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With more than 28 years' experience, we offer comprehensive and accurate securities reference, corporate actions and pricing data for derivatives, equities, fixed income, and investment funds around the globe. We also cover economic data extensively.

We understand how crucial financial and economic data is and take a different approach as to how we proceed:

- We do not rent data, we sell it
- We do not have onerous redistribution rules
- We customize our services to meet your needs
- We cover all countries - no matter how large or small
- Finally, we offer competitive prices

As a result of our on-going commitment to providing cost-effective and innovative data solutions, while ensuring the highest standards, EDI has achieved the internationally recognized quality and security certifications ISO 9001 and ISO 27001.

Headquartered in the United Kingdom, we have operations in Australia, Canada, Germany, India, Morocco, South Africa, and the United States.

Our Support Team is available on +44 (0) 207 324 0020, 24 hours a day, Monday to Friday and closed on Christmas and New Year's Day.



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Short Interest Data

Exchange Data International provides short interest data for a number of global markets. Short interest is a market- sentiment indicator that tells whether investors think a stock's price is likely to fall. It can also be compared over time to examine changes in investor sentiment.

Short interest regulation and reporting requirements vary by country. The tables below outline the data collected in each market where short selling is permitted.

We are in the process of adding two additional fields namely Short Ratio which gives the days to cover and Average Traded Volume for the past 30 days.

Countries with Short Interest Data by Position Holder – Data fields provided

Data for these countries is reported to local regulators in compliance with ESMA short selling regulations and began for most of these markets on 1 November 2012. The exceptions to this are Spain, which has data going back to 10 June 2010 and Greece where the history begins 30 May 2013.

| | Austria | Belgium | Denmark | Finland | France | Germany | Greece | Hungary | Ireland | Italy | Japan | Netherlands | Poland | Portugal | Spain | Sweden | UK |
|---------------------------------|---------|---------|---------|---------|--------|---------|--------|---------|---------|-------|-------|-------------|--------|----------|-------|--------|----|
| FIGI Exchange Ticker | x | x | x | x | x | x | x | x | x | x | x | x | x | x | x | x | x |
| FIGI Global ID | x | x | x | x | x | x | x | x | x | x | x | x | x | x | x | x | x |
| FIGI Composite Ticker | x | x | x | x | x | x | x | x | x | x | x | x | x | x | x | x | x |
| FIGI Exchange ID | x | x | x | x | x | x | x | x | x | x | x | x | x | x | x | x | x |
| ISIN | x | x | x | x | x | x | x | x | x | x | x | x | x | x | x | x | x |
| ISSUER | x | x | x | x | x | x | x | x | x | x | x | x | x | x | x | x | x |
| Local Code | x | x | x | x | x | x | x | x | x | x | x | x | x | x | x | x | x |
| SEC ID | x | x | x | x | x | x | x | x | x | x | x | x | x | x | x | x | x |
| US Code | x | x | x | x | x | x | x | x | x | x | x | x | x | x | x | x | x |
| Cancellation Date | x | x | | | | | | | | | | | | | | | |
| Daily or Monthly | D | D | D | D | M | D | D | D | D | D | D | D | D | D | D | D | D |
| Disclosed Position Holder Total | x | x | x | x | x | x | x | x | x | x | | x | x | x | x | x | x |
| Holder Net Short Position % | x | x | x | x | x | x | x | x | x | x | x | x | x | x | x | x | x |
| Position Date | x | x | x | x | x | x | x | x | x | x | | x | x | x | x | x | x |
| Position Holder | x | x | x | x | x | x | x | x | x | x | | x | x | x | x | x | x |
| Publication Date | x | x | x | x | x | x | x | x | x | x | | x | x | x | x | x | x |
| Short Position | x | x | x | x | x | x | x | x | x | x | | x | x | x | x | x | x |

Countries with Short Interest Data by Traded Volume/Position

Australia

Australian Short Interest data is updated daily. History is available from 15 October 2010. Fields available are:

| | |
|-----------------------|---------------------------------|
| FIGI Composite Ticker | US Code |
| FIGI Global ID | Security Description |
| FIGI Exchange Ticker | Short Position Previous Balance |
| FIGI Exchange ID | Short Position |
| Symbol | % Short Position |
| ISIN | Days to Cover |
| SEC ID | Average Daily Share Volume |
| Issuer Name | Shares Outstanding |
| Local Code | |

Austria

Austria Short Interest data is available daily. Fields available are:

| | |
|-----------------------|--|
| FIGI Composite Ticker | SecID |
| FIGI Global ID | Issuer Name |
| FIGI Exchange Ticker | US Code |
| FIGI Exchange ID | Previous Disclosed Position Holder Total |
| ISIN | Disclosed Position Holder |
| SecID | Days to Cover |
| Market ID | Average Daily Share Volume |
| Position Date | Exchange Code |
| Country Code | Security Description |
| Symbol | |

Belgium

Belgium Short Interest data is available daily. History is available from 31 October 2012. Fields available are:

| | |
|------------------------------------|--|
| FIGI Composite Ticker | ISIN |
| FIGI Global ID | SEC ID |
| FIGI Exchange Ticker | Issuer Name |
| FIGI Exchange ID | Security Description |
| US Code | Days to Cover |
| Previous Disclosed Position Holder | Average Daily Share Volume |
| Market ID | Previous Disclosed Position Holder Total |
| Country Code | Disclosed Position Holder Total |
| Symbol | |

Brazil

Brazilian Short Interest Data is available daily. Field available are:

| | |
|-----------------------|---------------------------------|
| FIGI Composite Ticker | Short Position Previous Balance |
| FIGI Global ID | Short Position |
| FIGI Exchange Ticker | Short Position Value |
| FIGI Exchange ID | Days to Cover |
| ISIN | Average Daily Share Volume |
| Local Code | Short Volume |
| SEC ID | Issuer Name |
| Security Description | US Code |

Canada (CSE)

Canadian (CSE) Short Interest Data is available bi-monthly – published on the 15th of each month. History is available from January 2013. Fields available are:

| | |
|-----------------------|---------------------------------|
| FIGI Composite Ticker | Security Description |
| FIGI Global ID | Value Currency |
| FIGI Exchange Ticker | US Code |
| FIGI Exchange ID | Security Type |
| ISIN | Average Daily Share Volume |
| Market ID | Days to Cover |
| Symbol | Short Position |
| SEC ID | Short Position Previous Balance |
| Issuer Name | |

Canada (IIROC)

Canadian (IIROC) Short Interest Data is available weekly – published on the 15th of each month. History is available from January 2013. Fields available are:

| | |
|-----------------------|---------------------------------|
| FIGI Composite Ticker | Security Description |
| FIGI Global ID | Currency |
| FIGI Exchange Ticker | US Code |
| FIGI Exchange ID | Average Daily Share Volume |
| Market ID | Days to Cover |
| Symbol | Short Position Value |
| ISIN | Short Position |
| SEC ID | Short Position Previous Balance |
| Issuer Name | |

Chile

Chile Short Interest Fields Data is available monthly. History is available from 1 December 2011. Fields available are:

| | |
|-----------------------|-------------------------------|
| FIGI Composite Ticker | Security Description |
| FIGI Global ID | Value Currency |
| FIGI Exchange Ticker | US Code |
| FIGI Exchange ID | Security Type |
| Market ID | Short Volume Previous Balance |
| Symbol | Short Volume |
| ISIN | % Short Volume |
| SEC ID | Short Volume Value |
| Issuer Name | % Short Volume Value |
| Short Trades | % Short Trades |

China – Shanghai

Shanghai Short Interest Fields Data is available daily. History is available from March 2010. Fields available are:

| | |
|-----------------------|---------------------------------|
| FIGI Composite Ticker | Short Volume |
| FIGI Global ID | Short Position Previous Balance |
| FIGI Exchange Ticker | Short Position |
| FIGI Exchange ID | Short Position Value |
| ISIN | Days to Cover |
| Local Code | Average Daily Share Volume |
| SEC ID | |

China – Shenzhen

Shenzhen Short Interest Fields Data is available daily. History is available from April 2010. Fields available are:

| | |
|-----------------------|---------------------------------|
| FIGI Composite Ticker | Short Position Previous Balance |
| FIGI Global ID | Short Position |
| FIGI Exchange Ticker | Short Position Value |
| FIGI Exchange ID | % Short Position |
| ISIN | Days to Cover |
| SEC ID | Average Daily Share Volume |
| Local Code | |

Denmark

Denmark Short Interest Fields data is updated daily. History is available from 1 November 2012. Fields available are:

| | |
|-----------------------|--|
| FIGI Composite Ticker | Position Date |
| FIGI Global ID | Country Code |
| FIGI Exchange Ticker | Symbol |
| FIGI Exchange ID | Issuer Name |
| ISIN | US Code |
| SecID | Previous Disclosed Position Holder Total |
| Market ID | Disclosed Position Holder |
| Days to Cover | Average Daily Share Volume |
| Exchange Code | Security Description |

Finland

Finland Short Interest Fields data is updated daily. History is available from 31 October 2012. Fields available are:

| | |
|-----------------------|--|
| FIGI Composite Ticker | Symbol |
| FIGI Global ID | Issuer Name |
| FIGI Exchange Ticker | US Code |
| FIGI Exchange ID | Previous Disclosed Position Holder Total |
| ISIN | Disclosed Position Holder |
| SecID | Days to Cover |
| Market ID | Average Daily Share Volume |
| Position Date | Exchange Code |
| Country Code | Security Description |

France

France Short Interest Fields data is updated daily. History is available from 3 October 2012. Fields available are:

| | |
|-----------------------|--|
| FIGI Composite Ticker | SEC ID |
| FIGI Global ID | Issuer Name |
| FIGI Exchange Ticker | Security Description |
| FIGI Exchange ID | US Code |
| Market ID | Previous Disclosed Position Holder Total |
| Position Date | Disclosed Position Holder |
| Country Code | Days to Cover |
| Symbol | Average Daily Share Volume |
| ISIN | Exchange Code |

Germany

Germany Short Interest Fields data is updated daily. History is available from 26 October 2012. Fields available are:

| | |
|-----------------------|--|
| FIGI Composite Ticker | SecID |
| FIGI Global ID | Issuer Name |
| FIGI Exchange Ticker | Security Description |
| FIGI Exchange ID | US Code |
| Market ID | Previous Disclosed Position Holder Total |
| Position Date | Disclosed Position Holder |
| Country Code | Days to Cover |
| Symbol | Average Daily Share Volume |
| ISIN | Exchange Code |

Greece

Greece Short Interest Fields data is updated daily. History is available from 14 June 2016. Fields available are:

| | |
|-----------------------|--|
| FIGI Composite Ticker | SEC ID |
| FIGI Global ID | Issuer Name |
| FIGI Exchange Ticker | Security Description |
| FIGI Exchange ID | US Code |
| Market ID | Previous Disclosed Position Holder Total |
| Position Date | Disclosed Position Holder |
| Country Code | Days to Cover |
| Symbol | Average Daily Share Volume |
| ISIN | Exchange Code |

Hong Kong

Hong Kong data is updated daily. History is available from 3 January 2014. Fields available are:

| | |
|-------------------------------|----------------------|
| FIGI Composite Ticker | ISIN |
| FIGI Global ID | Local Code |
| FIGI Exchange Ticker | SEC ID |
| FIGI Exchange ID | Security Description |
| Short Volume Previous Balance | Short Position |
| Short Volume | Short Position Value |

| | |
|--------------|----------------|
| Short Trades | Value Currency |
| Symbol | US Code |

Hungary

Hungary Short Interest data is updated daily. History is available from 11 September 2017. Fields available are:

| | |
|-----------------------|--|
| FIGI Composite Ticker | SEC ID |
| FIGI Global ID | Issuer Name |
| FIGI Exchange Ticker | Security Description |
| FIGI Exchange ID | US Code |
| Market ID | Previous Disclosed Position Holder Total |
| Position Date | Disclosed Position Holder |
| Country Code | Days to Cover |
| Symbol | Average Daily Share Volume |
| ISIN | Exchange Code |

Ireland

Ireland data is updated daily. History is available from 1 November 2012. Fields available are:

| | |
|--|----------------------------|
| FIGI Composite Ticker | Country Code |
| FIGI Global ID | Symbol |
| FIGI Exchange Ticker | ISIN |
| FIGI Exchange ID | SEC ID |
| Market ID | Issuer Name |
| Position Date | Security Description |
| US Code | Days to Cover |
| Previous Disclosed Position Holder Total | Average Daily Share Volume |
| Disclosed Position Holder | Exchange Code |

Israel

Israeli data is updated daily. History is available from July 2010. Fields available are:

| | |
|-----------------------|---------------------------------|
| FIGI Composite Ticker | Short Position Previous Balance |
| FIGI Global ID | Short Position |
| FIGI Exchange Ticker | Short Position Value |
| FIGI Exchange ID | Days to Cover |
| ISIN | Short Volume Previous Balance |
| Local Code | Short Volume |
| Security Description | |

Italy

Italy data is updated daily. History is available from 6 November 2012. Fields available are:

| | |
|-----------------------|--|
| FIGI Composite Ticker | SEC ID |
| FIGI Global ID | Issuer Name |
| FIGI Exchange Ticker | Security Description |
| FIGI Exchange ID | US Code |
| Market ID | Previous Disclosed Position Holder Total |
| Position Date | Disclosed Position Holder |
| Country Code | Days to Cover |
| Symbol | Average Daily Share Volume |
| ISIN | Exchange Code |

Japan

Japan data is updated daily. History is available from 1 August 2018. Fields available are:

| | |
|-----------------------|--|
| FIGI Composite Ticker | Security Description |
| FIGI Global ID | Days to Cover |
| FIGI Exchange Ticker | Average Daily Share Volume |
| FIGI Exchange ID | Previous Disclosed Position Holder Total |
| ISIN | Disclosed Position Holder Total |
| Local Code | |

Korea

Korean data is updated daily. Fields available are:

| | |
|-----------------------|--|
| FIGI Composite Ticker | Security Description |
| FIGI Global ID | Days to Cover |
| FIGI Exchange Ticker | Average Daily Share Volume |
| FIGI Exchange ID | Previous Disclosed Position Holder Total |
| ISIN | Disclosed Position Holder Total |
| Local Code | |

Malaysia

Malaysia data is updated daily. History is available from 06 August 2018. Fields available are:

| | |
|-----------------------|----------------------|
| FIGI Composite Ticker | Local Code |
| FIGI Global ID | Value Currency |
| FIGI Exchange Ticker | Short Volume |
| FIGI Exchange ID | Short Volume Value |
| ISIN | Security Description |

Mexico

Mexico data is updated daily. History is available from 01 February 2019. Fields available are:

| | |
|-----------------------|---------------------------------|
| FIGI Composite Ticker | SEC ID |
| FIGI Global ID | Security Description |
| FIGI Exchange Ticker | Short Volume |
| FIGI Exchange ID | Short Position |
| ISIN | Short Position Previous Balance |

Netherlands

Netherlands data is updated daily. History is available from 17 April 2014. Fields available are:

| | |
|-----------------------|--|
| FIGI Composite Ticker | SEC ID |
| FIGI Global ID | Issuer Name |
| FIGI Exchange Ticker | Security Description |
| FIGI Exchange ID | US Code |
| Market ID | Previous Disclosed Position Holder Total |
| Position Date | Disclosed Position Holder |
| Country Code | Days to Cover |
| Symbol | Average Daily Share Volume |
| ISIN | Exchange Code |

New Zealand

New Zealand data is updated daily. History is available from 1 March 2019. Fields available are:

| | |
|-----------------------|----------------|
| FIGI Composite Ticker | SEC ID |
| FIGI Global ID | Local Code |
| FIGI Exchange Ticker | Short Position |
| FIGI Exchange ID | Short Trades |
| ISIN | |

Norway

Norway data is updated daily. History is available from 29 November 2018. Fields available are:

| | |
|-----------------------|---------------------------------|
| FIGI Composite Ticker | Security Description |
| FIGI Global ID | Short Position |
| FIGI Exchange Ticker | % Short Position |
| FIGI Exchange ID | Short Position Previous Balance |
| ISIN | Days to Cover |
| Local Code | Average Daily Share Volume |
| Previous Balance | US Code |
| Reported Date | SEC ID |

Peru

Peru data is updated daily. Fields available are:

| | |
|-----------------------|--------------------|
| FIGI Composite Ticker | SEC ID |
| FIGI Global ID | Local Code |
| FIGI Exchange Ticker | Short Volume |
| FIGI Exchange ID | Short Volume Value |
| ISIN | Short Position |

Poland

Poland data is updated daily. History is made available from 1 November 2012. Fields available are:

| | |
|-----------------------|--|
| FIGI Composite Ticker | SEC ID |
| FIGI Global ID | Issuer Name |
| FIGI Exchange Ticker | Security Description |
| FIGI Exchange ID | US Code |
| Market ID | Previous Disclosed Position Holder Total |
| Position Date | Disclosed Position Holder |
| Country Code | Days to Cover |
| Symbol | Average Daily Share Volume |
| ISIN | Exchange Code |

Portugal

Portugal data is updated daily. Fields available are:

| | |
|-----------------------|--|
| FIGI Composite Ticker | SEC ID |
| FIGI Global ID | Issuer Name |
| FIGI Exchange Ticker | Security Description |
| FIGI Exchange ID | US Code |
| Market ID | Previous Disclosed Position Holder Total |
| Position Date | Disclosed Position Holder |
| Country Code | Days to Cover |
| Symbol | Average Daily Share Volume |
| ISIN | Exchange Code |

Spain

Spain data is updated daily. History is made available from 10 June 2010. Fields available are:

| | |
|-----------------------|--|
| FIGI Composite Ticker | SEC ID |
| FIGI Global ID | Issuer Name |
| FIGI Exchange Ticker | Security Description |
| FIGI Exchange ID | US Code |
| Market ID | Previous Disclosed Position Holder Total |
| Position Date | Disclosed Position Holder |
| Country Code | Days to Cover |
| Symbol | Average Daily Share Volume |
| ISIN | Exchange Code |

Singapore

Singapore data is updated daily. History is available from 11 March 2013. Fields available are:

| | |
|-----------------------|----------------------|
| FIGI Composite Ticker | ISIN |
| FIGI Global ID | SEC ID |
| FIGI Exchange Ticker | Issuer Name |
| FIGI Exchange ID | Security Description |
| Market ID | US Code |
| Position Date | Short Volume |
| Country Code | Short Volume Value |
| Symbol | Short Position |

South Korea

South Korea Short Interest Fields Data is available daily. History is available from May 2019. Fields available are:

| | |
|-----------------------|----------------------|
| FIGI Composite Ticker | % Short Position |
| FIGI Global ID | Short Position |
| FIGI Exchange Ticker | Short Volume Value |
| FIGI Exchange ID | % Short Volume Value |
| ISIN | Short Volume |
| Local Code | % Short Volume |
| SEC ID | Short Position Value |

Sweden

Sweden Short Interest Fields Data is available daily. History is available from 1 November 2012.

Fields available are:

| | |
|--|----------------------------|
| FIGI Composite Ticker | Symbol |
| FIGI Global ID | ISIN |
| FIGI Exchange Ticker | SEC ID |
| FIGI Exchange ID | Issuer Name |
| Market ID | Security Description |
| Position Date | US Code |
| Country Code | Days to Cover |
| Previous Disclosed Position Holder Total | Average Daily Share Volume |
| Disclosed Position Holder | Exchange Code |

Taiwan

Taiwan Short Interest Fields Data is available daily. History is available from 22 August 2018. Fields available are:

| | |
|-----------------------|-----------------------------|
| FIGI Composite Ticker | Local Code |
| FIGI Global ID | Short Position Prev Balance |
| FIGI Exchange Ticker | Reported Date |
| FIGI Exchange ID | Security Description |
| ISIN | Short Position |

Thailand

Thailand data is updated daily. History is available from 24 April 2017. Fields available are:

| | |
|---------------------------------|----------------------|
| FIGI Composite Ticker | Security Type |
| FIGI Global ID | Symbol |
| FIGI Exchange Ticker | ISIN |
| FIGI Exchange ID | SEC ID |
| Short Volume Previous Balance | Issuer Name |
| Short Volume | Security Description |
| Short Position Previous Balance | Value Currency |
| Short Position | US Code |
| Market ID | |

Turkey

Turkey data is updated daily. History is available from 01 Jan 2016. Fields available are:

| | |
|-----------------------|----------------------|
| FIGI Composite Ticker | Value Currency |
| FIGI Global ID | Reported Date |
| FIGI Exchange Ticker | Security Description |
| FIGI Exchange ID | Short Volume |
| ISIN | Short Volume Value |
| Local Code | Short Trades |

United Kingdom

United Kingdom data is updated daily. History is made available from 31 October 2012. Fields available are:

| | |
|-----------------------|--|
| FIGI Composite Ticker | ISIN |
| FIGI Global ID | Security Description |
| FIGI Exchange Ticker | US Code |
| FIGI Exchange ID | Previous Disclosed Position Holder Total |
| Market ID | Disclosed Position Holder |
| Position Date | Days to Cover |
| Country Code | Average Daily Share Volume |
| SEC ID | Exchange Code |
| Symbol | |

United States (US) Finra Short Sales Report

US Finra Short Sales Report* data is updated daily. History is available from 1 January 2014.

Fields available are:

| | |
|-----------------------|-------------------------------|
| FIGI Composite Ticker | Security Description |
| FIGI Global ID | Value Currency |
| FIGI Exchange Ticker | US Code |
| FIGI Exchange ID | Local Code |
| Market ID | Market |
| Symbol | Short Volume |
| ISIN | Short Volume Exempt |
| SEC ID | Short Volume Previous Balance |
| Issuer Name | |

Market values are NASDAQ (for NQ TRF), ADF, ORF, NYSE (for NYX TRF)

United States (US) Finra OTC

US Finra OTC* data is updated bi-weekly. History is available from 14 November 2014. Fields available are:

| | |
|-----------------------|---------------------------------|
| FIGI Composite Ticker | Security Description |
| FIGI Global ID | Value Currency |
| FIGI Exchange Ticker | US Code |
| FIGI Exchange ID | SEC ID |
| Market ID | Market |
| Symbol | Short Position Previous Balance |
| ISIN | Short Position |
| SEC ID | Days to Cover |
| Issuer Name | Average Daily Share Volume |

Market values are OTC Securities

Countries Which Permit Short Selling but Have No Activity

The following countries permit short selling but there is currently no activity. EDI monitors these markets and will provide updates if / when there is activity.

- Bulgaria
- Croatia
- Cyprus
- Czech Republic
- Estonia
- India
- Latvia
- Lithuania
- Luxembourg
- Malta
- Philippines
- Romania
- Saudi Arabia
- Slovakia

Restrictions

EDI data will include notifications of restrictions to the short selling regulations as provided by the market regulator and/or exchange. This data will include:

- FIGI Global ID
- FIGI Exchange ID
- FIGI Exchange Ticker
- FIGI Composite Ticker
- Country
- Local code
- US Code
- SEC ID
- Start date
- End date
- Description of restriction
- Link to regulatory notice
- ISIN

There is also a section on EU restrictions:

For EU countries, additional restrictions may apply, and EDI will include a list of those shares as published on the ESMA registers. This is a daily file and includes the following:

- FIGI Global ID
- FIGI Exchange Ticker
- Local code
- ISIN
- US Code
- SEC ID
- Start data
- Description of restriction/Regulating Authorization

Short Selling Time Reporting Rules

Country Country Code

Australia AU

Australia requires reporting short positions exceeding \$100,000 and 0.01% of the share class.

Report by 9 am next day capturing all short sales executed up to 7 pm.

The total of short positions for financial products on a given reporting day will be published on the ASIC website four days after the reporting day (T+4). These reports will not contain short seller details.

Country Country Code

Austria AT

Article 9(2) of the Short Selling Regulation establishes that “The relevant time for calculation of a net short position shall be at midnight at the end of the trading day on which the natural or legal person holds the relevant position. That time shall apply to all transactions irrespective of the means of trading used, including transactions executed through manual or automated trading, and irrespective of whether the transactions have taken place during normal trading hours. The notification or disclosure shall be made not later than at 15.30 on the following trading day. The times specified in this paragraph shall be calculated according to the time in the Member State of the relevant competent authority to whom the relevant position must be notified”.

Article 9(4) of the Short-Selling Regulation determines that “The public disclosure of information set out in Article 6 shall be made in a manner ensuring fast access to information on a non-discriminatory basis. That information shall be posted on a central website operated or supervised by the relevant competent authority. The competent authorities shall communicate the address of that website to ESMA, which, in turn, shall put a link to all such central websites on its own website”.

As explained in ESMA’s Consultation Paper there are certain aspects of the SSR which provide a flexible approach. The deadline is 15:30 for publications of net short positions, this applies to both the notification and the disclosure. Competent authorities can receive notifications at this time, and they should then publish the notification immediately.

Country Country Code

Belgium BE

Article 9(2) of the Short Selling Regulation establishes that “The relevant time for calculation of a net short position shall be at midnight at the end of the trading day on which the natural or legal person holds the relevant position. That time shall apply to all transactions irrespective of the means of trading used, including transactions executed through manual or automated trading, and irrespective of whether the transactions have taken place during normal trading hours. The notification or disclosure shall be made not later than at 15.30 on the following trading day. The times specified in this paragraph shall be calculated according to the time in the Member State of the relevant competent authority to whom the relevant position must be notified”.

Article 9(4) of the Short-Selling Regulation determines that “The public disclosure of information set out in Article 6 shall be made in a manner ensuring fast access to information on a non-discriminatory basis. That information shall be posted on a central website operated or supervised by the relevant competent authority. The competent authorities shall communicate the address of that website to ESMA, which, in turn, shall put a link to all such central websites on its own website”.

As explained in ESMA’s Consultation Paper there are certain aspects of the SSR which provide a flexible approach. The deadline is 15:30 for publications of net short positions, this applies to both the notification and the disclosure. Competent authorities can receive notifications at this time, and they should then publish immediately.

Country Country Code

Brazil BR

Q1) When do short sales need to be notified to the regulator?

In Brazil, we have a beneficial owner regime, meaning that every trade is cleared and settled with the investor identification. Besides, sellers shall have their stocks in a central securities depository (CSD) at the settlement time, implying that they have to own the stocks or borrow them. There is no naked short selling in Brazil. According to CVM Instruction 441/2006 (art. 2), only a financial market infrastructure licensed by CVM as a CSD can provide stock lending services and this institution shall inform daily the CVM about the operations done in its market.

Q2) When does the regulator publish the data?

The CVM does not publicize data regarding short selling. However, the CVM Instruction 441/2006 (art. 11) establishes that CSDs which provide stock lending services shall disclose, daily and for each security, the volume lent.

Considering that stock lending is to some extent a future settlement contract, provisions of the CVM Instruction 283/1998 also apply. Such Instruction determines that exchanges shall set exposure limits for each investor, intermediary and market. For transparency purposes, B3, the trading venue licensed in Brazil, also discloses in its web page the number of contracts traded per day.

Country Country Code

Canada CA

REPORTING PROCESS

To regulators: E-mail to TSX; Within 2 trading days; twice per month

T+4 8 am - Consolidated Short Position Report available on IIROC website.

Country Country Code

Chile CL

Short sale operations to be notified by 6.30pm of the same trading day. Stock Exchange will publish short sales in their daily bulletin.

Country Country Code

China CN

Firms required to disclose short-selling trading information on a daily basis before 9:00 a.m. on the next trading day.

The stock exchange publishes short selling information of previous trading day in website before 9:15 a.m.

Country Country Code

Denmark DK

Please be aware that Article 9(2) of the Short Selling Regulation establishes that “The relevant time for calculation of a net short position shall be at midnight at the end of the trading day on which the natural or legal person holds the relevant position. That time shall apply to all transactions irrespective of the means of trading used, including transactions executed through manual or automated trading, and irrespective of whether the transactions have taken place during normal trading hours. The notification or disclosure shall be made not later than at 15.30 on the following trading day. The times specified in this paragraph shall be calculated according to the time in the Member State of the relevant competent authority to whom the relevant position must be notified”.

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central websites on its own website”.

As explained in ESMA’s Consultation Paper there are certain aspects of the SSR which provide a flexible approach. The deadline is 15:30 for publications of net short positions, this applies to both the notification and the disclosure. Competent authorities can receive notifications at this time, and they should then publish immediately.

Country **Country Code**

Finland FI

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Country **Country Code**

France FR

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| Country | Country Code |
|----------------|---------------------|
|----------------|---------------------|

| | |
|---------|----|
| Germany | DE |
|---------|----|

Article 9(2) of the Short Selling Regulation establishes that “The relevant time for calculation of a net short position shall be at midnight at the end of the trading day on which the natural or legal person holds the relevant position. That time shall apply to all transactions irrespective of the means of trading used, including transactions executed through manual or automated trading, and irrespective of whether the transactions have taken place during normal trading hours. The notification or disclosure shall be made not later than at 15.30 on the following trading day. The times specified in this paragraph shall be calculated according to the time in the Member State of the relevant competent authority to whom the relevant position must be notified”.

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| Country | Country Code |
|----------------|---------------------|
|----------------|---------------------|

| | |
|--------|----|
| Greece | GR |
|--------|----|

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| Country | Country Code |
|----------------|---------------------|
| Hong Kong | HK |

The reporting deadline is two business days following the last trading day of the previous week.

The data is typically published on the SFC's website three business days after the receipt of the short position reports.

| Country | Country Code |
|----------------|---------------------|
| Hungary | HU |

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| Country | Country Code |
|----------------|---------------------|
| Ireland | IE |

Article 9(2) of the Short Selling Regulation establishes that "The relevant time for calculation of a net short position shall be at midnight at the end of the trading day on which the natural or legal person holds the relevant position. That time shall apply to all transactions irrespective of the means of trading used, including transactions executed through manual or automated trading, and irrespective of whether the transactions have taken place during normal trading hours. The notification or disclosure shall be made not later than at 15.30 on the following trading day. The times specified in this paragraph shall be calculated according to the time in the Member State of the relevant competent authority to whom the relevant position must be notified".

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| Country | Country Code |
|----------------|---------------------|
| Israel | IL |

Members shall report to the Exchange short sales carried out by them and the balance of short sales for each security, as specified below:

On the first trading day of each week members shall report to the Exchange the short sales carried out and the balance of short sales for each security, as of the last trading day of the preceding week.

The Exchange publishes the data every second trading day of the week, at the end of the day, weekly.

| Country | Country Code |
|---------|--------------|
| Italy | IT |

Article 9(2) of the Short Selling Regulation establishes that “The relevant time for calculation of a net short position shall be at midnight at the end of the trading day on which the natural or legal person holds the relevant position. That time shall apply to all transactions irrespective of the means of trading used, including transactions executed through manual or automated trading, and irrespective of whether the transactions have taken place during normal trading hours. The notification or disclosure shall be made not later than at 15.30 on the following trading day. The times specified in this paragraph shall be calculated according to the time in the Member State of the relevant competent authority to whom the relevant position must be notified”.

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| Country | Country Code |
|---------------|--------------|
| Korea (South) | IT |

Outstanding short position by issue showed above is calculated by collecting outstanding short position by issue reported by the investor with a reporting obligation in compliance with the Article 180-2 of the Financial Investment Services and Capital Markets Act.

In accordance with the outstanding short position reporting system, an investor is obligated to report when the investor's outstanding short position meets the criteria below.

-When the ratio of the investor's net outstanding short position is at or below negative (-) 0.01% and the value of the net outstanding short position is at or below negative (-) KRW 100 million - When, regardless of the ratio, the value of the net outstanding short position is at or below (-) KRW 1 billion.

Since the investor is required to report outstanding short position until two days from T (when the reporting obligation arises), outstanding short position of two days before the day is provided.

(Please note that outstanding short position can be changed when the investor makes a new or modified report on the position after 18:00 on T+2 to the Financial Supervisory Service.)

Country Country Code

Malaysia MY

Rule 8.30 requires a Participating Organization to report to the Exchange daily or in such other frequency as may be determined by the Exchange, in the format prescribed by the Exchange, the Net Short Position and any other information in relation to the Net Short Position as may be required by the Exchange from time to time.

From the SE: "We currently display the following EOD Regulated Short Selling information on Bursa's website."

Country Country Code

Mexico MX

Short sales must be notified by 3 pm on the day they take place. The regulator has up to 2 business days to publish the short sales.

Country Country Code

Netherlands NL

Article 9(2) of the Short Selling Regulation establishes that "The relevant time for calculation of a net short position shall be at midnight at the end of the trading day on which the natural or legal person holds the relevant position. That time shall apply to all transactions irrespective of the means of trading used, including transactions executed through manual or automated trading, and irrespective of whether the transactions have taken place during normal trading hours. The notification or disclosure shall be made not later than at 15.30 on the following trading day. The times specified in this paragraph shall be calculated according to the time in the Member State of the relevant competent authority to whom the relevant position must be notified".

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| Country | Country Code |
|-------------|--------------|
| New Zealand | NL |

Disclosure: There is no specific disclosure obligation in respect of either naked or covered short selling. However, there is a requirement that any short sales must be flagged by the "Trading Participant" as such in the Trading System but this information will not be released other than in an aggregated form per issuer.

From the SE: "Short sales are recorded here at the exchange. We don't publicly publish this data. It is available for purchase on a T+2 basis."

| Country | Country Code |
|---------|--------------|
| Norway | NO |

Article 9(2) of the Short Selling Regulation establishes that "The relevant time for calculation of a net short position shall be at midnight at the end of the trading day on which the natural or legal person holds the relevant position. That time shall apply to all transactions irrespective of the means of trading used, including transactions executed through manual or automated trading, and irrespective of whether the transactions have taken place during normal trading hours. The notification or disclosure shall be made not later than at 15.30 on the following trading day. The times specified in this paragraph shall be calculated according to the time in the Member State of the relevant competent authority to whom the relevant position must be notified".

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Country Country Code

Peru PE

The regulator receives the information on short sales in real time and also at the end of the trading day. The information must be published on the SE website on their daily bulletin at the end of the trading day.

Country Country Code

Poland PL

Article 9(2) of the Short Selling Regulation establishes that “The relevant time for calculation of a net short position shall be at midnight at the end of the trading day on which the natural or legal person holds the relevant position. That time shall apply to all transactions irrespective of the means of trading used, including transactions executed through manual or automated trading, and irrespective of whether the transactions have taken place during normal trading hours. The notification or disclosure shall be made not later than at 15.30 on the following trading day. The times specified in this paragraph shall be calculated according to the time in the Member State of the relevant competent authority to whom the relevant position must be notified”.

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Country Country Code

Portugal PT

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Country Country Code

Singapore SG

A person with a reportable short position must report his short position to MAS by lodging a Short Position Reporting Form within two business days after position day. This means that if the position day is a Friday, the form should be lodged by the following Tuesday; assuming that there are no public holidays in that week.

MAS publishes aggregate short position information of each security on its website every week.

Country Country Code

Spain ES

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Country **Country Code**

Sweden SE

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Country **Country Code**

Taiwan TW

The TWSE takes a proactive approach to disclose short selling balances on daily basis; short sellers do not need to report it.

Availability on TWSE website: "The information provided here is updated each trading day around 20:30 and 22:30, respectively. Actual renewal time may differ due to daily closing procedures."

Country Country Code

Thailand TH

The company shall prepare a report of the head office and branch (if any) in accordance with the form prescribed by the Exchange every end of business day and made through the Members Supervision and Examination Department, The Stock Exchange of Thailand, by BRS (Broker Reporting System) within 8.00 p.m. of each business day. In case that has not short sale items which have not yet been covered, the company shall file the report.

The company shall report for short selling for both the company's account and its customers which classified according to each individual security after less number of shares of each securities which have been covered for both purchasing in return securities of own company and other company.

Country Country Code

Turkey TR

From the SE website: "Short selling transactions are announced on the Daily Bulletin on equity basis, stating the amount and contract quantity at each price level."

"No need to report any short sale transactions. Since Borsa Istanbul is the only trading venue in Turkey, all short sale transactions are realized in Exchange in normal order book."

Country Country Code

United Kingdom GB

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| Country | Country Code |
|---------------|--------------|
| United States | US |

Firstly, firms are obligated to report short positions to FINRA twice a month. The short positions reflect a firm's accumulated short position in a security in effect at the end of the day on the settlement date, 15th of the month (or prior settlement date if the 15th falls on a weekend or holiday) and on the last settlement date of the month.

Secondly, when a broker-dealer executes a sale, it has an obligation to report the transaction within 10 seconds of execution and to indicate if the sale was long or short. The total number of shares sold short are compiled and published on a daily and monthly basis by the Exchanges, including FINRA, which reports the data for securities traded over-the-counter. The daily short sale transaction data includes all trades reported to the consolidated tape as a short sale during regular trading hours, i.e., 9:30 a.m. to 4 p.m. and reflects the total number of shares sold short that day.

Historical Dates

| Country Code | Market Name | Frequency | Start Date |
|---------------------|--------------------|------------------|-------------------|
| AT | Austria_sint | Daily | 2012-11-01 |
| AU | Australia | Daily | 2019-11-22 |
| BE | Belgium_sint | Daily | 2012-10-31 |
| BR | Brazil | Daily | 2020-01-10 |
| CA | Canada | Monthly | 2019-12-31 |
| CA | Canada_CSE | Monthly | 2019-05-15 |
| CN | Shenzhen | Daily | 2019-11-22 |
| CN | Shanghai | Daily | 2019-11-22 |
| DE | Germany_sint | Daily | 2012-10-26 |
| DK | Denmark_sint | Daily | 2012-11-01 |
| ES | Spain_sint | Daily | 2010-06-10 |
| FI | Finland_sint | Daily | 2012-10-31 |
| FR | France_sint | Daily | 2012-10-03 |
| GB | UK_sint | Daily | 2012-10-31 |
| GR | Greece_sint | Daily | 2016-06-14 |
| HK | HongKong | Weekly | 2019-11-22 |
| HU | Hungary_sint | Daily | 2017-09-11 |
| IE | Ireland_sint | Daily | 2012-11-01 |
| IL | Israel | Weekly | 2019-11-28 |
| IT | Italy_sint | Daily | 2012-11-06 |
| JP | Japan_sint | Daily | 2018-08-01 |
| KR | Korea | Daily | 2019-11-22 |
| MX | Mexico | Daily | 2019-11-22 |
| MY | Malaysia | Daily | 2019-11-21 |
| NL | Netherlands_sint | Daily | 2014-04-17 |
| NO | Norway | Daily | 2019-11-22 |
| NZ | New_Zealand | Daily | 2019-11-22 |
| PL | Poland_sint | Daily | 2012-11-01 |
| PT | Portugal_sint | Daily | 2017-03-08 |
| SE | Sweden_sint | Daily | 2012-11-01 |
| SG | Singapore | Daily | 2019-11-22 |
| TH | Thiland | Daily | 2020-03-22 |
| TR | Turkey | Daily | 2019-08-21 |
| TW | Taiwan | Daily | 2019-12-06 |
| US | Finra | Daily | 2014-11-22 |
| US | Finra_OTC | Monthly | 2014-03-13 |



Customization

EDI is proud to offer the most effective and efficient solutions tailored to meet each individual customer's needs. We offer a range of customization options including:

- Delivery-based solutions to complement existing client infrastructure.
- Content delivered at the geographical or portfolio holding level.
- Feeds delivered in various formats, field content and integrated client level data items.

EDI uses its extensive data research expertise to source, scrub and integrate new client specified data items with existing products and services. For instance, a request from a multinational investment bank to source the DR universe and map it against its underlying share portfolio ultimately led to the development of EDI's successful Depository Receipt Database.

In addition, EDI was the first vendor to successfully launch an ISO 15022 Corporate Action Messaging feed. This enables customers to reduce costs and increase efficiency by removing the need for multiple feed handlers.

Support

Customer Support

Monday - Friday
Open 24 hours

Saturday
Support Coverage ends 8am
(GMT)

Sunday
Support Coverage resumes at
11pm (GMT)

**Support Coverage is not
available**
Saturday 8am to Sunday 11pm

Call +44 207 324 0020

Email: support@exchange-data.com

Customer support is closed on Christmas and New Year's Day.

We aim to acknowledge all queries within an hour of receipt and answer queries within 24 hours where possible.

We will send a progress report if a query is not resolved within that timeframe. We resolve around 95% of customer queries within 24 hours.

All queries sent to our Support department are filtered and dispatched to the relevant department. An IT staff member is engaged in the communication process to resolve complicated technical issues.



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