

# D.C. Board of Ethics and Government Accountability FY2021

**Agency** D.C. Board of Ethics and Government Accountability

**Agency Code** AGO

**Fiscal Year** 2021

**Mission** The Board of Ethics and Government Accountability (BEGA) is responsible to administer and enforce the District of Columbia Code of Conduct and enforce government-wide compliance with the D.C. Freedom of Information Act and the Open Meetings Act.

## Strategic Objectives

Objective Number	Strategic Objective
1	Issue ethics advice in an expeditious and consistent fashion.
2	Conduct timely and appropriate investigations and enforcement actions.
3	Conduct mandatory training on the Code of Conduct and produce ethics training materials.
4	Create and maintain a highly efficient, transparent, and responsive District government.

## Key Performance Indicators

Measure	Directionality	FY 2018 Actual	FY 2019 Actual	FY 2020 Actual	FY 2021 Target
<b>1 - Issue ethics advice in an expeditious and consistent fashion. (3 Measures)</b>					
Percent of formal written Advisory Opinions issued within 30 days of receipt of complete information from requester	Up is Better	100%	75%	25%	85%
Percent of advice queries received that were handled as informal rather than formal advice	Up is Better	99.9%	99.4%	99.8%	10%
Percent of formal written Advisory Opinions appealed to the Ethics Board	Up is Better	No Applicable Incidents	0%	0%	85%
<b>2 - Conduct timely and appropriate investigations and enforcement actions. (3 Measures)</b>					
Percent of investigations resolved by dismissal, negotiated disposition, or issuance of Notice of Violation within 120 days of initiation	Up is Better	80.8%	56.2%	90.9%	80%
Percent of enforcement actions that result in a sanction	Up is Better	100%	100%	100%	85%
Percent of final Ethics Board Orders issued within 45 days of close of hearing record	Up is Better	100%	No Applicable Incidents	No Applicable Incidents	85%
<b>3 - Conduct mandatory training on the Code of Conduct and produce ethics training materials. (3 Measures)</b>					
Percent of evaluations completed by attendees with an overall positive rating of "3" or higher on the BEGA training evaluation form	Up is Better	97.6%	97.9%	100%	80%
Percent of agency trainings held within 90 days of agency making the request	Up is Better	100%	100%	100%	90%
Percent of off site agency training requests granted	Up is Better	100%	100%	Waiting on Data	90%

## Operations

Operations Header	Operations Title	Operations Description	Type of Operations
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Operations Header	Operations Title	Operations Description	Type of Operations
<b>1 - Issue ethics advice in an expeditious and consistent fashion. (1 Activity)</b>			
BOARD OF ETHICS	Render Timely Advice. Respond to requests for informal ethics advice in a substantive and useful manner.	Work with staff to ensure that ethics guidance is provided to government employees who seek ethics guidance.	Daily Service
<b>2 - Conduct timely and appropriate investigations and enforcement actions. (1 Activity)</b>			
BOARD OF ETHICS	Monitor and support ongoing investigations to ensure timely completion.	Track progress throughout the year and work with staff to ensure movement.	Daily Service
<b>3 - Conduct mandatory training on the Code of Conduct and produce ethics training materials. (1 Activity)</b>			
BOARD OF ETHICS	Increase Training Sessions. Increase number of trainings available to District government employees.	Allocate staff time to ensure availability when requests are made from client agencies.	Daily Service
<b>4 - Create and maintain a highly efficient, transparent, and responsive District government. (1 Activity)</b>			
BOARD OF ETHICS	Ensure that every request for advice or information is acknowledged within 24 hours of receipt.	Work with staff to ensure that either a substantive response is provided or, where that is not possible, an acknowledgment that the request is under review.	Daily Service

## Workload Measures

Measure	FY 2018 Actual	FY 2019 Actual	FY 2020 Actual
<b>1 - Render Timely Advice. Respond to requests for informal ethics advice in a substantive and useful manner. (3 Measures)</b>			
Number of requests for informal ethics advice	805	761	510
Number of formal written advisory opinions issued pursuant to a request	1	4	1
Number of formal written advisory opinions issued on the agency's own initiative	0	0	0
<b>2 - Monitor and support ongoing investigations to ensure timely completion. (11 Measures)</b>			
Number of complaints received	123	144	149
Number of preliminary investigations opened based on tips to the hotline	0	1	0
Number of preliminary investigations dismissed	12	31	67
Number of preliminary investigations opened based on information provided by means other than the hotline	37	56	0
Number of preliminary investigations converted to formal investigations	5	3	0
Number of preliminary investigations resolved with a negotiated disposition	4	14	7
Number of preliminary investigations resolved after an evidentiary hearing	1	0	0
Number of formal investigations initiated on intake	9	8	6
Number of formal investigations dismissed	11	4	0
Number of formal investigations resolved with a negotiated disposition	1	2	2
Number of formal investigations resolved after an evidentiary hearing	0	0	0
<b>3 - Increase Training Sessions. Increase number of trainings available to District government employees. (1 Measure)</b>			

Measure	FY 2018 Actual	FY 2019 Actual	FY 2020 Actual
Number of trainings conducted	101	80	52
<b>4 - Ensure that every request for advice or information is acknowledged within 24 hours of receipt. (1 Measure)</b>			
Number of formal advisory opinions issued	1	3	1

## Strategic Initiatives

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Strategic Initiative Title	Strategic Initiative Description	Proposed Completion Date
No strategic initiatives found		