■ NetApp

Reference

Setup and administration

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Permissions

Permissions summary for BlueXP

To use BlueXP features and services, you'll need to provide permissions so that BlueXP can perform operations in your cloud environment. Use the links on this page to quickly access the permissions that you need based on your goal.

AWS permissions

BlueXP requires AWS permissions for the Connector and for individual services.

Connectors

Goal	Description	Link
	The user who creates a Connector from BlueXP needs specific permissions to deploy the instance in AWS.	Set up AWS permissions
for the Connector i	When BlueXP launches the Connector, it attaches a policy to the instance that provides the permissions required to manage resources and processes in your AWS account. You need to set up the policy yourself if you launch a Connector from the AWS Marketplace, if you manually install the Connector, or if you add more AWS credentials to a Connector. You also need to ensure that the policy is up to date as new	AWS permissions for the Connector

Backup and recovery

Goal	Description	Link
Back up on- premises ONTAP clusters to Amazon S3	When activating backups on your ONTAP volumes, BlueXP backup and recovery prompts you to enter an access key and secret for an IAM user that has specific permissions.	Set up S3 permissions for backups

Cloud Volumes ONTAP

Goal	Description	Link
Provide permissions for Cloud Volumes ONTAP nodes		Learn how to set up the IAM roles yourself

Copy and sync

Goal	Description	Link
Deploy the data broker in AWS	The AWS user account that you use to deploy the data broker must have specific permissions.	Permissions required to deploy the data broker in AWS
Provide permissions for the data broker	When BlueXP copy and sync deploys the data broker, it creates an IAM role for the data broker instance. You can deploy the data broker using your own IAM role, if you prefer.	Requirements to use your own IAM role with the AWS data broker
Enable AWS access for a manually installed data broker	If you use the data broker with a sync relationship that includes an S3 bucket, then you should prepare the Linux host for AWS access. When you install the data broker, you'll need to provide AWS keys for an IAM user that has programmatic access and specific permissions.	Enabling access to AWS

FSx for ONTAP

Goal	Description	Link
Create and manage FSx for ONTAP	To create or manage an Amazon FSx for NetApp ONTAP working environment, you need to add AWS credentials to BlueXP by providing the ARN of an IAM role that gives BlueXP the permissions needed to create the working environment.	Learn how to set up AWS credentials for FSx

Tiering

Goal	Description	Link
Tier on-premises ONTAP clusters to Amazon S3	When you enable BlueXP tiering to AWS, the wizard prompts you to enter an access key and secret key. These credentials are passed to the ONTAP cluster so that ONTAP can tier data to the S3 bucket.	Set up S3 permissions for tiering

Azure permissions

BlueXP requires Azure permissions for the Connector and for individual services.

Connectors

Goal	Description	Link
Deploy the Connector from BlueXP	When you deploy a Connector from BlueXP, you need to use an Azure account or service principal that has permissions to deploy the Connector VM in Azure.	

Goal	Description	Link
Provide permissions for the Connector	When BlueXP deploys the Connector VM in Azure, it creates a custom role that provides the permissions required to manage resources and processes within that Azure subscription.	Azure permissions for the Connector
	You need to set up the custom role yourself if you launch a Connector from the marketplace, if you manually install the Connector, or if you add more Azure credentials to a Connector.	
	You also need to ensure that the policy is up to date as new permissions are added in subsequent releases.	

Copy and sync

Goal	Description	Link
Deploy the data broker in Azure	The Azure user account that you use to deploy the data broker must have the required permissions.	Permissions required to deploy the data broker in Azure

Google Cloud permissions

BlueXP requires Google Cloud permissions for the Connector and for individual services.

Connectors

Goal	Description	Link
Deploy the Connector from BlueXP	The Google Cloud user who deploys a Connector from BlueXP needs specific permissions to deploy the Connector in Google Cloud.	Set up permissions to create the Connector
Provide permissions for the Connector	The service account for the Connector VM instance must have specific permissions for day-to-day operations. You need to associate the service account with the Connector during deployment.	Set up permissions for the Connector
	You also need to ensure that the policy is up to date as new permissions are added in subsequent releases.	

Backup and recovery

Goal	Description	Link
Back up Cloud Volumes ONTAP to Google Cloud	When using BlueXP backup and recovery to back up Cloud Volumes ONTAP, you need to add permissions to the Connector in the following scenarios: • You want to use "Search & Restore" functionality • You want to use customer-managed encryption keys (CMEK)	 Permissions for Search & Restore functionality Permissions for CMEKs

Goal	Description	Link
Back up on- premises ONTAP clusters to Google Cloud	When using BlueXP backup and recovery to back up on-prem ONTAP clusters, you need to add permissions to the Connector in order to use the "Search & Restore" functionality.	Permissions for Search & Restore functionality

Cloud Volumes Service for Google Cloud

Goal	Description	Link
Discover Cloud Volumes Service for Google Cloud	BlueXP needs access to the Cloud Volumes Service API and the right permissions through a Google Cloud service account.	Set up a service account

Copy and sync

Goal	Description	Link
Deploy the data broker in Google Cloud	Ensure that the Google Cloud user who deploys the data broker has the required permissions.	Permissions required to deploy the data broker in Google Cloud
Enable Google Cloud access for a manually installed data broker	If you plan to use the data broker with a sync relationship that includes a Google Cloud Storage bucket, then you should prepare the Linux host for Google Cloud access. When you install the data broker, you'll need to provide a key for a service account that has specific permissions.	Enabling access to Google Cloud

StorageGRID permissions

BlueXP requires StorageGRID permissions for two services.

Backup and recovery

Goal	Description	Link
Back up on- premises ONTAP clusters to StorageGRID	When you prepare StorageGRID as a backup target for ONTAP clusters, BlueXP backup and recovery prompts you to enter an access key and secret for an IAM user that has specific permissions.	Prepare StorageGRID as your backup target

Tiering

Goal	Description	Link
Tier on-premises ONTAP clusters to StorageGRID	, , ,	Prepare tiering to StorageGRID

AWS permissions for the Connector

When BlueXP launches the Connector instance in AWS, it attaches a policy to the

instance that provides the Connector with permissions to manage resources and processes within that AWS account. The Connector uses the permissions to make API calls to several AWS services, including EC2, S3, CloudFormation, IAM, the Key Management Service (KMS), and more.

IAM policies

The IAM policies available below provide the permissions that a Connector needs to manage resources and processes within your public cloud environment based on your AWS region.

Note the following:

- If you create a Connector in a standard AWS region directly from BlueXP, BlueXP automatically applies policies to the Connector.
- You need to set up the policies yourself if you deploy the Connector from the AWS Marketplace, if you manually install the Connector on a Linux host, or if you want to add additional AWS credentials to BlueXP.
- In either case, you need to ensure that the policies are up to date as new permissions are added in subsequent releases. If new permissions are required, they will be listed in the release notes.
- If needed, you can restrict the IAM policies by using the IAM Condition element. AWS documentation: Condition element
- To view step-by-step instructions for using these policies, refer to the following pages:
 - · Set up permissions for an AWS Marketplace deployment
 - Set up permissions for on-prem deployments
 - · Set up permissions for restricted mode
 - Set up permissions for private mode

Select your region to view the required policies:

Standard regions

For standard regions, the permissions are spread across two policies. Two policies are required due to a maximum character size limit for managed policies in AWS.

The first policy provides permissions for the following services:

- · Amazon S3 bucket discovery
- Backup and recovery
- Classification
- Cloud Volumes ONTAP
- FSx for ONTAP
- Tiering

The second policy provides permissions for the following services:

- Edge caching
- Kubernetes

Policy #1

```
{
    "Version": "2012-10-17",
    "Statement": [
            "Action": [
                "ec2:DescribeAvailabilityZones",
                "ec2:DescribeInstances",
                "ec2:DescribeInstanceStatus",
                "ec2:RunInstances",
                "ec2:ModifyInstanceAttribute",
                "ec2:DescribeInstanceAttribute",
                "ec2:DescribeRouteTables",
                "ec2:DescribeImages",
                "ec2:CreateTags",
                "ec2:CreateVolume",
                "ec2:DescribeVolumes",
                "ec2:ModifyVolumeAttribute",
                "ec2:CreateSecurityGroup",
                "ec2:DescribeSecurityGroups",
                "ec2:RevokeSecurityGroupEgress",
                "ec2:AuthorizeSecurityGroupEgress",
                "ec2:AuthorizeSecurityGroupIngress",
                "ec2:RevokeSecurityGroupIngress",
                "ec2:CreateNetworkInterface",
                "ec2:DescribeNetworkInterfaces",
                "ec2:ModifyNetworkInterfaceAttribute",
                "ec2:DescribeSubnets",
                "ec2:DescribeVpcs",
                "ec2:DescribeDhcpOptions",
                "ec2:CreateSnapshot",
                "ec2:DescribeSnapshots",
                "ec2:GetConsoleOutput",
                "ec2:DescribeKeyPairs",
                "ec2:DescribeRegions",
                "ec2:DescribeTags",
                "ec2:AssociateIamInstanceProfile",
                "ec2:DescribeIamInstanceProfileAssociations",
                "ec2:DisassociateIamInstanceProfile",
                "ec2:CreatePlacementGroup",
                "ec2:DescribeReservedInstancesOfferings",
                "ec2:AssignPrivateIpAddresses",
                "ec2:CreateRoute",
                "ec2:DescribeVpcs",
                "ec2:ReplaceRoute",
```

```
"ec2:UnassignPrivateIpAddresses",
"ec2:DeleteSecurityGroup",
"ec2:DeleteNetworkInterface",
"ec2:DeleteSnapshot",
"ec2:DeleteTags",
"ec2:DeleteRoute",
"ec2:DeletePlacementGroup",
"ec2:DescribePlacementGroups",
"ec2:DescribeVolumesModifications",
"ec2:ModifyVolume",
"cloudformation:CreateStack",
"cloudformation:DescribeStacks",
"cloudformation:DescribeStackEvents",
"cloudformation: Validate Template",
"cloudformation:DeleteStack",
"iam:PassRole",
"iam:CreateRole",
"iam:PutRolePolicy",
"iam:CreateInstanceProfile",
"iam:AddRoleToInstanceProfile",
"iam:RemoveRoleFromInstanceProfile",
"iam:ListInstanceProfiles",
"iam:DeleteRole",
"iam:DeleteRolePolicy",
"iam:DeleteInstanceProfile",
"iam:GetRolePolicy",
"iam:GetRole",
"sts:DecodeAuthorizationMessage",
"sts:AssumeRole",
"s3:GetBucketTagging",
"s3:GetBucketLocation",
"s3:ListBucket",
"s3:CreateBucket",
"s3:GetLifecycleConfiguration",
"s3:ListBucketVersions",
"s3:GetBucketPolicyStatus",
"s3:GetBucketPublicAccessBlock",
"s3:GetBucketPolicy",
"s3:GetBucketAcl",
"s3:PutObjectTagging",
"s3:GetObjectTagging",
"s3:DeleteObject",
"s3:DeleteObjectVersion",
"s3:PutObject",
"s3:ListAllMyBuckets",
"s3:GetObject",
```

```
"s3:GetEncryptionConfiguration",
        "kms:List*",
        "kms:ReEncrypt*",
        "kms:Describe*",
        "kms:CreateGrant",
        "fsx:Describe*",
        "fsx:List*",
        "kms:GenerateDataKeyWithoutPlaintext"
    ],
    "Resource": "*",
    "Effect": "Allow",
    "Sid": "cvoServicePolicy"
},
    "Action": [
        "ec2:StartInstances",
        "ec2:StopInstances",
        "ec2:DescribeInstances",
        "ec2:DescribeInstanceStatus",
        "ec2:RunInstances",
        "ec2:TerminateInstances",
        "ec2:DescribeInstanceAttribute",
        "ec2:DescribeImages",
        "ec2:CreateTags",
        "ec2:CreateVolume",
        "ec2:CreateSecurityGroup",
        "ec2:DescribeSubnets",
        "ec2:DescribeVpcs",
        "ec2:DescribeRegions",
        "cloudformation:CreateStack",
        "cloudformation: DeleteStack",
        "cloudformation:DescribeStacks",
        "kms:List*",
        "kms:Describe*",
        "ec2:DescribeVpcEndpoints",
        "kms:ListAliases",
        "athena:StartQueryExecution",
        "athena:GetQueryResults",
        "athena:GetQueryExecution",
        "glue:GetDatabase",
        "glue:GetTable",
        "glue:CreateTable",
        "qlue:CreateDatabase",
        "glue:GetPartitions",
        "glue:BatchCreatePartition",
        "glue:BatchDeletePartition"
```

```
],
    "Resource": "*",
    "Effect": "Allow",
    "Sid": "backupPolicy"
},
    "Action": [
        "s3:GetBucketLocation",
        "s3:ListAllMyBuckets",
        "s3:ListBucket",
        "s3:CreateBucket",
        "s3:GetLifecycleConfiguration",
        "s3:PutLifecycleConfiguration",
        "s3:PutBucketTagging",
        "s3:ListBucketVersions",
        "s3:GetBucketAcl",
        "s3:PutBucketPublicAccessBlock",
        "s3:GetObject",
        "s3:PutEncryptionConfiguration",
        "s3:DeleteObject",
        "s3:DeleteObjectVersion",
        "s3:ListBucketMultipartUploads",
        "s3:PutObject",
        "s3:PutBucketAcl",
        "s3:AbortMultipartUpload",
        "s3:ListMultipartUploadParts",
        "s3:DeleteBucket",
        "s3:GetObjectVersionTagging",
        "s3:GetObjectVersionAcl",
        "s3:GetObjectRetention",
        "s3:GetObjectTagging",
        "s3:GetObjectVersion",
        "s3:PutObjectVersionTagging",
        "s3:PutObjectRetention",
        "s3:DeleteObjectTagging",
        "s3:DeleteObjectVersionTagging",
        "s3:GetBucketObjectLockConfiguration",
        "s3:GetBucketVersioning",
        "s3:PutBucketObjectLockConfiguration",
        "s3:PutBucketVersioning",
        "s3:BypassGovernanceRetention",
        "s3:PutBucketPolicy",
        "s3:PutBucketOwnershipControls"
    ],
    "Resource": [
        "arn:aws:s3:::netapp-backup-*"
```

```
],
    "Effect": "Allow",
   "Sid": "backupS3Policy"
},
   "Action": [
        "s3:CreateBucket",
        "s3:GetLifecycleConfiguration",
        "s3:PutLifecycleConfiguration",
        "s3:PutBucketTagging",
        "s3:ListBucketVersions",
        "s3:GetBucketPolicyStatus",
        "s3:GetBucketPublicAccessBlock",
        "s3:GetBucketAcl",
        "s3:GetBucketPolicy",
        "s3:PutBucketPublicAccessBlock",
        "s3:DeleteBucket"
    ],
    "Resource": [
       "arn:aws:s3:::fabric-pool*"
   ],
   "Effect": "Allow",
   "Sid": "fabricPoolS3Policy"
},
   "Action": [
      "ec2:DescribeRegions"
   "Resource": "*",
   "Effect": "Allow",
   "Sid": "fabricPoolPolicy"
},
    "Condition": {
       "StringLike": {
            "ec2:ResourceTag/netapp-adc-manager": "*"
    } ,
    "Action": [
        "ec2:StartInstances",
        "ec2:StopInstances",
       "ec2:TerminateInstances"
    ],
    "Resource": [
       "arn:aws:ec2:*:*:instance/*"
   ],
```

```
"Effect": "Allow"
    },
        "Condition": {
            "StringLike": {
               "ec2:ResourceTag/WorkingEnvironment": "*"
            }
        } ,
        "Action": [
           "ec2:StartInstances",
            "ec2:TerminateInstances",
            "ec2:AttachVolume",
            "ec2:DetachVolume",
            "ec2:StopInstances",
           "ec2:DeleteVolume"
        ],
        "Resource": [
        "arn:aws:ec2:*:*:instance/*"
        "Effect": "Allow"
    },
        "Action": [
           "ec2:AttachVolume",
           "ec2:DetachVolume"
        ],
        "Resource": [
          "arn:aws:ec2:*:*:volume/*"
        ],
       "Effect": "Allow"
    },
        "Condition": {
           "StringLike": {
               "ec2:ResourceTag/WorkingEnvironment": "*"
           }
        } ,
        "Action": [
           "ec2:DeleteVolume"
        ],
        "Resource": [
          "arn:aws:ec2:*:*:volume/*"
        ],
       "Effect": "Allow"
   }
]
```

}

Policy #2

```
{
    "Version": "2012-10-17",
    "Statement": [
            "Action": [
                "ec2:DescribeRegions",
                "eks:ListClusters",
                "eks:DescribeCluster",
                "iam:GetInstanceProfile"
            ],
            "Resource": "*",
            "Effect": "Allow",
            "Sid": "K8sServicePolicy"
        },
            "Action": [
                "cloudformation:DescribeStacks",
                "cloudwatch: GetMetricStatistics",
               "cloudformation:ListStacks"
            ],
            "Resource": "*",
            "Effect": "Allow",
            "Sid": "GFCservicePolicy"
        },
            "Condition": {
                "StringLike": {
                    "ec2:ResourceTag/GFCInstance": "*"
            },
            "Action": [
                "ec2:StartInstances",
                "ec2:TerminateInstances",
                "ec2:AttachVolume",
                "ec2:DetachVolume"
            ],
            "Resource": [
               "arn:aws:ec2:*:*:instance/*"
            "Effect": "Allow"
        },
```

```
"Action": [
                "ec2:CreateTags",
                "ec2:DeleteTags",
                "ec2:DescribeTags",
                "tag:getResources",
                "tag:getTagKeys",
                "tag:getTagValues",
                "tag:TagResources",
                "tag:UntagResources"
            ],
            "Resource": "*",
            "Effect": "Allow",
            "Sid": "tagServicePolicy"
        }
   ]
}
```

```
{
    "Version": "2012-10-17",
    "Statement": [
            "Effect": "Allow",
            "Action": [
                "iam:ListInstanceProfiles",
                "iam:CreateRole",
                "iam:DeleteRole",
                "iam:PutRolePolicy",
                "iam:CreateInstanceProfile",
                "iam: DeleteRolePolicy",
                "iam:AddRoleToInstanceProfile",
                "iam: RemoveRoleFromInstanceProfile",
                "iam:DeleteInstanceProfile",
                "ec2:ModifyVolumeAttribute",
                "sts:DecodeAuthorizationMessage",
                "ec2:DescribeImages",
                "ec2:DescribeRouteTables",
                "ec2:DescribeInstances",
                "iam:PassRole",
                "ec2:DescribeInstanceStatus",
                "ec2:RunInstances",
                "ec2:ModifyInstanceAttribute",
                "ec2:CreateTags",
                "ec2:CreateVolume",
                "ec2:DescribeVolumes",
                "ec2:DeleteVolume",
                "ec2:CreateSecurityGroup",
                "ec2:DeleteSecurityGroup",
                "ec2:DescribeSecurityGroups",
                "ec2:RevokeSecurityGroupEgress",
                "ec2:AuthorizeSecurityGroupEgress",
                "ec2:AuthorizeSecurityGroupIngress",
                "ec2:RevokeSecurityGroupIngress",
                "ec2:CreateNetworkInterface",
                "ec2:DescribeNetworkInterfaces",
                "ec2:DeleteNetworkInterface",
                "ec2:ModifyNetworkInterfaceAttribute",
                "ec2:DescribeSubnets",
                "ec2:DescribeVpcs",
                "ec2:DescribeDhcpOptions",
                "ec2:CreateSnapshot",
                "ec2:DeleteSnapshot",
```

```
"ec2:DescribeSnapshots",
        "ec2:StopInstances",
        "ec2:GetConsoleOutput",
        "ec2:DescribeKeyPairs",
        "ec2:DescribeRegions",
        "ec2:DeleteTags",
        "ec2:DescribeTags",
        "cloudformation:CreateStack",
        "cloudformation: DeleteStack",
        "cloudformation: DescribeStacks",
        "cloudformation: DescribeStackEvents",
        "cloudformation: Validate Template",
        "s3:GetObject",
        "s3:ListBucket",
        "s3:ListAllMyBuckets",
        "s3:GetBucketTagging",
        "s3:GetBucketLocation",
        "s3:CreateBucket",
        "s3:GetBucketPolicyStatus",
        "s3:GetBucketPublicAccessBlock",
        "s3:GetBucketAcl",
        "s3:GetBucketPolicy",
        "kms:List*",
        "kms:ReEncrypt*",
        "kms:Describe*",
        "kms:CreateGrant",
        "ec2: Associate Iam Instance Profile",
        "ec2:DescribeIamInstanceProfileAssociations",
        "ec2:DisassociateIamInstanceProfile",
        "ec2:DescribeInstanceAttribute",
        "ec2:CreatePlacementGroup",
        "ec2:DeletePlacementGroup"
    ],
    "Resource": "*"
},
    "Sid": "fabricPoolPolicy",
    "Effect": "Allow",
    "Action": [
        "s3:DeleteBucket",
        "s3:GetLifecycleConfiguration",
        "s3:PutLifecycleConfiguration",
        "s3:PutBucketTagging",
        "s3:ListBucketVersions",
        "s3:GetBucketPolicyStatus",
        "s3:GetBucketPublicAccessBlock",
```

```
"s3:GetBucketAcl",
        "s3:GetBucketPolicy",
        "s3:PutBucketPublicAccessBlock"
    ],
    "Resource": [
        "arn:aws-us-gov:s3:::fabric-pool*"
},
    "Sid": "backupPolicy",
    "Effect": "Allow",
    "Action": [
        "s3:DeleteBucket",
        "s3:GetLifecycleConfiguration",
        "s3:PutLifecycleConfiguration",
        "s3:PutBucketTagging",
        "s3:ListBucketVersions",
        "s3:GetObject",
        "s3:ListBucket",
        "s3:ListAllMyBuckets",
        "s3:GetBucketTagging",
        "s3:GetBucketLocation",
        "s3:GetBucketPolicyStatus",
        "s3:GetBucketPublicAccessBlock",
        "s3:GetBucketAcl",
        "s3:GetBucketPolicy",
        "s3:PutBucketPublicAccessBlock"
    ],
    "Resource": [
        "arn:aws-us-gov:s3:::netapp-backup-*"
},
    "Effect": "Allow",
    "Action": [
        "ec2:StartInstances",
        "ec2:TerminateInstances",
        "ec2:AttachVolume",
        "ec2:DetachVolume"
    ],
    "Condition": {
        "StringLike": {
            "ec2:ResourceTag/WorkingEnvironment": "*"
    },
    "Resource": [
```

```
{
    "Version": "2012-10-17",
    "Statement": [{
            "Effect": "Allow",
            "Action": [
                "ec2:DescribeInstances",
                "ec2:DescribeInstanceStatus",
                "ec2:RunInstances",
                "ec2:ModifyInstanceAttribute",
                "ec2:DescribeRouteTables",
                "ec2:DescribeImages",
                "ec2:CreateTags",
                "ec2:CreateVolume",
                "ec2:DescribeVolumes",
                "ec2:ModifyVolumeAttribute",
                "ec2:DeleteVolume",
                "ec2:CreateSecurityGroup",
                "ec2:DeleteSecurityGroup",
                "ec2:DescribeSecurityGroups",
                "ec2:RevokeSecurityGroupEgress",
                "ec2:RevokeSecurityGroupIngress",
                "ec2:AuthorizeSecurityGroupEgress",
                "ec2:AuthorizeSecurityGroupIngress",
                "ec2:CreateNetworkInterface",
                "ec2:DescribeNetworkInterfaces",
                "ec2:DeleteNetworkInterface",
                "ec2:ModifyNetworkInterfaceAttribute",
                "ec2:DescribeSubnets",
                "ec2:DescribeVpcs",
                "ec2:DescribeDhcpOptions",
                "ec2:CreateSnapshot",
                "ec2:DeleteSnapshot",
                "ec2:DescribeSnapshots",
                "ec2:GetConsoleOutput",
                "ec2:DescribeKeyPairs",
                "ec2:DescribeRegions",
                "ec2:DeleteTags",
                "ec2:DescribeTags",
                "cloudformation:CreateStack",
                "cloudformation: DeleteStack",
                "cloudformation: DescribeStacks",
                "cloudformation: DescribeStackEvents",
                "cloudformation: Validate Template",
                "iam:PassRole",
```

```
"iam:CreateRole",
        "iam:DeleteRole",
        "iam:PutRolePolicy",
        "iam:CreateInstanceProfile",
        "iam:DeleteRolePolicy",
        "iam: AddRoleToInstanceProfile",
        "iam: RemoveRoleFromInstanceProfile",
        "iam:DeleteInstanceProfile",
        "s3:GetObject",
        "s3:ListBucket",
        "s3:GetBucketTagging",
        "s3:GetBucketLocation",
        "s3:ListAllMyBuckets",
        "kms:List*",
        "kms:Describe*",
        "ec2: Associate Iam Instance Profile",
        "ec2:DescribeIamInstanceProfileAssociations",
        "ec2:DisassociateIamInstanceProfile",
        "ec2:DescribeInstanceAttribute",
        "ec2:CreatePlacementGroup",
        "ec2:DeletePlacementGroup",
        "iam:ListinstanceProfiles"
    ],
    "Resource": "*"
},
    "Sid": "fabricPoolPolicy",
    "Effect": "Allow",
    "Action": [
        "s3:DeleteBucket",
        "s3:GetLifecycleConfiguration",
        "s3:PutLifecycleConfiguration",
        "s3:PutBucketTagging",
        "s3:ListBucketVersions"
    ],
    "Resource": [
        "arn:aws-iso-b:s3:::fabric-pool*"
},
    "Effect": "Allow",
    "Action": [
        "ec2:StartInstances",
        "ec2:StopInstances",
        "ec2:TerminateInstances",
        "ec2:AttachVolume",
```

```
"ec2:DetachVolume"
            ],
            "Condition": {
                "StringLike": {
                   "ec2:ResourceTag/WorkingEnvironment": "*"
            },
            "Resource": [
              "arn:aws-iso-b:ec2:*:*:instance/*"
        },
           "Effect": "Allow",
            "Action": [
                "ec2:AttachVolume",
                "ec2:DetachVolume"
            ],
            "Resource": [
              "arn:aws-iso-b:ec2:*:*:volume/*"
       }
   ]
}
```

```
{
    "Version": "2012-10-17",
    "Statement": [{
            "Effect": "Allow",
            "Action": [
                "ec2:DescribeInstances",
                "ec2:DescribeInstanceStatus",
                "ec2:RunInstances",
                "ec2:ModifyInstanceAttribute",
                "ec2:DescribeRouteTables",
                "ec2:DescribeImages",
                "ec2:CreateTags",
                "ec2:CreateVolume",
                "ec2:DescribeVolumes",
                "ec2:ModifyVolumeAttribute",
                "ec2:DeleteVolume",
                "ec2:CreateSecurityGroup",
                "ec2:DeleteSecurityGroup",
                "ec2:DescribeSecurityGroups",
                "ec2:RevokeSecurityGroupEgress",
                "ec2:RevokeSecurityGroupIngress",
                "ec2:AuthorizeSecurityGroupEgress",
                "ec2:AuthorizeSecurityGroupIngress",
                "ec2:CreateNetworkInterface",
                "ec2:DescribeNetworkInterfaces",
                "ec2:DeleteNetworkInterface",
                "ec2:ModifyNetworkInterfaceAttribute",
                "ec2:DescribeSubnets",
                "ec2:DescribeVpcs",
                "ec2:DescribeDhcpOptions",
                "ec2:CreateSnapshot",
                "ec2:DeleteSnapshot",
                "ec2:DescribeSnapshots",
                "ec2:GetConsoleOutput",
                "ec2:DescribeKeyPairs",
                "ec2:DescribeRegions",
                "ec2:DeleteTags",
                "ec2:DescribeTags",
                "cloudformation:CreateStack",
                "cloudformation: DeleteStack",
                "cloudformation: DescribeStacks",
                "cloudformation: DescribeStackEvents",
                "cloudformation: Validate Template",
                "iam:PassRole",
```

```
"iam:CreateRole",
        "iam:DeleteRole",
        "iam:PutRolePolicy",
        "iam:CreateInstanceProfile",
        "iam:DeleteRolePolicy",
        "iam: AddRoleToInstanceProfile",
        "iam: RemoveRoleFromInstanceProfile",
        "iam:DeleteInstanceProfile",
        "s3:GetObject",
        "s3:ListBucket",
        "s3:GetBucketTagging",
        "s3:GetBucketLocation",
        "s3:ListAllMyBuckets",
        "kms:List*",
        "kms:Describe*",
        "ec2: Associate Iam Instance Profile",
        "ec2:DescribeIamInstanceProfileAssociations",
        "ec2:DisassociateIamInstanceProfile",
        "ec2:DescribeInstanceAttribute",
        "ec2:CreatePlacementGroup",
        "ec2:DeletePlacementGroup",
        "iam:ListinstanceProfiles"
    ],
    "Resource": "*"
},
    "Sid": "fabricPoolPolicy",
    "Effect": "Allow",
    "Action": [
        "s3:DeleteBucket",
        "s3:GetLifecycleConfiguration",
        "s3:PutLifecycleConfiguration",
        "s3:PutBucketTagging",
        "s3:ListBucketVersions"
    ],
    "Resource": [
        "arn:aws-iso:s3:::fabric-pool*"
},
    "Effect": "Allow",
    "Action": [
        "ec2:StartInstances",
        "ec2:StopInstances",
        "ec2:TerminateInstances",
        "ec2:AttachVolume",
```

```
"ec2:DetachVolume"
            ],
            "Condition": {
                 "StringLike": {
                     "ec2:ResourceTag/WorkingEnvironment": "*"
            },
            "Resource": [
                "arn:aws-iso:ec2:*:*:instance/*"
        },
        {
            "Effect": "Allow",
            "Action": [
                 "ec2:AttachVolume",
                 "ec2:DetachVolume"
            ],
            "Resource": [
                 "arn:aws-iso:ec2:*:*:volume/*"
        }
    ]
}
```

How the AWS permissions are used

The following sections describe how the permissions are used for each BlueXP service. This information can be helpful if your corporate policies dictate that permissions are only provided as needed.

Amazon FSx for ONTAP

The Connector makes the following API requests to manage Amazon FSx for ONTAP:

- ec2:DescribeInstances
- ec2:DescribeInstanceStatus
- ec2:DescribeInstanceAttribute
- ec2:DescribeRouteTables
- ec2:DescribeImages
- ec2:CreateTags
- ec2:DescribeVolumes
- ec2:DescribeSecurityGroups
- ec2:DescribeNetworkInterfaces
- ec2:DescribeSubnets
- ec2:DescribeVpcs

- ec2:DescribeDhcpOptions
- ec2:DescribeSnapshots
- ec2:DescribeKeyPairs
- ec2:DescribeRegions
- · ec2:DescribeTags
- · ec2:DescribelamInstanceProfileAssociations
- ec2:DescribeReservedInstancesOfferings
- ec2:DescribeVpcEndpoints
- ec2:DescribeVpcs
- ec2:DescribeVolumesModifications
- ec2:DescribePlacementGroups
- kms:List*
- kms:Describe*
- · kms:CreateGrant
- · kms:ListAliases
- · fsx:Describe*
- fsx:List*

Amazon S3 bucket discovery

The Connector makes the following API request to discover Amazon S3 buckets:

s3:GetEncryptionConfiguration

Backup and recovery

The Connector makes the following API requests to manage backups in Amazon S3:

- s3:GetBucketLocation
- s3:ListAllMyBuckets
- s3:ListBucket
- s3:CreateBucket
- s3:GetLifecycleConfiguration
- s3:PutLifecycleConfiguration
- s3:PutBucketTagging
- s3:ListBucketVersions
- s3:GetBucketAcl
- s3:PutBucketPublicAccessBlock
- · kms:List*
- · kms:Describe*
- s3:GetObject

- ec2:DescribeVpcEndpoints
- · kms:ListAliases
- s3:PutEncryptionConfiguration

The Connector makes the following API requests when you use the Search & Restore method to restore volumes and files:

- s3:CreateBucket
- s3:DeleteObject
- s3:DeleteObjectVersion
- s3:GetBucketAcl
- s3:ListBucket
- s3:ListBucketVersions
- s3:ListBucketMultipartUploads
- s3:PutObject
- s3:PutBucketAcl
- s3:PutLifecycleConfiguration
- s3:PutBucketPublicAccessBlock
- s3:AbortMultipartUpload
- s3:ListMultipartUploadParts
- · athena:StartQueryExecution
- · athena:GetQueryResults
- athena:GetQueryExecution
- athena:StopQueryExecution
- glue:CreateDatabase
- glue:CreateTable
- · glue:BatchDeletePartition

The Connector makes the following API requests when you use DataLock and Ransomware protection for your volume backups:

- s3:GetObjectVersionTagging
- s3:GetBucketObjectLockConfiguration
- s3:GetObjectVersionAcl
- s3:PutObjectTagging
- s3:DeleteObject
- s3:DeleteObjectTagging
- s3:GetObjectRetention
- s3:DeleteObjectVersionTagging
- s3:PutObject

- · s3:GetObject
- s3:PutBucketObjectLockConfiguration
- · s3:GetLifecycleConfiguration
- s3:ListBucketByTags
- s3:GetBucketTagging
- s3:DeleteObjectVersion
- s3:ListBucketVersions
- s3:ListBucket
- s3:PutBucketTagging
- s3:GetObjectTagging
- s3:PutBucketVersioning
- s3:PutObjectVersionTagging
- · s3:GetBucketVersioning
- s3:GetBucketAcl
- s3:BypassGovernanceRetention
- s3:PutObjectRetention
- s3:GetBucketLocation
- s3:GetObjectVersion

The Connector makes the following API requests if you use a different AWS account for your Cloud Volumes ONTAP backups than you're using for the source volumes:

- s3:PutBucketPolicy
- s3:PutBucketOwnershipControls

Classification

The Connector makes the following API requests to deploy the BlueXP classification instance:

- ec2:DescribeInstances
- · ec2:DescribeInstanceStatus
- ec2:RunInstances
- ec2:TerminateInstances
- ec2:CreateTags
- ec2:CreateVolume
- ec2:AttachVolume
- ec2:CreateSecurityGroup
- ec2:DeleteSecurityGroup
- ec2:DescribeSecurityGroups
- · ec2:CreateNetworkInterface
- ec2:DescribeNetworkInterfaces

- ec2:DeleteNetworkInterface
- ec2:DescribeSubnets
- ec2:DescribeVpcs
- ec2:CreateSnapshot
- ec2:DescribeRegions
- · cloudformation:CreateStack
- cloudformation:DeleteStack
- · cloudformation:DescribeStacks
- cloudformation:DescribeStackEvents
- iam:AddRoleToInstanceProfile
- ec2:AssociatelamInstanceProfile
- ec2:DescribelamInstanceProfileAssociations

The Connector makes the following API requests to scan S3 buckets when you use BlueXP classification:

- iam:AddRoleToInstanceProfile
- ec2:AssociatelamInstanceProfile
- ec2:DescribelamInstanceProfileAssociations
- s3:GetBucketTagging
- s3:GetBucketLocation
- s3:ListAllMyBuckets
- s3:ListBucket
- s3:GetBucketPolicyStatus
- s3:GetBucketPolicy
- s3:GetBucketAcl
- s3:GetObject
- · iam:GetRole
- s3:DeleteObject
- s3:DeleteObjectVersion
- s3:PutObject
- sts:AssumeRole

Cloud Volumes ONTAP

The Connector makes the following API requests to deploy and manage Cloud Volumes ONTAP in AWS.

Purpose	Action	Used for deployment?	Used for daily operations?	Used for deletion?
Create and manage IAM roles and	iam:ListInstanceProfiles	Yes	Yes	No
instance profiles for Cloud Volumes	iam:CreateRole	Yes	No	No
ONTAP instances	iam:DeleteRole	No	Yes	Yes
	iam:PutRolePolicy	Yes	No	No
	iam:CreateInstanceP rofile	Yes	No	No
	iam:DeleteRolePolic y	No	Yes	Yes
	iam:AddRoleToInsta nceProfile	Yes	No	No
	iam:RemoveRoleFro mlnstanceProfile	No	Yes	Yes
	iam:DeleteInstanceP rofile	No	Yes	Yes
	iam:PassRole	Yes	No	No
	ec2:AssociateIamIns tanceProfile	Yes	Yes	No
	ec2:DescribelamInst anceProfileAssociations	Yes	Yes	No
	ec2:DisassociateIam InstanceProfile	No	Yes	No
Decode authorization status messages	sts:DecodeAuthoriza tionMessage	Yes	Yes	No
Describe the specified images (AMIs) available to the account	ec2:DescribeImages	Yes	Yes	No
Describe the route tables in a VPC (required for HA pairs only)	ec2:DescribeRouteT ables	Yes	No	No

Purpose	Action	Used for deployment?	Used for daily operations?	Used for deletion?
Stop, start, and monitor instances	ec2:StartInstances	Yes	Yes	No
	ec2:StopInstances	Yes	Yes	No
	ec2:DescribeInstanc	Yes	Yes	No
	ec2:DescribeInstanc eStatus	Yes	Yes	No
	ec2:RunInstances	Yes	No	No
	ec2:TerminateInstan	No	No	Yes
	ec2:ModifyInstanceA ttribute	No	Yes	No
Verify that enhanced networking is enabled for supported instance types	ec2:DescribeInstanc eAttribute	No	Yes	No
Tag resources with the "WorkingEnvironme nt" and "WorkingEnvironme ntld" tags which are used for maintenance and cost allocation	ec2:CreateTags	Yes	Yes	No
Manage EBS	ec2:CreateVolume	Yes	Yes	No
volumes that Cloud Volumes ONTAP uses as back-end storage	ec2:DescribeVolume s	Yes	Yes	Yes
	ec2:ModifyVolumeAt tribute	No	Yes	Yes
	ec2:AttachVolume	Yes	Yes	No
	ec2:DeleteVolume	No	Yes	Yes
	ec2:DetachVolume	No	Yes	Yes

Purpose	Action	Used for deployment?	Used for daily operations?	Used for deletion?
Create and manage security groups for Cloud Volumes ONTAP	ec2:CreateSecurityG	Yes	No	No
	ec2:DeleteSecurityG roup	No	Yes	Yes
	ec2:DescribeSecurit yGroups	Yes	Yes	Yes
	ec2:RevokeSecurity GroupEgress	Yes	No	No
	ec2:AuthorizeSecurit yGroupEgress	Yes	No	No
	ec2:AuthorizeSecurit yGroupIngress	Yes	No	No
	ec2:RevokeSecurity GroupIngress	Yes	Yes	No
Create and manage network interfaces	ec2:CreateNetworkInterface	Yes	No	No
for Cloud Volumes ONTAP in the target subnet	ec2:DescribeNetwor kInterfaces	Yes	Yes	No
	ec2:DeleteNetworkIn terface	No	Yes	Yes
	ec2:ModifyNetworkIn terfaceAttribute	No	Yes	No
Get the list of destination subnets	ec2:DescribeSubnet s	Yes	Yes	No
and security groups	ec2:DescribeVpcs	Yes	Yes	No
Get DNS servers and the default domain name for Cloud Volumes ONTAP instances	ec2:DescribeDhcpO ptions	Yes	No	No
Take snapshots of	ec2:CreateSnapshot	Yes	Yes	No
EBS volumes for Cloud Volumes	ec2:DeleteSnapshot	No	Yes	Yes
ONTAP	ec2:DescribeSnapsh ots	No	Yes	No
Capture the Cloud Volumes ONTAP console, which is attached to AutoSupport messages	ec2:GetConsoleOutp ut	Yes	Yes	No

Purpose	Action	Used for deployment?	Used for daily operations?	Used for deletion?
Get the list of available key pairs	ec2:DescribeKeyPair s	Yes	No	No
Get the list of available AWS regions	ec2:DescribeRegion s	Yes	Yes	No
Manage tags for resources associated with	ec2:DeleteTags	No	Yes	Yes
Cloud Volumes ONTAP instances	ec2:DescribeTags	No	Yes	No
Create and manage stacks for AWS	cloudformation:Creat eStack	Yes	No	No
CloudFormation templates	cloudformation:Delet eStack	Yes	No	No
	cloudformation:Desc ribeStacks	Yes	Yes	No
	cloudformation:Desc ribeStackEvents	Yes	No	No
	cloudformation:Valid ateTemplate	Yes	No	No

Purpose	Action	Used for deployment?	Used for daily operations?	Used for deletion?
Create and manage	s3:CreateBucket	Yes	Yes	No
an S3 bucket that a Cloud Volumes	s3:DeleteBucket	No	Yes	Yes
ONTAP system uses as a capacity tier for	s3:GetLifecycleConfi guration	No	Yes	No
data tiering	s3:PutLifecycleConfi guration	No	Yes	No
	s3:PutBucketTaggin	No	Yes	No
	s3:ListBucketVersion s	No	Yes	No
	s3:GetBucketPolicyS tatus	No	Yes	No
	s3:GetBucketPublic AccessBlock	No	Yes	No
	s3:GetBucketAcl	No	Yes	No
	s3:GetBucketPolicy	No	Yes	No
	s3:PutBucketPublicA ccessBlock	No	Yes	No
	s3:GetBucketTaggin	No	Yes	No
	s3:GetBucketLocatio	No	Yes	No
	s3:ListAllMyBuckets	No	No	No
	s3:ListBucket	No	Yes	No
Enable data	kms:List*	Yes	Yes	No
encryption of Cloud Volumes ONTAP	kms:ReEncrypt*	Yes	No	No
using the AWS Key Management	kms:Describe*	Yes	Yes	No
Service (KMS)	kms:CreateGrant	Yes	Yes	No
	kms:GenerateDataK eyWithoutPlaintext	Yes	Yes	No
Create and manage an AWS spread placement group for two HA nodes and the mediator in a single AWS Availability Zone	ec2:CreatePlacemen tGroup	Yes	No	No
	ec2:DeletePlacemen tGroup	No	Yes	Yes

Purpose	Action	Used for deployment?	Used for daily operations?	Used for deletion?
Create reports	fsx:Describe*	No	Yes	No
	fsx:List*	No	Yes	No
Create and manage aggregates that support the Amazon EBS Elastic Volumes feature	ec2:DescribeVolume sModifications	No	Yes	No
	ec2:ModifyVolume	No	Yes	No
Check whether the Availability Zone is an AWS Local Zone and validates that all deployment parameters are compatible	ec2:DescribeAvailabi lityZones	Yes	No	Yes

Edge caching

The Connector makes the following API requests to deploy BlueXP edge caching instances during deployment:

- cloudformation:DescribeStacks
- · cloudwatch:GetMetricStatistics
- cloudformation:ListStacks

Kubernetes

The Connector makes the following API requests to discover and manage Amazon EKS clusters:

- ec2:DescribeRegions
- eks:ListClusters
- eks:DescribeCluster
- iam:GetInstanceProfile

Change log

As permissions are added and removed, we'll note them in the sections below.

9 May 2024

The following permissions is now required for Cloud Volumes ONTAP:

ec2:DescribeAvailabilityZones

6 June 2023

The following permission is now required for Cloud Volumes ONTAP:

kms:GenerateDataKeyWithoutPlaintext

14 February 2023

The following permission is now required for BlueXP tiering:

ec2:DescribeVpcEndpoints

Azure permissions for the Connector

When BlueXP launches the Connector VM in Azure, it attaches a custom role to the VM that provides the Connector with permissions to manage resources and processes within that Azure subscription. The Connector uses the permissions to make API calls to several Azure services.

Custom role permissions

The custom role shown below provides the permissions that a Connector needs to manage resources and processes within your Azure network.

Note the following:

- When you create a Connector directly from BlueXP, BlueXP automatically applies this custom role to the Connector.
- If you deploy the Connector from the Azure Marketplace or if you manually install the Connector on a Linux host, then you'll need to set up the custom role yourself.
- In either case, you need to ensure that the role is up to date as new permissions are added in subsequent releases. If new permissions are required, they will be listed in the release notes.
- To view step-by-step instructions for using these policies, refer to the following pages:
 - · Set up permissions for an Azure Marketplace deployment
 - · Set up permissions for on-prem deployments
 - · Set up permissions for restricted mode
 - Set up permissions for private mode

```
"Microsoft.Compute/virtualMachines/start/action",
                    "Microsoft.Compute/virtualMachines/vmSizes/read",
                    "Microsoft.Compute/virtualMachines/write",
                    "Microsoft.Compute/images/read",
                    "Microsoft.Network/locations/operationResults/read",
                    "Microsoft.Network/locations/operations/read",
                    "Microsoft.Network/networkInterfaces/read",
                    "Microsoft.Network/networkInterfaces/write",
                    "Microsoft.Network/networkInterfaces/join/action",
                    "Microsoft.Network/networkSecurityGroups/read",
                    "Microsoft.Network/networkSecurityGroups/write",
                    "Microsoft.Network/networkSecurityGroups/join/action",
                    "Microsoft.Network/virtualNetworks/read",
"Microsoft.Network/virtualNetworks/checkIpAddressAvailability/read",
                    "Microsoft.Network/virtualNetworks/subnets/read",
                    "Microsoft.Network/virtualNetworks/subnets/write",
"Microsoft.Network/virtualNetworks/subnets/virtualMachines/read",
"Microsoft.Network/virtualNetworks/virtualMachines/read",
"Microsoft.Network/virtualNetworks/subnets/join/action",
                    "Microsoft.Resources/deployments/operations/read",
                    "Microsoft.Resources/deployments/read",
                    "Microsoft.Resources/deployments/write",
                    "Microsoft.Resources/resources/read",
"Microsoft.Resources/subscriptions/operationresults/read",
"Microsoft.Resources/subscriptions/resourceGroups/delete",
"Microsoft.Resources/subscriptions/resourceGroups/read",
"Microsoft.Resources/subscriptions/resourcegroups/resources/read",
"Microsoft.Resources/subscriptions/resourceGroups/write",
                    "Microsoft.Storage/checknameavailability/read",
                    "Microsoft.Storage/operations/read",
                    "Microsoft.Storage/storageAccounts/listkeys/action",
                    "Microsoft.Storage/storageAccounts/read",
                    "Microsoft.Storage/storageAccounts/delete",
                    "Microsoft.Storage/storageAccounts/write",
"Microsoft.Storage/storageAccounts/blobServices/containers/read",
```

```
"Microsoft.Storage/storageAccounts/listAccountSas/action",
                    "Microsoft.Storage/usages/read",
                    "Microsoft.Compute/snapshots/write",
                    "Microsoft.Compute/snapshots/read",
                    "Microsoft.Compute/availabilitySets/write",
                    "Microsoft.Compute/availabilitySets/read",
                    "Microsoft.Compute/disks/beginGetAccess/action",
"Microsoft.MarketplaceOrdering/offertypes/publishers/offers/plans/agreemen
ts/read",
"Microsoft.MarketplaceOrdering/offertypes/publishers/offers/plans/agreemen
ts/write",
                    "Microsoft.Network/loadBalancers/read",
                    "Microsoft.Network/loadBalancers/write",
                    "Microsoft.Network/loadBalancers/delete",
"Microsoft.Network/loadBalancers/backendAddressPools/read",
"Microsoft.Network/loadBalancers/backendAddressPools/join/action",
"Microsoft.Network/loadBalancers/loadBalancingRules/read",
                    "Microsoft.Network/loadBalancers/probes/read",
                    "Microsoft.Network/loadBalancers/probes/join/action",
                    "Microsoft.Authorization/locks/*",
                    "Microsoft.Network/routeTables/join/action",
                    "Microsoft.NetApp/netAppAccounts/read",
                    "Microsoft.NetApp/netAppAccounts/capacityPools/read",
"Microsoft.NetApp/netAppAccounts/capacityPools/volumes/write",
"Microsoft.NetApp/netAppAccounts/capacityPools/volumes/read",
"Microsoft.NetApp/netAppAccounts/capacityPools/volumes/delete",
                    "Microsoft.Network/privateEndpoints/write",
"Microsoft.Storage/storageAccounts/PrivateEndpointConnectionsApproval/acti
on",
"Microsoft.Storage/storageAccounts/privateEndpointConnections/read",
"Microsoft.Storage/storageAccounts/managementPolicies/read",
"Microsoft.Storage/storageAccounts/managementPolicies/write",
                    "Microsoft.Network/privateEndpoints/read",
                    "Microsoft.Network/privateDnsZones/write",
```

```
"Microsoft.Network/privateDnsZones/virtualNetworkLinks/write",
                    "Microsoft.Network/virtualNetworks/join/action",
                    "Microsoft.Network/privateDnsZones/A/write",
                    "Microsoft.Network/privateDnsZones/read",
"Microsoft.Network/privateDnsZones/virtualNetworkLinks/read",
"Microsoft.Resources/deployments/operationStatuses/read",
                    "Microsoft.Insights/Metrics/Read",
                    "Microsoft.Compute/virtualMachines/extensions/write",
                    "Microsoft.Compute/virtualMachines/extensions/delete",
                    "Microsoft.Compute/virtualMachines/extensions/read",
                    "Microsoft.Compute/virtualMachines/delete",
                    "Microsoft.Network/networkInterfaces/delete",
                    "Microsoft.Network/networkSecurityGroups/delete",
                    "Microsoft.Resources/deployments/delete",
                    "Microsoft.Compute/diskEncryptionSets/read",
                    "Microsoft.Compute/snapshots/delete",
                    "Microsoft.Network/privateEndpoints/delete",
                    "Microsoft.Compute/availabilitySets/delete",
                    "Microsoft.KeyVault/vaults/read",
                    "Microsoft.KeyVault/vaults/accessPolicies/write",
                    "Microsoft.Compute/diskEncryptionSets/write",
                    "Microsoft.KeyVault/vaults/deploy/action",
                    "Microsoft.Compute/diskEncryptionSets/delete",
                    "Microsoft.Resources/tags/read",
                    "Microsoft.Resources/tags/write",
                    "Microsoft.Resources/tags/delete",
                    "Microsoft.Network/applicationSecurityGroups/write",
                    "Microsoft.Network/applicationSecurityGroups/read",
"Microsoft.Network/applicationSecurityGroups/joinIpConfiguration/action",
"Microsoft.Network/networkSecurityGroups/securityRules/write",
                    "Microsoft.Network/applicationSecurityGroups/delete",
"Microsoft.Network/networkSecurityGroups/securityRules/delete",
"Microsoft.ContainerService/managedClusters/listClusterUserCredential/acti
on",
                    "Microsoft.ContainerService/managedClusters/read",
                    "Microsoft.Synapse/workspaces/write",
                    "Microsoft.Synapse/workspaces/read",
                    "Microsoft.Synapse/workspaces/delete",
                    "Microsoft.Synapse/register/action",
```

How Azure permissions are used

The following sections describe how the permissions are used for each BlueXP service. This information can be helpful if your corporate policies dictate that permissions are only provided as needed.

Azure NetApp Files

The Connector makes the following API requests when you use BlueXP classification to scan Azure NetApp Files data:

- · Microsoft.NetApp/netAppAccounts/read
- Microsoft.NetApp/netAppAccounts/capacityPools/read
- Microsoft.NetApp/netAppAccounts/capacityPools/volumes/write
- Microsoft.NetApp/netAppAccounts/capacityPools/volumes/read
- Microsoft.NetApp/netAppAccounts/capacityPools/volumes/delete

Backup and recovery

The Connector makes the following API requests for BlueXP backup and recovery:

- Microsoft.Storage/storageAccounts/listkeys/action
- · Microsoft.Storage/storageAccounts/read
- · Microsoft.Storage/storageAccounts/write
- · Microsoft.Storage/storageAccounts/blobServices/containers/read
- Microsoft.Storage/storageAccounts/listAccountSas/action
- · Microsoft.KeyVault/vaults/read

- Microsoft.KeyVault/vaults/accessPolicies/write
- · Microsoft.Network/networkInterfaces/read
- · Microsoft.Resources/subscriptions/locations/read
- · Microsoft.Network/virtualNetworks/read
- Microsoft.Network/virtualNetworks/subnets/read
- · Microsoft.Resources/subscriptions/resourceGroups/read
- · Microsoft.Resources/subscriptions/resourcegroups/resources/read
- · Microsoft.Resources/subscriptions/resourceGroups/write
- Microsoft.Authorization/locks/*
- · Microsoft.Network/privateEndpoints/write
- · Microsoft.Network/privateEndpoints/read
- · Microsoft.Network/privateDnsZones/virtualNetworkLinks/write
- · Microsoft.Network/virtualNetworks/join/action
- Microsoft.Network/privateDnsZones/A/write
- · Microsoft.Network/privateDnsZones/read
- · Microsoft.Network/privateDnsZones/virtualNetworkLinks/read
- Microsoft.Network/networkInterfaces/delete
- Microsoft.Network/networkSecurityGroups/delete
- Microsoft.Resources/deployments/delete
- · Microsoft.ManagedIdentity/userAssignedIdentities/assign/action

The Connector makes the following API requests when you use the Search & Restore functionality:

- · Microsoft.Synapse/workspaces/write
- · Microsoft.Synapse/workspaces/read
- · Microsoft.Synapse/workspaces/delete
- · Microsoft.Synapse/register/action
- Microsoft.Synapse/checkNameAvailability/action
- Microsoft.Synapse/workspaces/operationStatuses/read
- Microsoft.Synapse/workspaces/firewallRules/read
- · Microsoft.Synapse/workspaces/replaceAllIpFirewallRules/action
- · Microsoft.Synapse/workspaces/operationResults/read
- Microsoft.Synapse/workspaces/privateEndpointConnectionsApproval/action

Classification

The Connector makes the following API requests when you use BlueXP classification.

Action	Used for set up?	Used for daily operations?
Microsoft.Compute/locations/operat ions/read	Yes	Yes
Microsoft.Compute/locations/vmSiz es/read	Yes	Yes
Microsoft.Compute/operations/read	Yes	Yes
Microsoft.Compute/virtualMachines/instanceView/read	Yes	Yes
Microsoft.Compute/virtualMachines/powerOff/action	Yes	No
Microsoft.Compute/virtualMachines/read	Yes	Yes
Microsoft.Compute/virtualMachines/restart/action	Yes	No
Microsoft.Compute/virtualMachines/ start/action	Yes	No
Microsoft.Compute/virtualMachines/ vmSizes/read	No	Yes
Microsoft.Compute/virtualMachines/write	Yes	No
Microsoft.Compute/images/read	Yes	Yes
Microsoft.Compute/disks/delete	Yes	No
Microsoft.Compute/disks/read	Yes	Yes
Microsoft.Compute/disks/write	Yes	No
Microsoft.Storage/checknameavaila bility/read	Yes	Yes
Microsoft.Storage/operations/read	Yes	Yes
Microsoft.Storage/storageAccounts/listkeys/action	Yes	No
Microsoft.Storage/storageAccounts/read	Yes	Yes
Microsoft.Storage/storageAccounts/ write	Yes	No
Microsoft.Storage/storageAccounts/blobServices/containers/read	Yes	Yes
Microsoft.Network/networkInterface s/read	Yes	Yes
Microsoft.Network/networkInterface s/write	Yes	No

Action	Used for set up?	Used for daily operations?
Microsoft.Network/networkInterface s/join/action	Yes	No
Microsoft.Network/networkSecurity Groups/read	Yes	Yes
Microsoft.Network/networkSecurity Groups/write	Yes	No
Microsoft.Resources/subscriptions/l ocations/read	Yes	Yes
Microsoft.Network/locations/operationResults/read	Yes	Yes
Microsoft.Network/locations/operations/read	Yes	Yes
Microsoft.Network/virtualNetworks/r ead	Yes	Yes
Microsoft.Network/virtualNetworks/c hecklpAddressAvailability/read	Yes	Yes
Microsoft.Network/virtualNetworks/s ubnets/read	Yes	Yes
Microsoft.Network/virtualNetworks/s ubnets/virtualMachines/read	Yes	Yes
Microsoft.Network/virtualNetworks/virtualMachines/read	Yes	Yes
Microsoft.Network/virtualNetworks/s ubnets/join/action	Yes	No
Microsoft.Network/virtualNetworks/s ubnets/write	Yes	No
Microsoft.Network/routeTables/join/action	Yes	No
Microsoft.Resources/deployments/o perations/read	Yes	Yes
Microsoft.Resources/deployments/r ead	Yes	Yes
Microsoft.Resources/deployments/ write	Yes	No
Microsoft.Resources/resources/rea	Yes	Yes
Microsoft.Resources/subscriptions/ operationresults/read	Yes	Yes
Microsoft.Resources/subscriptions/r esourceGroups/delete	Yes	No

Action	Used for set up?	Used for daily operations?
Microsoft.Resources/subscriptions/r esourceGroups/read	Yes	Yes
Microsoft.Resources/subscriptions/r esourcegroups/resources/read	Yes	Yes
Microsoft.Resources/subscriptions/r esourceGroups/write	Yes	No

Cloud Volumes ONTAP

The Connector makes the following API requests to deploy and manage Cloud Volumes ONTAP in Azure.

Purpose	Action	Used for deployment?	Used for daily operations?	Used for deletion?
Create and manage VMs	Microsoft.Compute/I ocations/operations/r ead	Yes	Yes	No
	Microsoft.Compute/I ocations/vmSizes/re ad	Yes	Yes	No
	Microsoft.Resources /subscriptions/locatio ns/read	Yes	No	No
	Microsoft.Compute/o perations/read	Yes	Yes	No
	Microsoft.Compute/v irtualMachines/insta nceView/read	Yes	Yes	No
	Microsoft.Compute/v irtualMachines/powe rOff/action	Yes	Yes	No
	Microsoft.Compute/v irtualMachines/read	Yes	Yes	No
	Microsoft.Compute/v irtualMachines/restar t/action	Yes	Yes	No
	Microsoft.Compute/v irtualMachines/start/ action	Yes	Yes	No
	Microsoft.Compute/v irtualMachines/deall ocate/action	No	Yes	Yes
	Microsoft.Compute/v irtualMachines/vmSi zes/read	No	Yes	No
	Microsoft.Compute/v irtualMachines/write	Yes	Yes	No
	Microsoft.Compute/v irtualMachines/delet e	Yes	Yes	Yes
	Microsoft.Resources /deployments/delete	Yes	No	No
Enable deployment from a VHD	Microsoft.Compute/i mages/read	Yes	No	No
	Microsoft.Compute/i mages/write	Yes	No	No

Purpose	Action	Used for deployment?	Used for daily operations?	Used for deletion?
Create and manage network interfaces in the target subnet	Microsoft.Network/n etworkInterfaces/rea d	Yes	Yes	No
	Microsoft.Network/n etworkInterfaces/writ e	Yes	Yes	No
	Microsoft.Network/n etworkInterfaces/join /action	Yes	Yes	No
	Microsoft.Network/n etworkInterfaces/del ete	Yes	Yes	No
Create and manage network security groups	Microsoft.Network/n etworkSecurityGroup s/read	Yes	Yes	No
	Microsoft.Network/n etworkSecurityGroup s/write	Yes	Yes	No
	Microsoft.Network/n etworkSecurityGroup s/join/action	Yes	No	No
	Microsoft.Network/n etworkSecurityGroup s/delete	No	Yes	Yes

Purpose	Action	Used for deployment?	Used for daily operations?	Used for deletion?
Get network information about regions, the target	Microsoft.Network/lo cations/operationRe sults/read	Yes	Yes	No
VNet and subnet, and add the VMs to VNets	Microsoft.Network/lo cations/operations/re ad	Yes	Yes	No
	Microsoft.Network/vir tualNetworks/read	Yes	No	No
	Microsoft.Network/vir tualNetworks/checkl pAddressAvailability/ read	Yes	No	No
	Microsoft.Network/vir tualNetworks/subnet s/read	Yes	Yes	No
	Microsoft.Network/vir tualNetworks/subnet s/virtualMachines/re ad	Yes	Yes	No
	Microsoft.Network/vir tualNetworks/virtual Machines/read	Yes	Yes	No
	Microsoft.Network/vir tualNetworks/subnet s/join/action	Yes	Yes	No

Purpose	Action	Used for deployment?	Used for daily operations?	Used for deletion?
Create and manage resource groups	Microsoft.Resources /deployments/operati ons/read	Yes	Yes	No
	Microsoft.Resources /deployments/read	Yes	Yes	No
	Microsoft.Resources /deployments/write	Yes	Yes	No
	Microsoft.Resources /resources/read	Yes	Yes	No
	Microsoft.Resources /subscriptions/operat ionresults/read	Yes	Yes	No
	Microsoft.Resources /subscriptions/resour ceGroups/delete	Yes	Yes	Yes
	Microsoft.Resources /subscriptions/resour ceGroups/read	No	Yes	No
	Microsoft.Resources /subscriptions/resour cegroups/resources/ read	Yes	Yes	No
	Microsoft.Resources /subscriptions/resour ceGroups/write	Yes	Yes	No

Purpose	Action	Used for deployment?	Used for daily operations?	Used for deletion?
Manage Azure storage accounts	Microsoft.Compute/d isks/read	Yes	Yes	Yes
and disks	Microsoft.Compute/d isks/write	Yes	Yes	No
	Microsoft.Compute/d isks/delete	Yes	Yes	Yes
	Microsoft.Storage/ch ecknameavailability/r ead	Yes	Yes	No
	Microsoft.Storage/op erations/read	Yes	Yes	No
	Microsoft.Storage/st orageAccounts/listke ys/action	Yes	Yes	No
	Microsoft.Storage/st orageAccounts/read	Yes	Yes	No
	Microsoft.Storage/st orageAccounts/delet e	No	Yes	Yes
	Microsoft.Storage/st orageAccounts/write	Yes	Yes	No
	Microsoft.Storage/us ages/read	No	Yes	No
Enable backups to Blob storage and encryption of storage accounts	Microsoft.Storage/st orageAccounts/blob Services/containers/r ead	Yes	Yes	No
	Microsoft.KeyVault/v aults/read	Yes	Yes	No
	Microsoft.KeyVault/v aults/accessPolicies/ write	Yes	Yes	No
Enable VNet service endpoints for data tiering	Microsoft.Network/vir tualNetworks/subnet s/write	Yes	Yes	No
	Microsoft.Network/ro uteTables/join/action	Yes	Yes	No

Purpose	Action	Used for deployment?	Used for daily operations?	Used for deletion?
Create and manage Azure managed	Microsoft.Compute/s napshots/write	Yes	Yes	No
snapshots	Microsoft.Compute/s napshots/read	Yes	Yes	No
	Microsoft.Compute/s napshots/delete	No	Yes	Yes
	Microsoft.Compute/d isks/beginGetAccess /action	No	Yes	No
Create and manage availability sets	Microsoft.Compute/a vailabilitySets/write	Yes	No	No
	Microsoft.Compute/a vailabilitySets/read	Yes	No	No
Enable programmatic deployments from the marketplace	Microsoft.Marketplac eOrdering/offertypes /publishers/offers/pla ns/agreements/read	Yes	No	No
·	Microsoft.Marketplac eOrdering/offertypes /publishers/offers/pla ns/agreements/write	Yes	Yes	No

Purpose	Action	Used for deployment?	Used for daily operations?	Used for deletion?
Manage a load balancer for HA	Microsoft.Network/lo adBalancers/read	Yes	Yes	No
pairs	Microsoft.Network/lo adBalancers/write	Yes	No	No
	Microsoft.Network/lo adBalancers/delete	No	Yes	Yes
	Microsoft.Network/lo adBalancers/backen dAddressPools/read	Yes	No	No
	Microsoft.Network/lo adBalancers/backen dAddressPools/join/ action	Yes	No	No
	Microsoft.Network/lo adBalancers/fronten dIPConfigurations/re ad	Yes	Yes	No
	Microsoft.Network/lo adBalancers/loadBal ancingRules/read	Yes	No	No
	Microsoft.Network/lo adBalancers/probes/ read	Yes	No	No
	Microsoft.Network/lo adBalancers/probes/ join/action	Yes	No	No
Enable management of locks on Azure disks	Microsoft.Authorizati on/locks/*	Yes	Yes	No

Purpose	Action	Used for deployment?	Used for daily operations?	Used for deletion?
Enable private endpoints for HA	Microsoft.Network/pr ivateEndpoints/write	Yes	Yes	No
pairs when there's no connectivity outside the subnet	Microsoft.Storage/st orageAccounts/Priva teEndpointConnectio nsApproval/action	Yes	No	No
	Microsoft.Storage/st orageAccounts/priva teEndpointConnectio ns/read	Yes	Yes	Yes
	Microsoft.Network/pr ivateEndpoints/read	Yes	Yes	Yes
	Microsoft.Network/pr ivateDnsZones/write	Yes	Yes	No
	Microsoft.Network/pr ivateDnsZones/virtu alNetworkLinks/write	Yes	Yes	No
	Microsoft.Network/vir tualNetworks/join/act ion	Yes	Yes	No
	Microsoft.Network/pr ivateDnsZones/A/wri te	Yes	Yes	No
	Microsoft.Network/pr ivateDnsZones/read	Yes	Yes	No
	Microsoft.Network/pr ivateDnsZones/virtu alNetworkLinks/read	Yes	Yes	No
Required for some VM deployments, depending on the underlying physical hardware	Microsoft.Resources /deployments/operati onStatuses/read	Yes	Yes	No
Remove resources from a resource group in case of	Microsoft.Network/pr ivateEndpoints/delet e	Yes	Yes	No
deployment failure or deletion	Microsoft.Compute/a vailabilitySets/delete	Yes	Yes	No

Purpose	Action	Used for deployment?	Used for daily operations?	Used for deletion?
Enable the use of customer-managed encryption keys	Microsoft.Compute/d iskEncryptionSets/re ad	Yes	Yes	Yes
when using the API	Microsoft.Compute/d iskEncryptionSets/wr ite	Yes	Yes	No
	Microsoft.KeyVault/v aults/deploy/action	Yes	No	No
	Microsoft.Compute/d iskEncryptionSets/de lete	Yes	Yes	Yes
Configure an application security group for an HA pair	Microsoft.Network/a pplicationSecurityGr oups/write	No	Yes	No
to isolate the HA interconnect and cluster network NICs	Microsoft.Network/a pplicationSecurityGr oups/read	No	Yes	No
	Microsoft.Network/a pplicationSecurityGr oups/joinIpConfigura tion/action	No	Yes	No
	Microsoft.Network/n etworkSecurityGroup s/securityRules/write	Yes	Yes	No
	Microsoft.Network/a pplicationSecurityGr oups/delete	No	Yes	Yes
	Microsoft.Network/n etworkSecurityGroup s/securityRules/delet e	No	Yes	Yes
Read, write, and delete tags	Microsoft.Resources /tags/read	No	Yes	No
associated with Cloud Volumes ONTAP resources	Microsoft.Resources /tags/write	Yes	Yes	No
	Microsoft.Resources /tags/delete	Yes	No	No
Encrypt storage accounts during creation	Microsoft.ManagedId entity/userAssignedI dentities/assign/actio n	Yes	Yes	No

Edge caching

The Connector makes the following API requests when you use BlueXP edge caching:

- · Microsoft.Insights/Metrics/Read
- Microsoft.Compute/virtualMachines/extensions/write
- Microsoft.Compute/virtualMachines/extensions/read
- Microsoft.Compute/virtualMachines/extensions/delete
- Microsoft.Compute/virtualMachines/delete
- Microsoft.Network/networkInterfaces/delete
- Microsoft.Network/networkSecurityGroups/delete
- · Microsoft.Resources/deployments/delete

Kubernetes

The Connector makes the following API requests to discover and manage clusters running in Azure Kubernetes Service (AKS):

- · Microsoft.Compute/virtualMachines/read
- · Microsoft.Resources/subscriptions/locations/read
- · Microsoft.Resources/subscriptions/operationresults/read
- · Microsoft.Resources/subscriptions/resourceGroups/read
- Microsoft.Resources/subscriptions/resourcegroups/resources/read
- Microsoft.ContainerService/managedClusters/read
- Microsoft.ContainerService/managedClusters/listClusterUserCredential/action

Tiering

The Connector makes the following API requests when you set up BlueXP tiering.

- · Microsoft.Storage/storageAccounts/listkeys/action
- · Microsoft.Resources/subscriptions/resourceGroups/read
- Microsoft.Resources/subscriptions/locations/read

The Connector makes the following API requests for daily operations.

- Microsoft.Storage/storageAccounts/blobServices/containers/read
- Microsoft.Storage/storageAccounts/managementPolicies/read
- Microsoft.Storage/storageAccounts/managementPolicies/write
- Microsoft.Storage/storageAccounts/read

Change log

As permissions are added and removed, we'll note them in the sections below.

5 December, 2023

The following permissions are no longer needed for BlueXP backup and recovery when backing up volume data to Azure Blob storage:

- Microsoft.Compute/virtualMachines/read
- · Microsoft.Compute/virtualMachines/start/action
- · Microsoft.Compute/virtualMachines/deallocate/action
- · Microsoft.Compute/virtualMachines/extensions/delete
- Microsoft.Compute/virtualMachines/delete

These permissions are required for other BlueXP storage services, so they'll still remain in the custom role for the Connector if you're using those other storage services.

12 May, 2023

The following permissions were added to the JSON policy because they are required for Cloud Volumes ONTAP management:

- · Microsoft.Compute/images/write
- Microsoft.Network/loadBalancers/frontendIPConfigurations/read

The following permissions were removed from the JSON policy because they are no longer required:

- Microsoft.Storage/storageAccounts/blobServices/containers/write
- Microsoft.Network/publicIPAddresses/delete

23 March, 2023

The "Microsoft.Storage/storageAccounts/delete" permission is no longer needed for BlueXP classification.

This permission is still required for Cloud Volumes ONTAP.

5 January, 2023

The following permissions were added to the JSON policy:

- Microsoft.Storage/storageAccounts/listAccountSas/action
- Microsoft.Synapse/workspaces/privateEndpointConnectionsApproval/action

These permissions are required for BlueXP backup and recovery.

Microsoft.Network/loadBalancers/backendAddressPools/join/action

This permission is required for Cloud Volumes ONTAP deployment.

Google Cloud permissions for the Connector

BlueXP requires permissions to perform actions in Google Cloud. These permissions are included in a custom role provided by NetApp. You might want to understand what BlueXP does with these permissions.

Service account permissions

The custom role shown below provides the permissions that a Connector needs to manage resources and processes within your Google Cloud network.

You'll need to apply this custom role to a service account that gets attached to the Connector VM.

- Set up Google Cloud permissions for standard mode
- Set up permissions for restricted mode
- · Set up permissions for private mode

You also need to ensure that the role is up to date as new permissions are added in subsequent releases. If new permissions are required, they will be listed in the release notes.

```
title: NetApp BlueXP
description: Permissions for the service account associated with the
Connector instance.
stage: GA
includedPermissions:
- iam.serviceAccounts.actAs
- compute.regionBackendServices.create
- compute.regionBackendServices.get
- compute.regionBackendServices.list
- compute.networks.updatePolicy
- compute.backendServices.create
- compute.addresses.list
- compute.disks.create
- compute.disks.createSnapshot
- compute.disks.delete
- compute.disks.get
- compute.disks.list
- compute.disks.setLabels
- compute.disks.use
- compute.firewalls.create
- compute.firewalls.delete
- compute.firewalls.get
- compute.firewalls.list
- compute.globalOperations.get
- compute.images.get
- compute.images.getFromFamily
- compute.images.list
- compute.images.useReadOnly
- compute.instances.addAccessConfig
- compute.instances.attachDisk
- compute.instances.create
- compute.instances.delete
- compute.instances.detachDisk
```

- compute.instances.get
- compute.instances.getSerialPortOutput
- compute.instances.list
- compute.instances.setDeletionProtection
- compute.instances.setLabels
- compute.instances.setMachineType
- compute.instances.setMetadata
- compute.instances.setTags
- compute.instances.start
- compute.instances.stop
- compute.instances.updateDisplayDevice
- compute.instanceGroups.get
- compute.addresses.get
- compute.instances.updateNetworkInterface
- compute.machineTypes.get
- compute.networks.get
- compute.networks.list
- compute.projects.get
- compute.regions.get
- compute.regions.list
- compute.snapshots.create
- compute.snapshots.delete
- compute.snapshots.get
- compute.snapshots.list
- compute.snapshots.setLabels
- compute.subnetworks.get
- compute.subnetworks.list
- compute.subnetworks.use
- compute.subnetworks.useExternalIp
- compute.zoneOperations.get
- compute.zones.get
- compute.zones.list
- compute.instances.setServiceAccount
- deploymentmanager.compositeTypes.get
- deploymentmanager.compositeTypes.list
- deploymentmanager.deployments.create
- deploymentmanager.deployments.delete
- deploymentmanager.deployments.get
- deploymentmanager.deployments.list
- deploymentmanager.manifests.get
- deploymentmanager.manifests.list
- deploymentmanager.operations.get
- deploymentmanager.operations.list
- deploymentmanager.resources.get
- deploymentmanager.resources.list
- deploymentmanager.typeProviders.get

 deploymentmanager.typeProviders.list - deploymentmanager.types.get - deploymentmanager.types.list - logging.logEntries.list - logging.privateLogEntries.list - resourcemanager.projects.get - storage.buckets.create - storage.buckets.delete - storage.buckets.get - storage.buckets.list - cloudkms.cryptoKeyVersions.useToEncrypt - cloudkms.cryptoKeys.get - cloudkms.cryptoKeys.list - cloudkms.keyRings.list - storage.buckets.update - iam.serviceAccounts.getIamPolicy - iam.serviceAccounts.list - storage.objects.get - storage.objects.list - monitoring.timeSeries.list - storage.buckets.getIamPolicy - cloudkms.cryptoKeys.getIamPolicy - cloudkms.cryptoKeys.setIamPolicy - cloudkms.keyRings.get - cloudkms.keyRings.getIamPolicy - cloudkms.keyRings.setIamPolicy

How Google Cloud permissions are used

Actions	Purpose
 compute.disks.create compute.disks.createSnapshot compute.disks.delete compute.disks.get compute.disks.list compute.disks.setLabels compute.disks.use 	To create and manage disks for Cloud Volumes ONTAP.
compute.firewalls.createcompute.firewalls.deletecompute.firewalls.getcompute.firewalls.list	To create firewall rules for Cloud Volumes ONTAP.
- compute.globalOperations.get	To get the status of operations.
compute.images.getcompute.images.getFromFamilycompute.images.listcompute.images.useReadOnly	To get images for VM instances.

Actions	Purpose
- compute.instances.attachDisk - compute.instances.detachDisk	To attach and detach disks to Cloud Volumes ONTAP.
- compute.instances.create - compute.instances.delete	To create and delete Cloud Volumes ONTAP VM instances.
- compute.instances.get	To list VM instances.
- compute.instances.getSerialPortOutput	To get console logs.
- compute.instances.list	To retrieve the list of instances in a zone.
- compute.instances.setDeletionProtection	To set deletion protection on the instance.
- compute.instances.setLabels	To add labels.
compute.instances.setMachineTypecompute.instances.setMinCpuPlatform	To change the machine type for Cloud Volumes ONTAP.
- compute.instances.setMetadata	To add metadata.
- compute.instances.setTags	To add tags for firewall rules.
compute.instances.startcompute.instances.stopcompute.instances.updateDisplayDevice	To start and stop Cloud Volumes ONTAP.
- compute.machineTypes.get	To get the numbers of cores to check qoutas.
- compute.projects.get	To support multi-projects.
 compute.snapshots.create compute.snapshots.delete compute.snapshots.get compute.snapshots.list compute.snapshots.setLabels 	To create and manage persistent disk snapshots.
 compute.networks.get compute.regions.get compute.regions.list compute.subnetworks.get compute.subnetworks.list compute.zoneOperations.get compute.zones.get compute.zones.list 	To get the networking information needed to create a new Cloud Volumes ONTAP virtual machine instance.

Actions	Purpose
 deploymentmanager.compositeTypes.get deploymentmanager.deployments.create deploymentmanager.deployments.delete deploymentmanager.deployments.get deploymentmanager.deployments.list deploymentmanager.manifests.get deploymentmanager.manifests.list deploymentmanager.operations.get deploymentmanager.operations.list deploymentmanager.resources.get deploymentmanager.resources.list deploymentmanager.typeProviders.get deploymentmanager.typeProviders.list deploymentmanager.types.get deploymentmanager.types.get deploymentmanager.types.list 	To deploy the Cloud Volumes ONTAP virtual machine instance using Google Cloud Deployment Manager.
logging.logEntries.listlogging.privateLogEntries.list	To get stack log drives.
- resourcemanager.projects.get	To support multi-projects.
storage.buckets.createstorage.buckets.deletestorage.buckets.getstorage.buckets.liststorage.buckets.update	To create and manage a Google Cloud Storage bucket for data tiering.
cloudkms.cryptoKeyVersions.useToEncryptcloudkms.cryptoKeys.getcloudkms.cryptoKeys.listcloudkms.keyRings.list	To use customer-managed encryption keys from the Cloud Key Management Service with Cloud Volumes ONTAP.
 compute.instances.setServiceAccount iam.serviceAccounts.actAs iam.serviceAccounts.getIamPolicy iam.serviceAccounts.list storage.objects.get storage.objects.list 	To set a service account on the Cloud Volumes ONTAP instance. This service account provides permissions for data tiering to a Google Cloud Storage bucket.
- compute.addresses.list	To retrieve the addresses in a region when deploying an HA pair.
compute.backendServices.createcompute.regionBackendServices.createcompute.regionBackendServices.getcompute.regionBackendServices.list	To configure a backend service for distributing traffic in an HA pair.
- compute.networks.updatePolicy	To apply firewall rules on the VPCs and subnets for an HA pair.
compute.subnetworks.usecompute.subnetworks.useExternallpcompute.instances.addAccessConfig	To enable BlueXP classification.

Actions	Purpose
- container.clusters.get - container.clusters.list	To discover Kubernetes clusters running in Google Kubernetes Engine.
compute.instanceGroups.getcompute.addresses.getcompute.instances.updateNetworkInterface	To create and manage storage VMs on Cloud Volumes ONTAP HA pairs.
- monitoring.timeSeries.list - storage.buckets.getlamPolicy	To discover information about Google Cloud Storage buckets.
 cloudkms.cryptoKeys.get cloudkms.cryptoKeys.getlamPolicy cloudkms.cryptoKeys.list cloudkms.cryptoKeys.setlamPolicy cloudkms.keyRings.get cloudkms.keyRings.getlamPolicy cloudkms.keyRings.list cloudkms.keyRings.setlamPolicy 	To select your own customer-managed keys in the BlueXP backup and recovery activation wizard instead of using the default Google-managed encryption keys.

Change log

As permissions are added and removed, we'll note them in the sections below.

6 February, 2023

The following permission was added to this policy:

• compute.instances.updateNetworkInterface

This permission is required for Cloud Volumes ONTAP.

27 January, 2023

The following permissions were added to the policy:

- cloudkms.cryptoKeys.getlamPolicy
- · cloudkms.cryptoKeys.setlamPolicy
- · cloudkms.keyRings.get
- cloudkms.keyRings.getlamPolicy
- cloudkms.keyRings.setlamPolicy

These permissions are required for BlueXP backup and recovery.

Ports

Connector security group rules in AWS

The AWS security group for the Connector requires both inbound and outbound rules. BlueXP automatically creates this security group when you create a Connector from BlueXP. You need to set up this security group for all other installation options.

Inbound rules

Protocol	Port	Purpose
SSH	22	Provides SSH access to the Connector host
HTTP	80	 Provides HTTP access from client web browsers to the local user interface Used during the Cloud Volumes ONTAP upgrade process
HTTPS	443	Provides HTTPS access from client web browsers to the local user interface, and connections from the BlueXP classification instance
TCP	3128	Provides Cloud Volumes ONTAP with internet access to send AutoSupport messages to NetApp Support. You must manually open this port after deployment. Learn how the Connector is used as a proxy for AutoSupport messages
TCP	9060, 9061	Provides the ability to enable and use BlueXP classification and BlueXP backup and recovery in Government regions.

Outbound rules

The predefined security group for the Connector opens all outbound traffic. If that is acceptable, follow the basic outbound rules. If you need more rigid rules, use the advanced outbound rules.

Basic outbound rules

The predefined security group for the Connector includes the following outbound rules.

Protocol	Port	Purpose
All TCP	All	All outbound traffic
All UDP	All	All outbound traffic

Advanced outbound rules

If you need rigid rules for outbound traffic, you can use the following information to open only those ports that are required for outbound communication by the Connector.



The source IP address is the Connector host.

Service	Prot ocol	_	Destination	Purpose
API calls and AutoSupport	HTT PS	44 3	Outbound internet and ONTAP cluster management LIF	API calls to AWS, to ONTAP, to BlueXP classification, and sending AutoSupport messages to NetApp
7		30 00	ONTAP HA mediator	Communication with the ONTAP HA mediator
	TCP	80 80	BlueXP classification	Probe to BlueXP classification instance during deployment
DNS	UDP	53	DNS	Used for DNS resolve by BlueXP

Connector security group rules in Azure

The Azure security group for the Connector requires both inbound and outbound rules. BlueXP automatically creates this security group when you create a Connector from BlueXP. You need to set up this security group for all other installation options.

Inbound rules

Protoc ol	Port	Purpose
SSH	22	Provides SSH access to the Connector host
HTTP	80	 Provides HTTP access from client web browsers to the local user interface Used during the Cloud Volumes ONTAP upgrade process
HTTP S	443	Provides HTTPS access from client web browsers to the local user interface, and connections from the BlueXP classification instance
TCP	3128	Provides Cloud Volumes ONTAP with internet access to send AutoSupport messages to NetApp Support. You must manually open this port after deployment. Learn how the Connector is used as a proxy for AutoSupport messages
TCP	9060, 9061	Provides the ability to enable and use BlueXP classification and BlueXP backup and recovery in Government regions.

Outbound rules

The predefined security group for the Connector opens all outbound traffic. If that is acceptable, follow the basic outbound rules. If you need more rigid rules, use the advanced outbound rules.

Basic outbound rules

The predefined security group for the Connector includes the following outbound rules.

Protoc ol	Por t	Purpose
All TCP	All	All outbound traffic
All UDP	All	All outbound traffic

Advanced outbound rules

If you need rigid rules for outbound traffic, you can use the following information to open only those ports that are required for outbound communication by the Connector.



The source IP address is the Connector host.

Service	Prot ocol	_	Destination	Purpose
API calls and AutoSupport	HTT PS	44 3	Outbound internet and ONTAP cluster management LIF	API calls to Azure, to ONTAP, to BlueXP classification, and sending AutoSupport messages to NetApp
API calls	TCP	80 80	BlueXP classification	Probe to BlueXP classification instance during deployment
DNS	UDP	53	DNS	Used for DNS resolve by BlueXP

Connector firewall rules in Google Cloud

The Google Cloud firewall rules for the Connector requires both inbound and outbound rules. BlueXP automatically creates this security group when you create a Connector from BlueXP. You need to set up this security group for all other installation options.

Inbound rules

Protocol	Port	Purpose
SSH	22	Provides SSH access to the Connector host
HTTP	80	 Provides HTTP access from client web browsers to the local user interface Used during the Cloud Volumes ONTAP upgrade process
HTTPS	443	Provides HTTPS access from client web browsers to the local user interface
TCP	3128	Provides Cloud Volumes ONTAP with internet access to send AutoSupport messages to NetApp Support. You must manually open this port after deployment. Learn how the Connector is used as a proxy for AutoSupport messages

Outbound rules

The predefined firewall rules for the Connector opens all outbound traffic. If that is acceptable, follow the basic outbound rules. If you need more rigid rules, use the advanced outbound rules.

Basic outbound rules

The predefined firewall rules for the Connector includes the following outbound rules.

Protocol	Port	Purpose
All TCP	All	All outbound traffic
All UDP	All	All outbound traffic

Advanced outbound rules

If you need rigid rules for outbound traffic, you can use the following information to open only those ports that are required for outbound communication by the Connector.



The source IP address is the Connector host.

Service	Prot ocol		Destination	Purpose
API calls and AutoSupport		44 3	Outbound internet and ONTAP cluster management LIF	API calls to Google Cloud, to ONTAP, to BlueXP classification, and sending AutoSupport messages to NetApp
API calls	TCP	80 80	BlueXP classification	Probe to BlueXP classification instance during deployment
DNS	UDP	53	DNS	Used for DNS resolve by BlueXP

Ports for the on-prem Connector

The Connector uses *inbound* ports when installed manually on an on-premises Linux host. You might need to refer to these ports for planning purposes.

These inbound rules apply to all BlueXP deployment models.

Protocol	Port	Purpose
HTTP	80	 Provides HTTP access from client web browsers to the local user interface Used during the Cloud Volumes ONTAP upgrade process
HTTPS	443	Provides HTTPS access from client web browsers to the local user interface

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