

D.C. Board of Ethics and Government Accountability FY2018

Agency D.C. Board of Ethics and Government Accountability

Agency Code AG0

Fiscal Year 2018

Mission The Board of Ethics and Government Accountability (BEGA) is responsible to administer and enforce the District of Columbia Code of Conduct and enforce government-wide compliance with the D.C. Freedom of Information Act and the Open Meetings Act.

2018 Strategic Objectives

Objective Number	Strategic Objective	# of Measures	# of Operations
1	Issue Ethics advice in an expeditious and consistent fashion.	3	1
2	Conduct timely and appropriate investigations and enforcement actions.	3	1
3	Conduct mandatory training on the Code of Conduct and produce ethics training materials.	3	1
4	Create and maintain a highly efficient, transparent and responsive District government.**	9	1
TOT		18	4

2018 Key Performance Indicators

Measure	New Measure/ Benchmark Year	FY 2014 Actual	FY 2015 Target	FY 2015 Actual	FY 2016 Target	FY 2016 Actual	FY 2017 Target	FY 2017 Actual	FY 2018 Target
1 - Issue Ethics advice in an expeditious and consistent fashion. (3 Measures)									
Percent of formal written Advisory Opinions issued within 30 days of receipt of complete information from requester.	<input type="checkbox"/>	Not available	Not available	Not Available	Not Available	100%	85%	100%	85%
Percent of advice queries received that were handled as informal rather than formal advice.	<input type="checkbox"/>	Not available	Not available	Not Available	Not Available	Not Available	10%	97.8%	10%
Percent of formal written Advisory Opinions appealed to the Ethics Board.	<input type="checkbox"/>	Not available	Not available	100%	85%	Not Available	85%	0%	85%
2 - Conduct timely and appropriate investigations and enforcement actions. (3 Measures)									
Percent of investigations resolved by dismissal, negotiated disposition, or issuance of Notice of Violation within 120 days of initiation.	<input type="checkbox"/>	Not available	Not available	Not Available	Not Available	83.3%	80%	84.1%	80%
Percent of enforcement actions that result in a sanction.	<input type="checkbox"/>	Not available	Not available	Not Available	Not Available	Not Available	85%	100%	85%

Percent of final Ethics Board Orders issued within 45 days of close of hearing record.	<input type="checkbox"/>	Not available	Not available	Not Available	Not Available	100%	85%	100%	85%
3 - Conduct mandatory training on the Code of Conduct and produce ethics training materials. (3 Measures)									
Percent of evaluations completed by attendees with an overall positive rating of "3" or higher on the BEGA training evaluation form.	<input type="checkbox"/>	Not available	Not available	Not Available	Not Available	Not Available	80%	97.5%	80%
Percent of agency trainings held within 90 days of agency making the request.	<input type="checkbox"/>	Not available	Not available	Not Available	Not Available	Not Available	90%	100%	90%
Percent of off site agency training requests granted.	<input type="checkbox"/>	Not available	Not available	Not Available	Not Available	Not Available	90%	100%	90%

**We've revisited a project to standardize District wide measures for the Objective "Create and maintain a highly efficient, transparent and responsive District government." New measures will be tracked in FY18 and FY19 and published starting in the FY19 Performance Plan.

2018 Operations

Operations Header	Operations Title	Operations Description	Type of Operations	# of Measures	# of Strategic Initiatives
1 - Issue Ethics advice in an expeditious and consistent fashion. (1 Activity)					
BOARD OF ETHICS	Render Timely Advice. Respond to requests for informal ethics advice in a substantive and useful manner.	Work with staff to ensure that ethics guidance is provided to government employees who seek ethics guidance.	Daily Service	3	0
TOT				3	0
2 - Conduct timely and appropriate investigations and enforcement actions. (1 Activity)					
BOARD OF ETHICS	Monitor and support ongoing investigations to ensure timely completion.	Track progress throughout the year and work with staff to ensure movement.	Daily Service	11	0
TOT				11	0
3 - Conduct mandatory training on the Code of Conduct and produce ethics training materials. (1 Activity)					
BOARD OF ETHICS	Increase Training Sessions. Increase number of trainings available to District government employees.	Allocate staff time to ensure availability when requests are made from client agencies.	Daily Service	1	0
TOT				1	0
4 - Create and maintain a highly efficient, transparent and responsive District government.** (1 Activity)					

BOARD OF ETHICS	Ensure that every request for advice or information is acknowledged within 24 hours of receipt.	Work with staff to ensure that either a substantive response is provided or, where that is not possible, an acknowledgment that the request is under review.	Daily Service	1	0
TOT				1	0
TOT				16	0

2018 Workload Measures

Measure	New Measure/ Benchmark Year	FY 2014 Actual	FY 2015 Actual	FY2016 Actual	FY 2017 Actual
1 - Render Timely Advice. Respond to requests for informal ethics advice in a substantive and useful manner. (3 Measures)					
Number of requests for informal ethics advice	<input type="checkbox"/>	Not available	Not Available	690	700
Number of formal written advisory opinions issued pursuant to a request	<input type="checkbox"/>	Not available	Not Available	4	12
Number of formal written advisory opinions issued on the agency's own initiative	<input type="checkbox"/>	Not available	Not Available	2	2
2 - Monitor and support ongoing investigations to ensure timely completion. (11 Measures)					
Number of complaints received	<input type="checkbox"/>	Not available	Not Available	138	183
Number of preliminary investigations opened based on tips to the hotline	<input type="checkbox"/>	Not available	Not Available	2	0
Number of preliminary investigations dismissed	<input type="checkbox"/>	Not available	Not Available	34	24
Number of preliminary investigations opened based on information provided by means other than the hotline	<input type="checkbox"/>	Not available	Not Available	85	33
Number of preliminary investigations converted to formal investigations	<input type="checkbox"/>	Not available	Not Available	3	1
Number of preliminary investigations resolved with a negotiated disposition	<input type="checkbox"/>	Not available	Not Available	12	9
Number of preliminary investigations resolved after an evidentiary hearing	<input type="checkbox"/>	Not available	Not Available	0	0
Number of formal investigations initiated on intake	<input type="checkbox"/>	Not available	Not Available	24	14
Number of formal investigations dismissed	<input type="checkbox"/>	Not available	Not Available	17	12
	<input type="checkbox"/>				

Number of formal investigations resolved with a negotiated disposition	<input type="checkbox"/>	Not available	Not Available	1	3
Number of formal investigations resolved after an evidentiary hearing	<input type="checkbox"/>	Not available	Not Available	0	1
3 - Increase Training Sessions. Increase number of trainings available to District government employees. (1 Measure)					
Number of trainings conducted	<input type="checkbox"/>	Not available	Not Available	121	129
4 - Ensure that every request for advice or information is acknowledged within 24 hours of receipt. (1 Measure)					
Number of formal advisory opinions issued	<input type="checkbox"/>	Not available	Not Available	8	9

 Initiatives

Strategic Initiative Title	Strategic Initiative Description	Proposed Completion Date
No strategic initiatives found		