

D.C. Board of Ethics and Government Accountability FY2019

Agency D.C. Board of Ethics and Government Accountability

Agency Code AGO

Fiscal Year 2019

Mission The Board of Ethics and Government Accountability (BEGA) is responsible to administer and enforce the District of Columbia Code of Conduct and enforce government-wide compliance with the D.C. Freedom of Information Act and the Open Meetings Act.

2019 Strategic Objectives

| Objective Number | Strategic Objective |
|------------------|--|
| 1 | Issue Ethics advice in an expeditious and consistent fashion. |
| 2 | Conduct timely and appropriate investigations and enforcement actions. |
| 3 | Conduct mandatory training on the Code of Conduct and produce ethics training materials. |
| 4 | Create and maintain a highly efficient, transparent and responsive District government. |

2019 Key Performance Indicators

| Measure | Directionality | FY 2016 Actual | FY 2017 Actual | FY 2018 Actual | FY 2019 Target |
|---|----------------|----------------|----------------|-------------------------|----------------|
| 1 - Issue Ethics advice in an expeditious and consistent fashion. (3 Measures) | | | | | |
| Percent of formal written Advisory Opinions issued within 30 days of receipt of complete information from requester | Up is Better | 100% | 100% | 100% | 85% |
| Percent of advice queries received that were handled as informal rather than formal advice | Up is Better | Not Available | 97.8% | 99.9% | 10% |
| Percent of formal written Advisory Opinions appealed to the Ethics Board | Up is Better | Not Available | 0% | No applicable incidents | 85% |
| 2 - Conduct timely and appropriate investigations and enforcement actions. (3 Measures) | | | | | |
| Percent of investigations resolved by dismissal, negotiated disposition, or issuance of Notice of Violation within 120 days of initiation | Up is Better | 83.3% | 84.1% | 80.8% | 80% |
| Percent of enforcement actions that result in a sanction | Up is Better | Not Available | 100% | 100% | 85% |
| Percent of final Ethics Board Orders issued within 45 days of close of hearing record | Up is Better | 100% | 100% | 100% | 85% |
| 3 - Conduct mandatory training on the Code of Conduct and produce ethics training materials. (3 Measures) | | | | | |
| | Up is Better | Not Available | 97.5% | 97.6% | 80% |

| Measure | Directionality | FY 2016 Actual | FY 2017 Actual | FY 2018 Actual | FY 2019 Target |
|---|----------------|----------------|----------------|----------------|----------------|
| Percent of evaluations completed by attendees with an overall positive rating of "3" or higher on the BEGA training evaluation form | | | | | |
| Percent of agency trainings held within 90 days of agency making the request | Up is Better | Not Available | 100% | 100% | 90% |
| Percent of off site agency training requests granted | Up is Better | Not Available | 100% | 100% | 90% |

2019 Operations

| Operations Header | Operations Title | Operations Description | Type of Operations |
|--|---|--|--------------------|
| 1 - Issue Ethics advice in an expeditious and consistent fashion. (1 Activity) | | | |
| BOARD OF ETHICS | Render Timely Advice. Respond to requests for informal ethics advice in a substantive and useful manner | Work with staff to ensure that ethics guidance is provided to government employees who seek ethics guidance. | Daily Service |
| 2 - Conduct timely and appropriate investigations and enforcement actions. (1 Activity) | | | |
| BOARD OF ETHICS | Monitor and support ongoing investigations to ensure timely completion | Track progress throughout the year and work with staff to ensure movement. | Daily Service |
| 3 - Conduct mandatory training on the Code of Conduct and produce ethics training materials. (1 Activity) | | | |
| BOARD OF ETHICS | Increase Training Sessions. Increase number of trainings available to District government employees | Allocate staff time to ensure availability when requests are made from client agencies. | Daily Service |
| 4 - Create and maintain a highly efficient, transparent and responsive District government. (1 Activity) | | | |
| BOARD OF ETHICS | Ensure that every request for advice or information is acknowledged within 24 hours of receipt | Work with staff to ensure that either a substantive response is provided or, where that is not possible, an acknowledgment that the request is under review. | Daily Service |

2019 Workload Measures

| Measure | FY 2016 | FY 2017 | FY 2018 |
|---|---------|---------|---------|
| 1 - Render Timely Advice. Respond to requests for informal ethics advice in a substantive and useful manner (3 Measures) | | | |
| Number of requests for informal ethics advice | 690 | 700 | 805 |
| Number of formal written advisory opinions issued pursuant to a request | 4 | 12 | 1 |

| Measure | FY 2016 | FY 2017 | FY 2018 |
|--|---------|---------|---------|
| Number of formal written advisory opinions issued on the agency's own initiative | 2 | 2 | 0 |
| 2 - Monitor and support ongoing investigations to ensure timely completion (11 Measures) | | | |
| Number of complaints received | 138 | 183 | 123 |
| Number of preliminary investigations opened based on tips to the hotline | 2 | 0 | 0 |
| Number of preliminary investigations dismissed | 34 | 24 | 12 |
| Number of preliminary investigations opened based on information provided by means other than the hotline | 85 | 33 | 37 |
| Number of preliminary investigations converted to formal investigations | 3 | 1 | 5 |
| Number of preliminary investigations resolved with a negotiated disposition | 12 | 9 | 4 |
| Number of preliminary investigations resolved after an evidentiary hearing | 0 | 0 | 1 |
| Number of formal investigations initiated on intake | 24 | 14 | 9 |
| Number of formal investigations dismissed | 17 | 12 | 11 |
| Number of formal investigations resolved with a negotiated disposition | 1 | 3 | 1 |
| Number of formal investigations resolved after an evidentiary hearing | 0 | 1 | 0 |
| 3 - Increase Training Sessions. Increase number of trainings available to District government employees (1 Measure) | | | |
| Number of trainings conducted | 121 | 129 | 101 |
| 4 - Ensure that every request for advice or information is acknowledged within 24 hours of receipt (1 Measure) | | | |
| Number of formal advisory opinions issued | 8 | 9 | 1 |

2019 Strategic Initiatives

| Strategic Initiative Title | Strategic Initiative Description | Proposed Completion Date |
|--------------------------------|----------------------------------|--------------------------|
| No strategic initiatives found | | |