

## D.C. Board of Ethics and Government Accountability FY2020

**Agency** D.C. Board of Ethics and Government Accountability

**Agency Code** AGO

**Fiscal Year** 2020

**Mission** The Board of Ethics and Government Accountability (BEGA) is responsible to administer and enforce the District of Columbia Code of Conduct and enforce government-wide compliance with the D.C. Freedom of Information Act and the Open Meetings Act.

### Strategic Objectives

Objective Number	Strategic Objective
1	Issue ethics advice in an expeditious and consistent fashion.
2	Conduct timely and appropriate investigations and enforcement actions.
3	Conduct mandatory training on the Code of Conduct and produce ethics training materials.
4	Create and maintain a highly efficient, transparent, and responsive District government.

### Key Performance Indicators

Measure	Directionality	FY 2017 Actual	FY 2018 Actual	FY 2019 Actual	FY 2020 Target
<b>1 - Issue ethics advice in an expeditious and consistent fashion. (3 Measures)</b>					
Percent of formal written Advisory Opinions issued within 30 days of receipt of complete information from requester	Up is Better	100%	100%	75%	85%
Percent of advice queries received that were handled as informal rather than formal advice	Up is Better	97.8%	99.9%	99.4%	10%
Percent of formal written Advisory Opinions appealed to the Ethics Board	Up is Better	0%	No Applicable Incidents	0%	85%
<b>2 - Conduct timely and appropriate investigations and enforcement actions. (3 Measures)</b>					
Percent of investigations resolved by dismissal, negotiated disposition, or issuance of Notice of Violation within 120 days of initiation	Up is Better	84.1%	80.8%	56.2%	80%
Percent of enforcement actions that result in a sanction	Up is Better	100%	100%	100%	85%
Percent of final Ethics Board Orders issued within 45 days of close of hearing record	Up is Better	100%	100%	No Applicable Incidents	85%
<b>3 - Conduct mandatory training on the Code of Conduct and produce ethics training materials. (3 Measures)</b>					
Percent of evaluations completed by attendees with an overall positive rating of "3" or higher on the BEGA training evaluation form	Up is Better	97.5%	97.6%	97.9%	80%
Percent of agency trainings held within 90 days of agency making the request	Up is Better	100%	100%	100%	90%
Percent of off site agency training requests granted	Up is Better	100%	100%	100%	90%

### Operations

Operations Header	Operations Title	Operations Description	Type of Operations

Operations Header	Operations Title	Operations Description	Type of Operations
<b>1 - Issue ethics advice in an expeditious and consistent fashion. (1 Activity)</b>			
BOARD OF ETHICS	Render Timely Advice. Respond to requests for informal ethics advice in a substantive and useful manner.	Work with staff to ensure that ethics guidance is provided to government employees who seek ethics guidance.	Daily Service
<b>2 - Conduct timely and appropriate investigations and enforcement actions. (1 Activity)</b>			
BOARD OF ETHICS	Monitor and support ongoing investigations to ensure timely completion.	Track progress throughout the year and work with staff to ensure movement.	Daily Service
<b>3 - Conduct mandatory training on the Code of Conduct and produce ethics training materials. (1 Activity)</b>			
BOARD OF ETHICS	Increase Training Sessions. Increase number of trainings available to District government employees.	Allocate staff time to ensure availability when requests are made from client agencies.	Daily Service
<b>4 - Create and maintain a highly efficient, transparent, and responsive District government. (1 Activity)</b>			
BOARD OF ETHICS	Ensure that every request for advice or information is acknowledged within 24 hours of receipt.	Work with staff to ensure that either a substantive response is provided or, where that is not possible, an acknowledgment that the request is under review.	Daily Service

## Workload Measures

Measure	FY 2017 Actual	FY 2018 Actual	FY 2019 Actual
<b>1 - BOARD OF ETHICS (3 Measures)</b>			
Number of requests for informal ethics advice	700	805	761
Number of formal written advisory opinions issued pursuant to a request	12	1	4
Number of formal written advisory opinions issued on the agency's own initiative	2	0	0
<b>2 - BOARD OF ETHICS (11 Measures)</b>			
Number of complaints received	183	123	144
Number of preliminary investigations opened based on tips to the hotline	0	0	1
Number of preliminary investigations dismissed	24	12	31
Number of preliminary investigations opened based on information provided by means other than the hotline	33	37	56
Number of preliminary investigations converted to formal investigations	1	5	3
Number of preliminary investigations resolved with a negotiated disposition	9	4	14
Number of preliminary investigations resolved after an evidentiary hearing	0	1	0
Number of formal investigations initiated on intake	14	9	8
Number of formal investigations dismissed	12	11	4
Number of formal investigations resolved with a negotiated disposition	3	1	2
Number of formal investigations resolved after an evidentiary hearing	1	0	0
<b>3 - BOARD OF ETHICS (1 Measure)</b>			
Number of trainings conducted	129	101	80

Measure	FY 2017 Actual	FY 2018 Actual	FY 2019 Actual
<b>4 - BOARD OF ETHICS (1 Measure)</b>			
Number of formal advisory opinions issued	9	1	3

## Strategic Initiatives

Strategic Initiative Title	Strategic Initiative Description	Proposed Completion Date
No strategic initiatives found		