Closed Turn Around Time (Business Days)
■ Q1 FY17	12.166	12.018	7.18	10.7
■ Q2 FY17	13.198	10.942	6.47	10

For more information, please visit: https://www.icann.org/compliance

Programs to Support the Community

As of ICANN57

Fellowship

47 Fellows attended ICANN57

- 29 were first time Fellows
- 1 was an honorary Fellow
- 15 were program Alumni
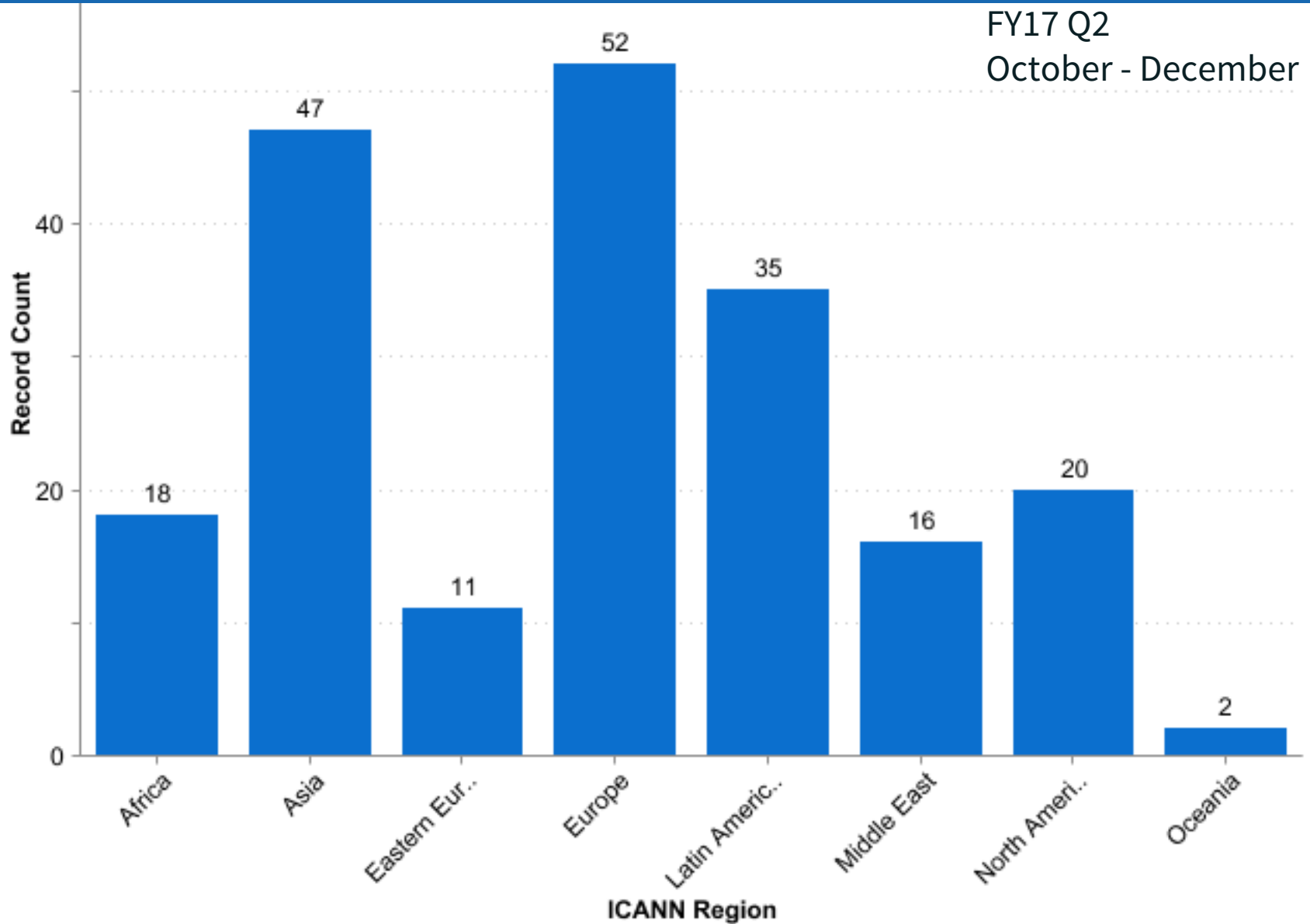
NextGen

15 participants at ICANN57

- 5 Ambassadors who've previously attended an ICANN meeting

Newcomer

1,981 Newcomers at ICANN57

Engagement Events by Region

Government Engagement

- **ICANN Studenkries**, Dubrovnik, Croatia, Oct 6-7
- **Commonwealth Secretariat**, London UK, Nov 11
- **Global Internet and Jurisdiction Conference**, Paris France, Nov 14-16
- **Director CEB – UN**, New York, Nov 15
- **UN General Assembly**, Second and Third committees 71st session, New York, NY Nov 11-23
- **ITU SG** and other Bilateral engagements for CEO with IGO and IO in Geneva, December 1-2
- **Internet Governance Forum 2016**, including bilateral meetings with UN Assistant Secretary General and various government officials and other stakeholders, (e.g. South Africa, Japan, Pakistan, Members of European Parliament and representatives from Council of Europe and UNESCO) Guadalajara Mexico, December 5-9
- **G20 OECD** preparatory meeting, Berlin Germany, Jan 12
- **Committee on Science Technology and Development WG on Enhanced Cooperation**, Geneva, Switzerland Jan 26-27
- **GAC Underserved Regions Workshop** for African region GAC and community members, Nairobi Kenya, Jan 23-24
- **African Union** visit Addis Abba, Ethiopia Jan 25
- **African Region** law enforcement collaboration workshop Nairobi Kenya, Jan 25-26
- Collaborative outreach event with ISOC and IEEE for government missions to the UN, New York, Jan 30th
- Numerous bilateral meetings with regional government and IGO officials
- Support and participation in numerous national and regional IGF

The Istanbul Hub

- Istanbul Hub for Europe, Middle East and Africa (EMEA) region opened May 2013
- 53 members across EMEA, of which 20 are based in Istanbul's hub office
- ICANN services provided:
  - Policy development support
  - Contractual compliance
  - Registries and registrars services
  - Stakeholder engagement
  - Communications
  - ICANN meetings
  - Global contact services
  - Human resources, IT, administration and board support.

- Also support EMEA Engagement offices:
  - ❖ Brussels
  - ❖ Geneva
  - ❖ Nairobi

EMEA Registry Operators
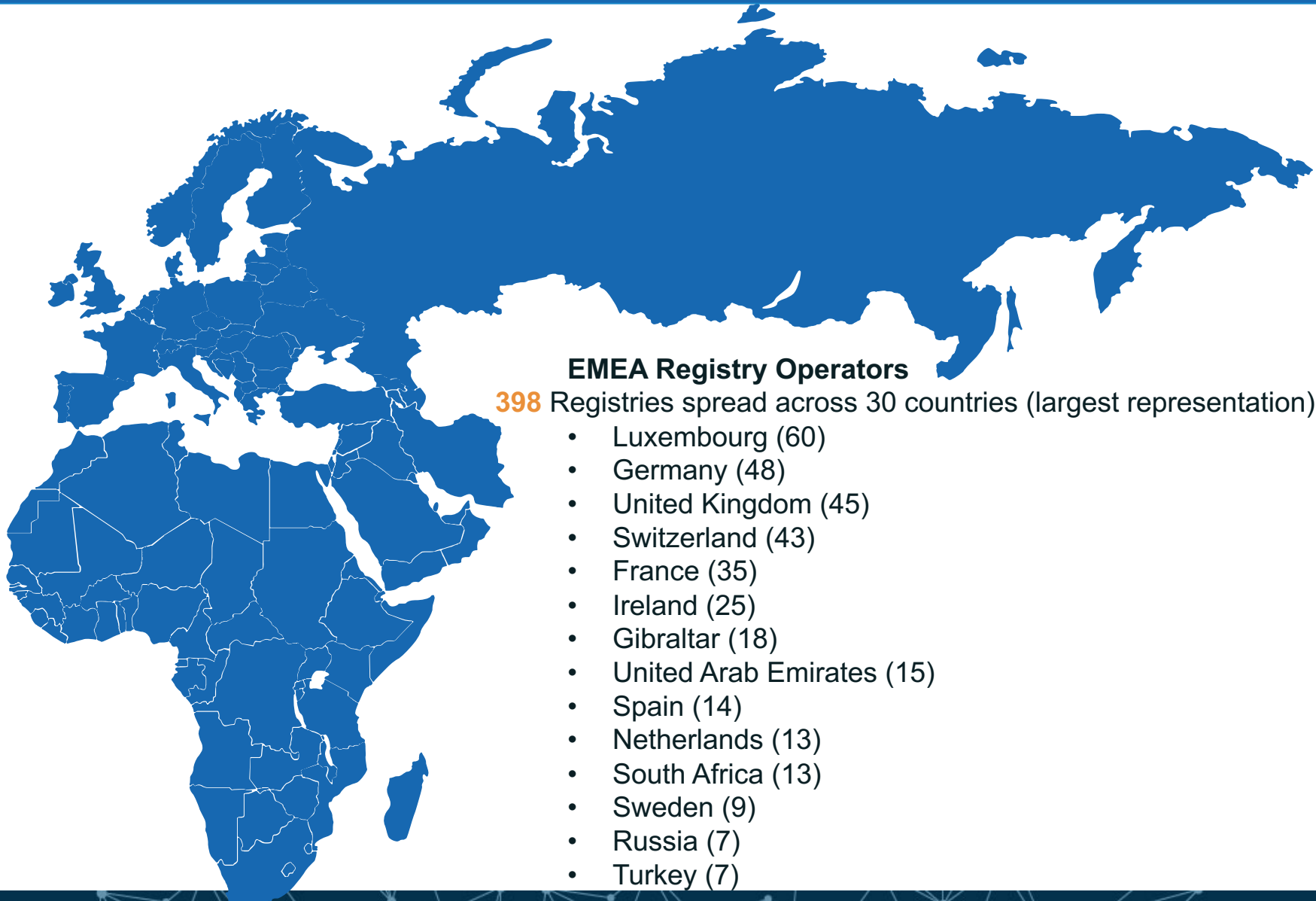
EMEA Registry Operators

398 Registries spread across 30 countries (largest representation):

- Luxembourg (60)
- Germany (48)
- United Kingdom (45)
- Switzerland (43)
- France (35)
- Ireland (25)
- Gibraltar (18)
- United Arab Emirates (15)
- Spain (14)
- Netherlands (13)
- South Africa (13)
- Sweden (9)
- Russia (7)
- Turkey (7)

EMEA Registrars

EMEA Registrars

192 Registrars spread across 40 countries
(Largest representation):
- Germany (27)
- United Kingdom (24)
- France (18)
- Netherlands (15)
- Spain (13)
- Russia (9)
- Italy (8)
- Sweden (8)
- Turkey (8)
- Ireland (5)
- UAE (5)
- Ukraine (3)
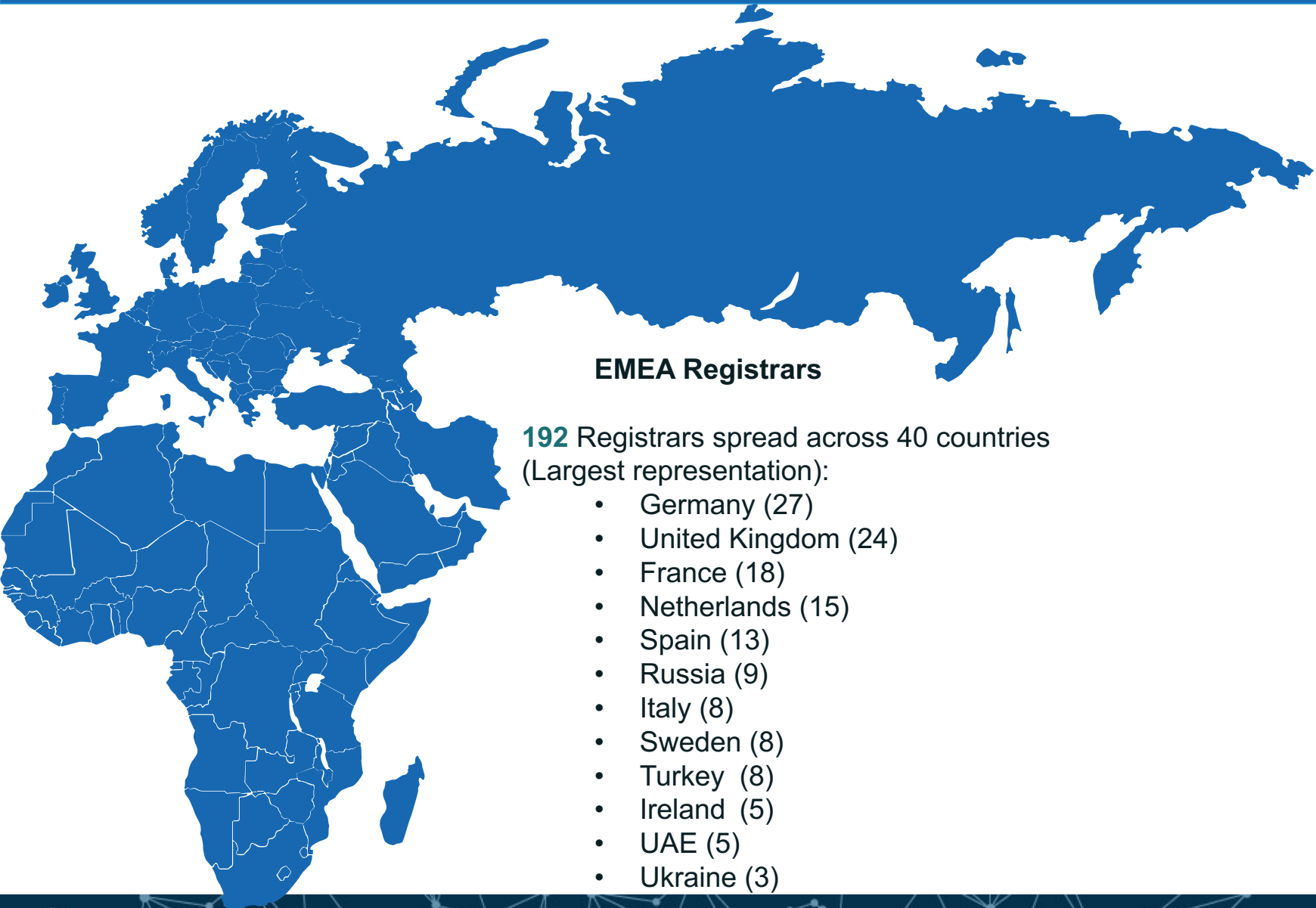
Middle East and Adjoining Countries Update

Middle East and Adjoining Countries 2016-2019 Strategy

JUN 2016	28 OCT 2016	8 NOV 2016	18 NOV 2016	7 DEC 2016	29 DEC 2016
Strategy WG is formed and work commences	Draft strategy document posted for Public Comments	Update Session at ICANN 57 in Hyderabad	Public Comment Period Closed	Final Strategy Document Published	Final Year 1 Implementation Plan published

Middle East & Adjoining Countries Strategy 2016-2019
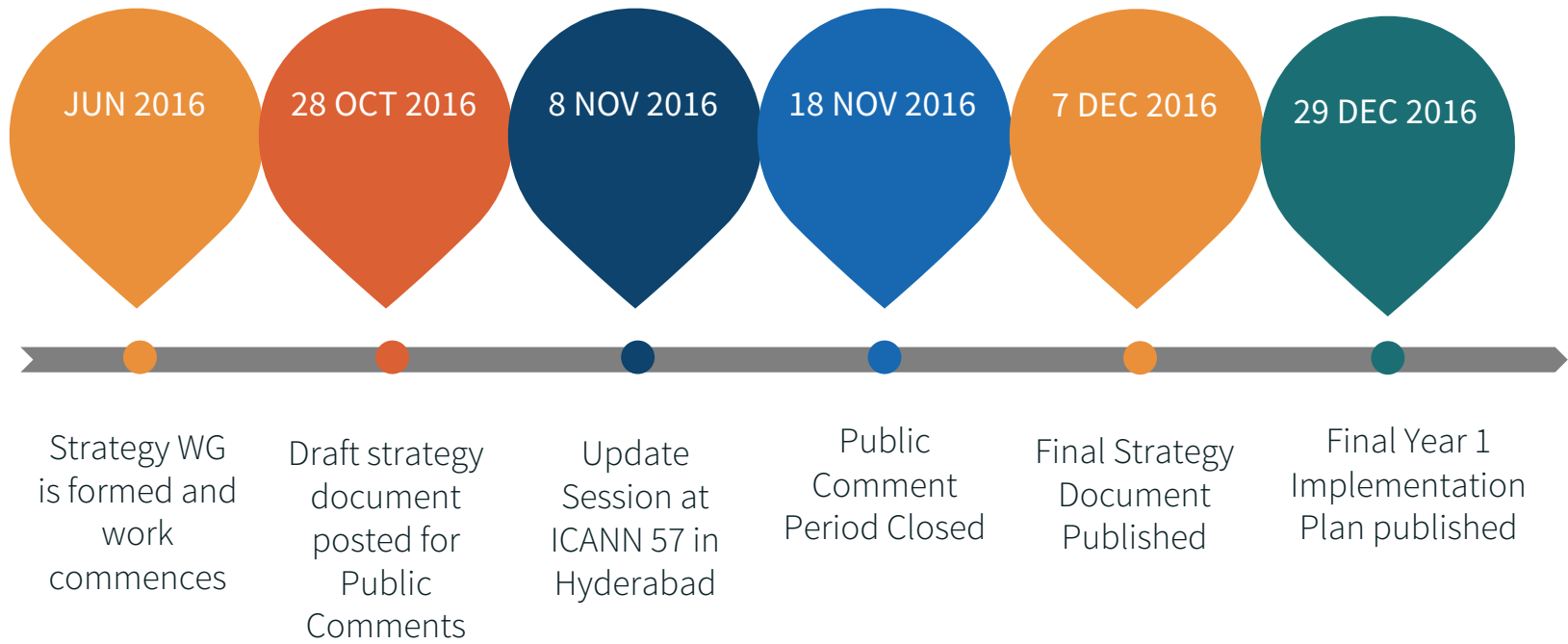
MISSION STATEMENT

Attract more active and engaged participants from the MEAC region to contribute to ICANN's policy development processes and to acquire leading roles within the ICANN community.

STRATEGIC FOCUS AREAS

1. Support **secure, stable, and resilient DNS** infrastructure in the MEAC region;
2. Promote a healthy and competitive **domain name marketplace** in the MEAC region; and
3. Clarify **ICANN's role** in an evolving Internet ecosystem through engagement with the MEAC community.

YEAR 1 IMPLEMENTATION PLAN

1. Develop tailored and **customized programs for engaging various stakeholder** groups from academia, civil society, governments, among others;

2. Support the **DNS Entrepreneurship Center** in developing local expertise to help grow the domain name market in the region;

3. Develop local capacities through the **"train the trainer" program** to support capacity building activities in the region.

Capacity-Building

DNSSEC training, Turkey – Sep 2016

Youth Education Initiative, Tunisia – Oct–Dec 2016

DNS Abuse and Misuse for LEAs and CERTs in UAE, Qatar, Lebanon – Oct 2016

Task Force on Arabic Script IDNs

- Formed by the community in Oct 2013 as an outcome of the Middle East Strategy work
- First linguistic group to tackle Label Generation Rules (LGR) at the root level and made its final submission at ICANN55 in Marrakech
- Currently working on:
  - LGR at the second level; and
  - Universal acceptance of Arabic script IDNs
  - Last face-to-face meeting took place at the ICANN Istanbul Hub 24-26 September

TF-AIDN at ICANN Istanbul Hub

Looking Ahead: Deepening ICANN's work in the ME

Outreach to All Stakeholders

- Seek more innovative ways to engage with SMEs and entrepreneurs
- Strengthen engagement with governments in the region
- More systematic engagement with Academia
- Strengthen Civil Society Engagement
- Preparations leading to ICANN 60 in Abu Dhabi

Encouraging Participation in ICANN Programs

- ICANN Fellowship Program
- ICANN NextGen Program (for ICANN 60)
- Raise more awareness on ICANN Learn, and encourage stakeholder to contribute to the platform
- Promote the creation of Remote Hubs for ICANN Meetings
- Seek ways to better engage community in the different ICANN working groups

Training and Supporting Stakeholders

- Continue academic engagement program with CCK in Tunisia, and seek more similar partnerships
- Continue to support the the DNS Entrepreneurship Center
- Be part of the Middle East NOG roadshows, lead on DNS related training, and DNSSEC deployment
- Support more local trainers to become lead trainers on DNS and DNSSEC
- Train CERTs and LEAs, and strengthen engagement with them

Africa Update

Overarching Objectives of the Africa Strategy

Transform the DNS & Internet Industry in Africa by
Facilitating capacity development and Cultivating an environment for the emergence of an Africa grown domain name industry and business.

Promote Awareness and Meaningful Participation of Africa in ICANN & the wider IG Ecosystem in partnership with other I* and Af* Organizations.

Over the last four years and as we begin a new season in the Post Transition ICANN, I am confident that we, working with our community, have laid a strong foundation for ICANN in Africa.

Key Highlights in the last Quarter

- Organized and conducted an IDN Workshop for the Ethiopic Script - Addis Ethiopia

- Outreach to Academia: Dodoma University – TZ and Ubuntunet 2016 - Uganda

- Participation in National, Sub Regional (West Africa) and Africa IGF 2016

- Participation in AFRINIC - 25 held in Mauritius

- Supported Africa School of IG 2016

- Engagement with Gov and Parliament of Namibia in collaboration with CTO (Dec 2016)

- Participation in ITU regional events such as the CBS 2016, WDTC - 17 Africa Prep Meetings

- Organized a Training of Trainers Workshop in Burkina Faso - Sept 2016

- Participated in iWEEK 2016 - South Africa

- Held DNSSEC Roadshow, Youth Comm and DNS Business Training in Benin (Dec 2016)

Our Africa Engagement Office in Nairobi has hosted two premier events so far

1. **In October 2016,**

    - We held the first Africa Stakeholder Briefing Day in Nairobi. The meeting aimed at *promoting the localization of the multistakeholder model at the national level*

2. **In Jan 2017,**

    - We hosted the first capacity-building workshop for the Africa GAC community. Göran Marby also attended.

Looking ahead: Deepening ICANN's work in Africa

Outreach to All Stakeholders

+ Deepen our Engagement with Private Sector (Business Community)

+ Deepen Engagement with governments and possibly more GAC reps

+ Key focus on New audience in ICANN (Work with Academia)

Encouraging Participation in ICANN Programs

Encourage the African community to participate in ICANN's available programs, including online learning opportunities, CROPP, attending events in Africa, supporting the development of these programs, and encouraging new programs such as DNS Entreprenuership and ccTLD education programs.

We hope to officially launch the Africa DNS Market Study at ICANN58

Training and Support to Stakeholders

- **We plan to keep supporting our flagship DNSSEC project with focus on signings for the countries already trained.**

- **Encourage the IDN program in Africa and focus more on Supporting DNS Entrepreneurship.**

- **We also seek to keep hosting our annual Topical Workshop program in partnership with other institutions**.

ICANN

ICANN Organization Reporting Structure

ICANN OPERATIONS		New gTLD PROGRAM	ICANN ORGANIZATION
FUNDING	PTI/ IANA **	FUNDING	
BASELINE EXPENSES *	PTI/ IANA **	IANA STEWARDSHIP TRANSITION EXPENSES	EXPENSES	
OPERATING FUND	RESERVE FUND	New gTLD FUNDS	
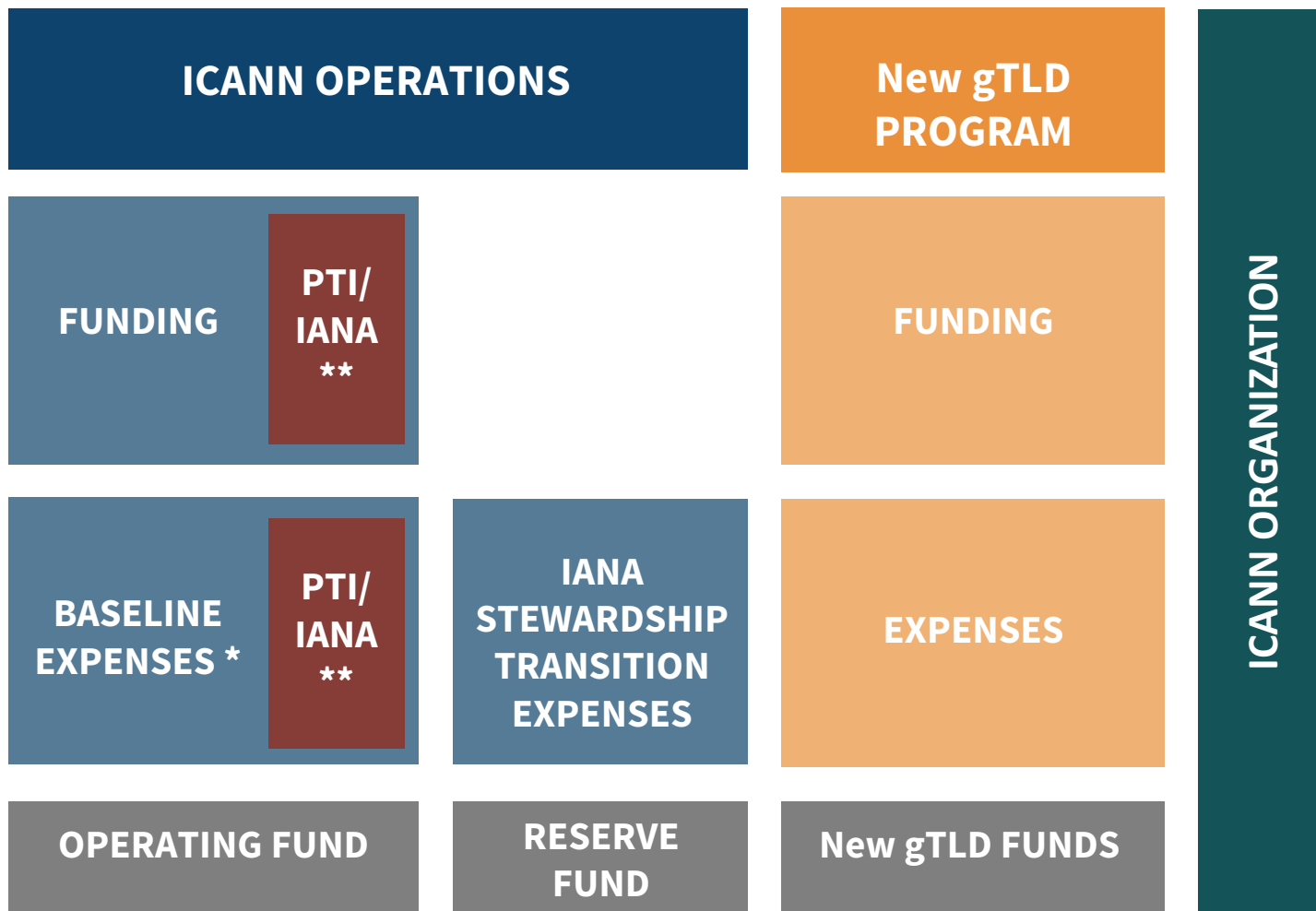
*Excludes depreciation and bad debt expenses

**IANA Services includes ICANN's and PTI's IANA Expenses

ICANN OPERATIONS

Funding slightly ahead of target and Expenses below target.

	Actual	Budget	Var	% Var	FY16	Var	% Var
Funding	$ 65	$ 64	$ 1	1 %	$ 56	$ 8	15 %
Baseline Expenses	-$ 57	-$ 62	$ 5	9 %	-$ 47	-$ 10	-20 %
IANA Stewardship Transition Expenses	-$ 4	-$ 4	-$ 1	-21 %	-$ 9	$ 5	-50 %
Net	$ 4	-$ 2	$ 5	n/a	$ 0	$ 4	n/a

Breakdown of Baseline Expenses	Actual	Budget	Var	% Var	FY16	Var	% Var
IANA Services*	-$ 4	-$ 4	$ 1	15 %	-$ 4	-$ 0	-9 %
All Other	-$ 53	-$ 58	$ 5	8 %	-$ 44	-$ 9	-21 %

*IANA Services includes ICANN's and PTI's Expenses relative to IANA functions.

Note: Expenses exclude bad debt and depreciation and include capital expenses.

FY17 Year-to-date (Jul-Dec 2016) Funding vs Budget and FY16

Funding slightly ahead of target, though transaction volumes below expectations.

Actual:	$ 65
Budget:	$ 64
FY16:	$ 56

REGISTRY TRANSACTION FEE
- Act $ 24
- Bud $ 26
- FY16 $ 23

REGISTRAR TRANSACTION FEE
- Act $ 15
- Bud $ 17
- FY16 $ 15

REGISTRY FIXED FEE
- Act $15
- Bud $ 15
- FY16 $9

REGISTRAR OTHER FEES
- Act $ 8
- Bud $ 5
- FY16 $8

OTHER
- Act $2
- Bud $ 2
- FY16 $ 1
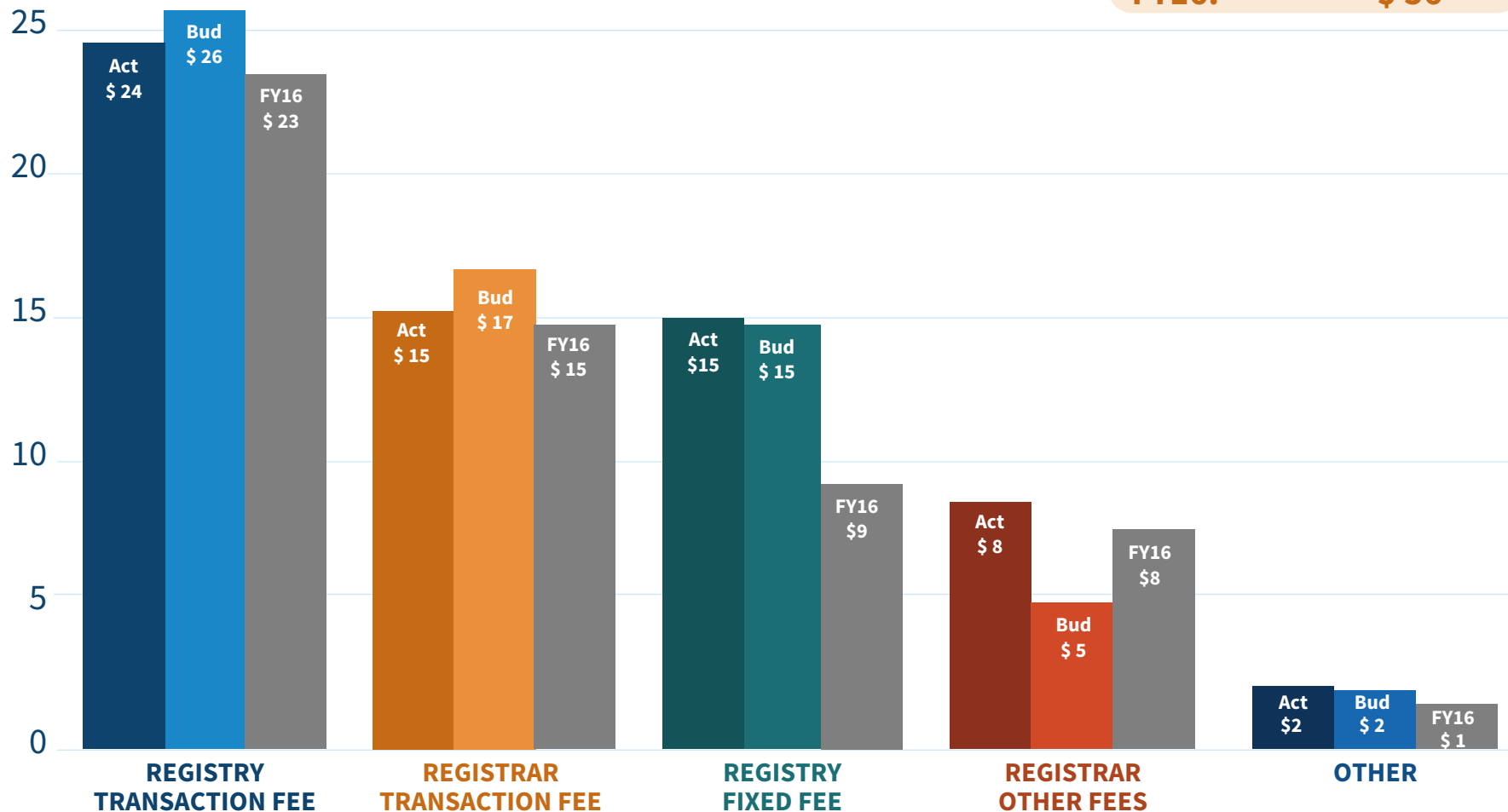
FY17 Year-to-date (Jul-Dec 2016) Baseline Expenses vs Budget and FY16

Lower personnel costs and timing differences of projects vs. plan.

Actual:	$ 57
Budget:	$ 62
FY16:	$ 47

Chart values:

PERSONNEL
- Act $ 27 avg hdct 340
- Bud $ 32 avg hdct 364
- FY16 $ 26 avg. hdct 313

TRAVEL & MEETINGS
- Act $ 8
- Bud $ 7
- FY16 $ 6

PROFESSIONAL SERVICES
- Act $ 9
- Bud $12
- FY16 $ 6

ADMINISTRATION & OTHER
- Act $ 9
- Bud $ 9
- FY16 $ 7

CAPITAL
- Act $ 4
- Bud $ 3
- FY16 $ 2
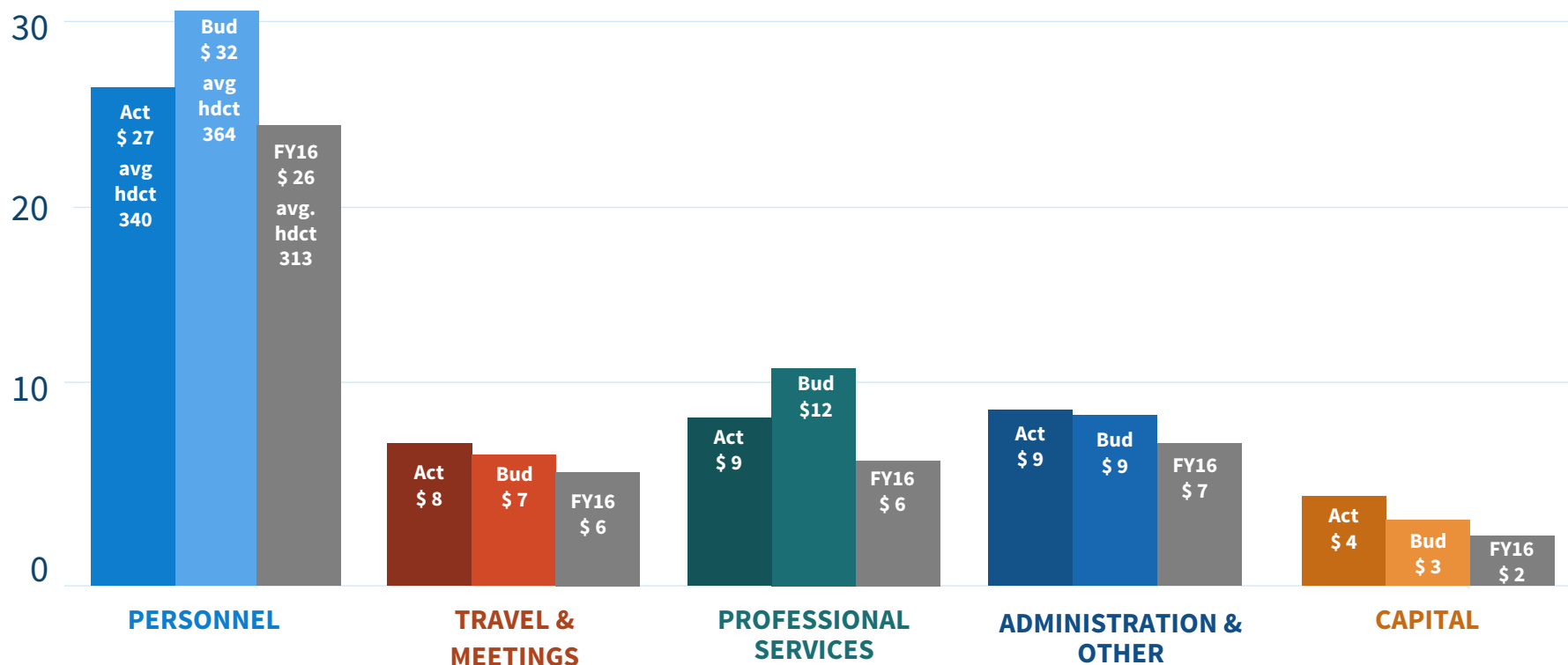
Expenses exclude bad debt and depreciation.
Avg hdct: Average Headcount over a 6-month period (Jul - Dec 2016)

FY17 Year-to-date (Jul-Dec 2016) IANA Stewardship Transition Costs

Actual:	**$ 4**
Budget:	**$ 4**
FY16:	**$ 9**

PERSONNEL
- Act $1.3 avg hdct 11.1
- Bud $1.4 avg hdct 11.1
- FY16 $1.2 avg. hdct 10.3

TRAVEL & MEETINGS
- Act $ 0.1
- Bud $0.3
- FY16 $ 0.7

PROFESSIONAL SERVICES *
- Act $ 3
- Bud $ 1.9
- FY16 $ 7

ADMINISTRATION & OTHER
- Act $ 0
- Bud $ 0
- FY16 $ 0.1

CAPITAL
- Act $ 0
- Bud $ 0.1
- FY16 $ 0.1
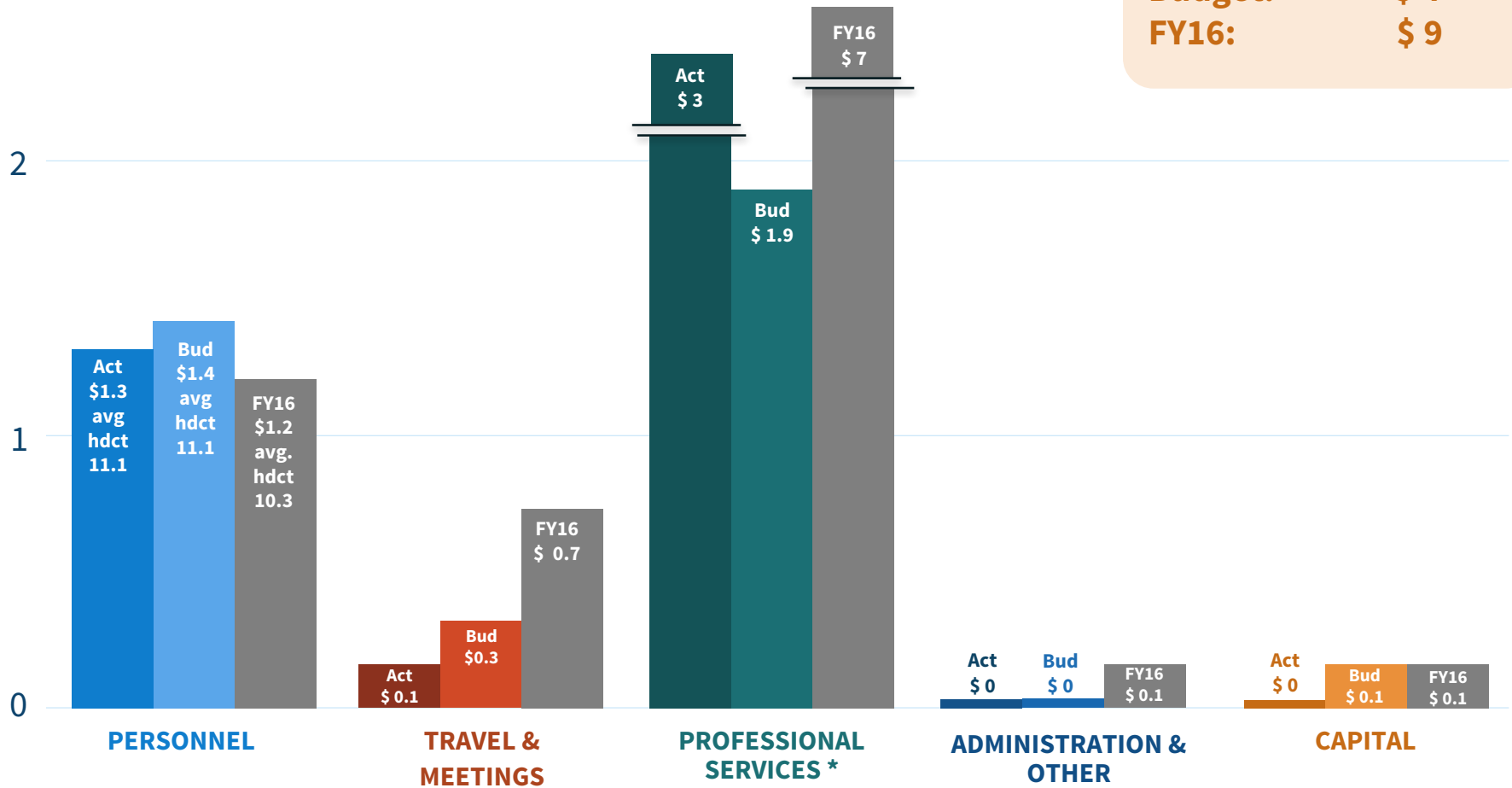
*Includes external legal advice of $1.8M in FY17 and $4.4M in FY16.

FY17 Year-to-date (Jul-Dec 2016) New gTLD Program Expenses vs Budget and FY16

New gTLD PROGRAM

Lower refunds of withdrawn applications. Processing costs higher than target driven by defense costs and auction fees.

Actual:	$ 15
Budget:	$ 14
FY16:	$ 13

REFUNDS OF WITHDRAWN APPLICATIONS
- Act $ 1
- Bud $ 5
- FY16 $ 2

APPLICATION PROCESSING
- Act $ 13
- Bud $ 8
- FY16 $ 10

REPAYMENT OF HISTORICAL DEV. COSTS
- Act $ 1
- Bud $ 1
- FY16 $ 1
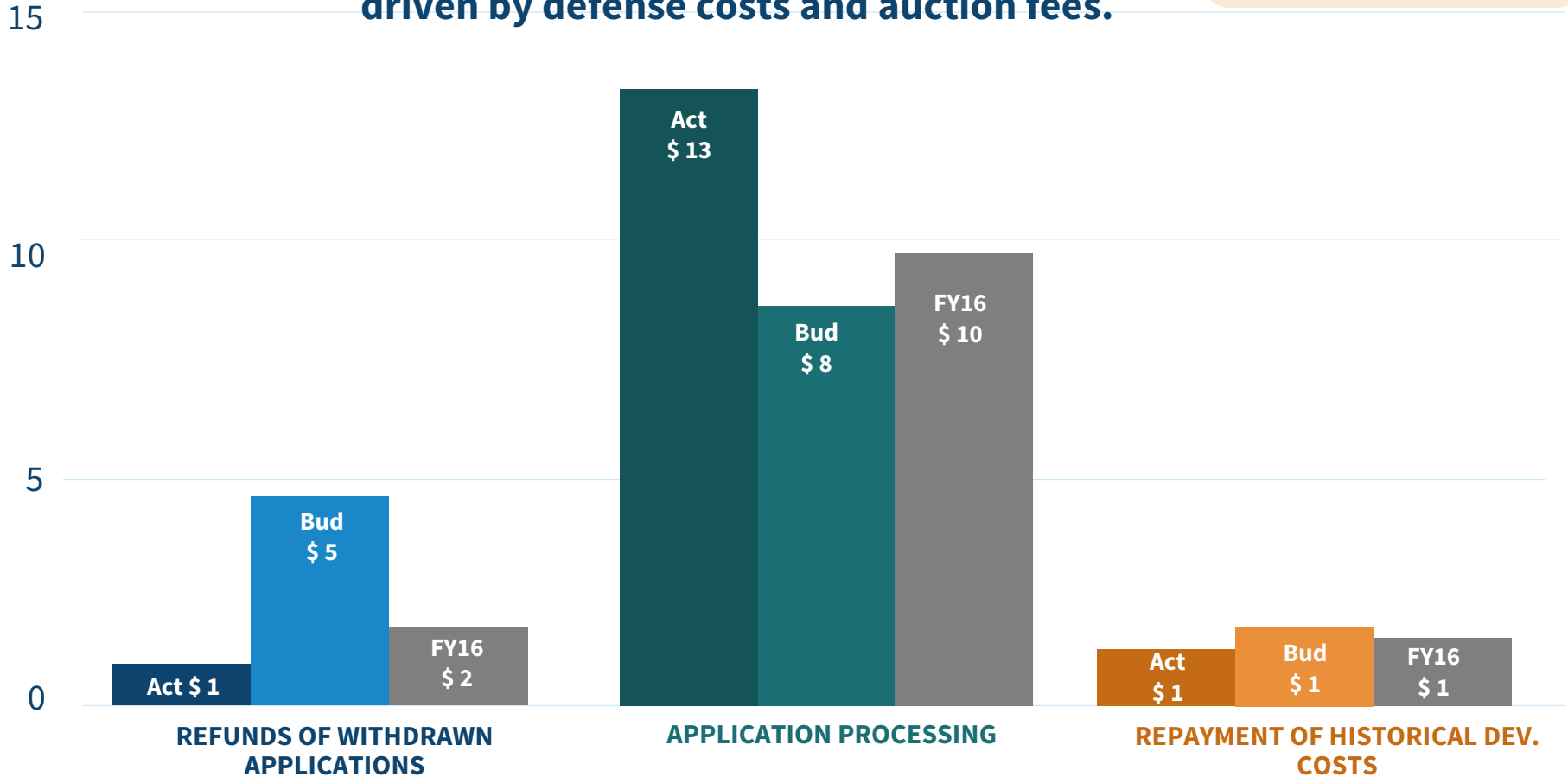
Funds Under Management as of 30 Jun and 31 Dec 2016

FUNDS UNDER MGMT.

Total Funds: **$ 464**
ICANN Operations: $ 101
New gTLD Program-related: $ 364

ICANN Operations

$ 97
Operating Fund $ 26
Reserve Fund $ 71
30 Jun 2016

$ 101
Operating Fund $ 38
Reserve Fund $ 62
31 Dec 2016

New gTLD Program

$ 244
New gTLD Funds $ 142
Auction Proceeds $ 102
30 Jun 2016

$ 364
New gTLD Funds $ 129
Auction Proceeds $ 235
31 Dec 2016
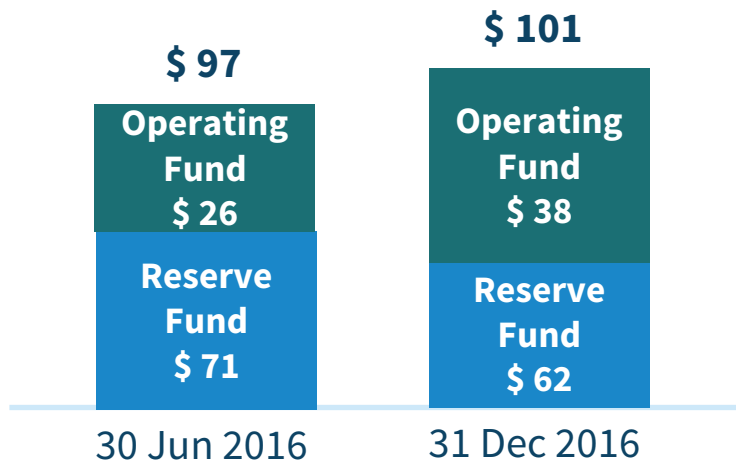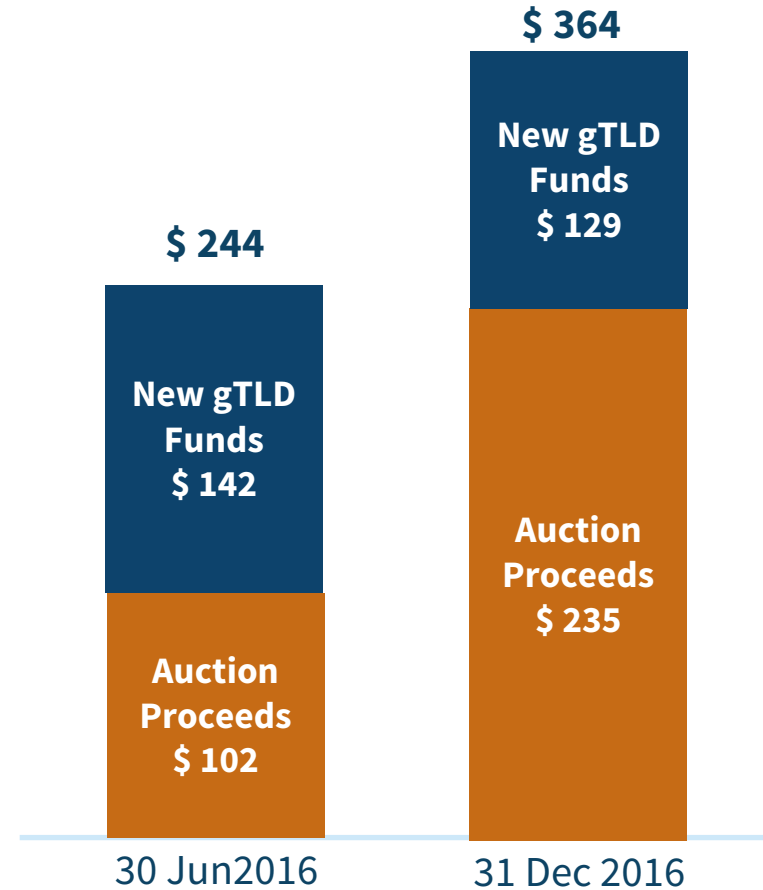
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Thank You and Questions

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Appendix

FY17 Year-to-date (Jul-Dec 2016) Total ICANN Organization Actuals

	ICANN Baseline Operations	IANA Stewardship Transition	Total ICANN Operations	New gTLD Program	Total ICANN Org.
Funding	$ 65	$ 0	$ 65	$ 148	$ 212
Expenses	-$ 57	-$ 4	-$ 61	$ -15	$ 76
Net	**$ 8**	**-$ 4**	**$ 4**	**$ 133**	**$ 136**

*Expenses exclude bad debt and depreciation.

FY17 Year-to-date (Jul-Dec 2016) Funding

	Driven By Domain Name Registrations	Driven By # of Contracted Parties
FROM REGISTRIES	Transaction-based fees **$24M** 38%	Per-TLD fixed fees **$15M** 23%
FROM REGISTRARS	Transaction-based fees **$15M** 24%	Application fees Accreditation fees **$8M** 13%
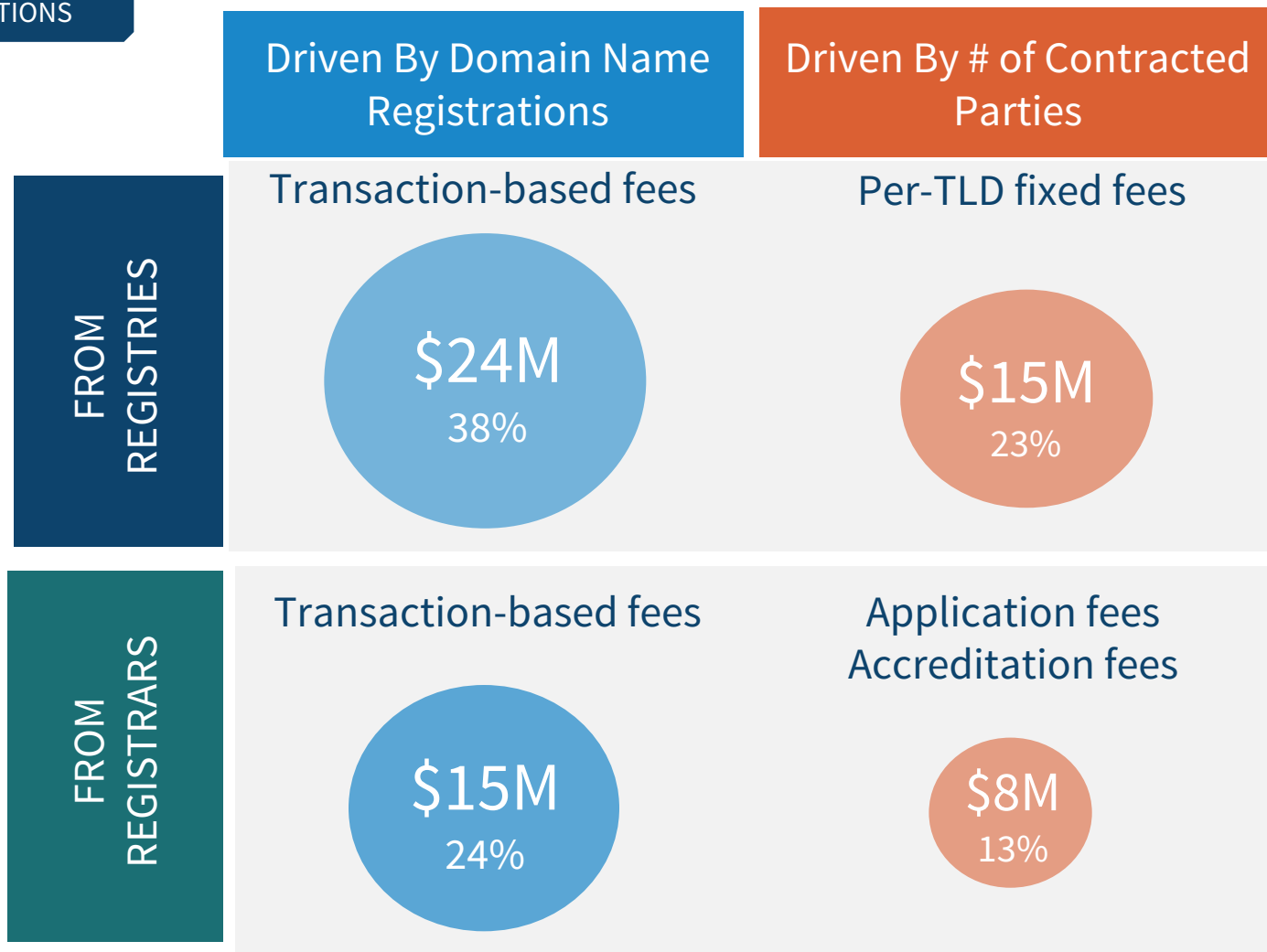
Other Income: $2, 2%   Total Funding: $65M

FY17 Year-to-date (Jul-Dec 2016) Baseline Operating and Capital Expenses

Includes IT infrastructure and security improvements

Year-to-date Actual Baseline Operating & Capital Expenses : $57M

Includes rent and other facilities costs and telecommunications

Includes contractor services, legal fees and language services

Includes travel and venue costs for outreach and meetings.

Capital $4M 7%

Admin. & Other* $9M 16%

Professional Services $9M 15%

Travel & Meetings $8M 13%

Personnel $27M 49%

Reflects an average headcount of 339.5, which excludes 11.1 average headcount allocated to the IANA Stewardship Transition Project

*Excludes bad debt and depreciation

FY17 Budget Overview

FY17 (Jul 16-Jun 17) approved ICANN Operations budget, aligned to Operating Plan, published on ICANN.org

	FY17 Budget
Funding	$ 132
ICANN Operations Baseline Expenses	-$ 123
IANA Services Expenses	-$ 9
Net	$ 0

*Expenses exclude bad debt and depreciation.

New gTLD Program – Multi-year Forecast

New gTLD PROGRAM

Application fees collected $ 362

$ 274 $ 89 $ 15

Refunds $ 53   Costs $ 15

$ 32   FY18 & BEYOND FORECAST

Refunds $ 10

$ 24   Costs $ 22

Refunds $ 3   FY17 FORECAST

Costs $ 21

$ 203

Costs $ 221   Refunds $ 40   FY16 ACTUAL

Costs $ 163

Refunds

Remaining funds for unexpected expenses (including risks)

Application processing costs

Cumulative costs

FULL PROGRAM FORECAST   FY12-15 ACTUAL