# **EXHIBIT 3**

## Case 2:10-cv-01385-MJP Document 247-4 Filed 06/07/11 Page 2 of 11



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NAME OF TAXABLE PARTY.	APPLICATION NO.	FILING DATE	FIRST NAMED INVENTOR	ATTORNEY DOCKET NO.	CONFIRMATION NO.
	90/011,576	03/16/2011	6034652	2988.002REX0 1567	
	27572	7590 05/20/2011		EXAM	INER
		DICKEY & PIERCE, P	L.C.		
	P.O. BOX 82 BLOOMFIEL	8 LD HILLS, MI 48303		ART UNIT	PAPER NUMBER
		,			
	12			DATE MAILED: 05/20/201	1

Please find below and/or attached an Office communication concerning this application or proceeding.



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MAILED MAY 2 0 2011

CENTRAL REEXAMINATION UNIT

#### EX PARTE REEXAMINATION COMMUNICATION TRANSMITTAL FORM

REEXAMINATION CONTROL NO. 90/011,576.

PATENT NO. 6034652.

ART UNIT 3992.

Enclosed is a copy of the latest communication from the United States Patent and Trademark Office in the above identified *ex parte* reexamination proceeding (37 CFR 1.550(f)).

Where this copy is supplied after the reply by requester, 37 CFR 1.535, or the time for filing a reply has passed, no submission on behalf of the *ex parte* reexamination requester will be acknowledged or considered (37 CFR 1.550(g)).

## Case 2:10-cv-01385-MJP Document 247-4 Filed 06/07/11 Page 4 of 11

		Control No.	Patent Under Ree	xamination		
Order Granting / Denying Request For		90/011,576	6034652			
Ex Parte Reexamination		Examiner	Art Unit			
		Deandra M. Hughes	3992			
The MAILING DATE of this communication appears on the cover sheet with the correspondence address						
	The request for ex parte reexamination filed <u>16 March 2011</u> has been considered and a determination has been made. An identification of the claims, the references relied upon, and the rationale supporting the determination are attached.					
Attachments: a) PTO-892, b) PTO/SB/08, c) Other:						
1. The request for ex parte rec	1. The request for ex parte reexamination is GRANTED.					
RESPONSE TIMES A	RESPONSE TIMES ARE SET AS FOLLOWS:					
For Patent Owner's Statement (Optional): TWO MONTHS from the mailing date of this communication (37 CFR 1.530 (b)). <b>EXTENSIONS OF TIME ARE GOVERNED BY 37 CFR 1.550(c).</b>						
For Requester's Reply (optional): TWO MONTHS from the <b>date of service</b> of any timely filed Patent Owner's Statement (37 CFR 1.535), <b>NO EXTENSION OF THIS TIME PERIOD IS PERMITTED.</b> If Patent Owner does not file a timely statement under 37 CFR 1.530(b), then no reply by requester is permitted.						
2. The request for ex parte reexamination is DENIED.						
This decision is not appealable (35 U.S.C. 303(c)). Requester may seek review by petition to the Commissioner under 37 CFR 1.181 within ONE MONTH from the mailing date of this communication (37 CFR 1.515(c)). EXTENSION OF TIME TO FILE SUCH A PETITION UNDER 37 CFR 1.181 ARE AVAILABLE ONLY BY PETITION TO SUSPEND OR WAIVE THE REGULATIONS UNDER 37 CFR 1.183.						
In due course, a refund under 37 CFR 1.26 ( c ) will be made to requester:						
a) Dy Treasury check or,						
b) Dy credit to Deposit Account No, or						
c) Dy credit to a credit card account, unless otherwise notified (35 U.S.C. 303(c)).						
/Deandra M Hughes/			and the state of t			
Primary Examiner, Art Unit 3992						
Cc Requester ( if third party requester ) U.S. Patent and Trademark Office PTOL-471 (Rev. 08-06)	Office Action In	Ex Parte Reexamination	Part of Pape	er No. 20110505		

Page 2

Control Number: 90/011,576

Art Unit: 3992

## ORDER GRANTING REQUEST FOR EX PARTE REEXAMINATION

1. Substantial new questions of patentability ("SNQ") affecting <u>claims 4-8, 11, and</u>

15-18 of USP 6,034,652 ("'652 patent") have been proposed by the third party

requester ("3PR") in the *ex parte* reexamination request filed Mar. 16, 2011 ("Request").

### References Cited Proceeding

- 2. USP 5,748,190 to Kjorsvik filed Sep. 5, 1995. ("Kjorsvik")
- USP 5,781,894 to Petrecca filed Aug. 11, 1995. ("Petrecca")
- 4. USP 5,913,040 to Rakavy filed Aug. 22, 1995. ("Rakavy")
- 5. USP 5,740,549 to Reilly filed Jun. 12, 1995. ("Reilly")
- 6. USP 5,796,945 to Tarabella filed Jun. 7, 1995. ("Tarabella")
- 7. Roberts, Jason. <u>Director Demystified</u>. Peachpit Press. 1995. ("Roberts")
- 8. Salm, Walter. "Buying a Real Computer Monitor". Popular Electronics. October 1984. pp. 102-103, 132, and 134. ("Salm")

#### Prosecution History

- 9. The prosecution history of the application (08/620,641) which became the '652 patent is presented below.
  - On Mar. 22, 1996, claims 1-67 were presented for examination.
  - On Feb. 3, 1998, claims 1-67 were rejected.
    - <u>Claims 1-19, 21-31, 33-46, and 48-67</u> were rejected as being anticipated by Judson. (USP 5,572,643)
    - Claims 19, 21-22, 46, and 48 were rejected as being anticipated by Pirani. (USP 5,105,184)
    - Claims 19, 20, 25-28, 32, 41-47 were rejected as being anticipated by PointCast as described in the Feb. 13, 1996 Wall Street Journal article by Joan E. Rigdon.

Control Number: 90/011,576

Art Unit: 3992

 Claims 1-20, 22-47, and 49-67 were rejected as being anticipated by Schena (WO 96/30864).

Page 3

- On July 9, 1998, applicant filed remarks and amended claims 1 and 66.
- On Sept. 22, 1998, claims 1-67 were finally rejected.
- On Jan. 22, 1999, applicant filed a continued prosecution application.
- On Feb. 10, 1999, claims 1-67 were again finally rejected.
- On Jun. 14, 1999, applicant filed remarks and amended claims 49, 53, and 54.
- On Jul. 23, 1999, applicant's arguments of Jun. 14, 1999 were reconsidered and, inter alia, claim 13, 20, 32-40, 47, 51-54, 58, and 65 were amended was objected to as being allowable if rewritten in independent form to include all of the limitations of the base claim and the intervening claims. (see pg. 4) The Examiner did not provide a specific reason for indicting allowable subject matter other than the Judson, Pirani, PointCast, and Schena did not teach these elements.
- On Aug. 12, 1999, applicant filed after-final amendments cancelling <u>claims</u> 1-12, 14-19, 21-31, 41-46, 48-50, 55-57, 59-64, and 66-67. <u>Claims</u> 13, 20, 32-40, 47, 51-54, 58, and 65 were amended to included all of the limitations of the base claim and the intervening claims.
- On Aug. 26, 1999, <u>claims 13, 20, 32-40, 47, 51-54, 58, and 65</u> were allowed.
- On Nov. 13, 2001, dependent <u>claims 19-33</u> were added via a certificate of correction.
- 10. Since the prosecution history does not make clear the reasons for indicating allowability, the Examiner considers any teaching as to a method or system for engaging the peripheral attention of a person in the vicinity of a display device such as the display monitor of a computer to form the basis of an SNQ as to claims 4-8, 11, and 15-18.

Control Number: 90/011,576

Art Unit: 3992

#### Decision

11. Petrecca discloses an advertising system to be used with personal computers which enables sponsors to present advertisements to a user during periods of waiting-time which are inherent in normal computer use. (Abstract) Petrecca was not before the Examiner during the prosecution of the application that became the '652 patent. However, there is a substantial likelihood that a reasonable examiner would consider this teaching of Petrecca important in deciding whether claims 4-8, 11, and 15-18 are patentable. Accordingly, Petrecca raises a substantial new question of patentability as to claims 4-8, 11, and 15-18 which have not been decided in a previous examination.

#### Conclusion

- 12. For the reasons set forth above, <u>claims 4-8, 11, and 15-18</u> of the '652 patent will be reexamined.
- 13. All correspondence relating to this ex parte reexamination proceeding should be directed:

By Mail to:

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Attn: Central Reexamination Unit

Commissioner for Patents

United States Patent & Trademark Office

P.O. Box 1450

Alexandria, VA 22313-1450

By FAX to:

(571) 273-9900

Central Reexamination Unit

By hand:

Customer Service Window

Randolph Building 401 Dulany Street Alexandria, VA 22314

Registered users of EFS-Web may alternatively submit such correspondence via

Page 4

Control Number: 90/011,576

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Page 5

Art Unit: 3992

the electronic filing system EFS-Web, at:

https://sportal.uspto.gov/authenticate/authenticateuserlocalepf.html.

EFS-Web offers the benefit of quick submission to the particular area of the Office that needs to act on the correspondence. Also, EFS-Web submissions are "soft scanned" (i.e., electronically uploaded) directly into the official file for the reexamination proceeding, which offers parties the opportunity to review the content of their submissions after the "soft scanning" process is complete.

Any inquiry concerning this communication or earlier communications from the examiner, or as to the status of this proceeding, should be directed to the Central Reexamination Unit at telephone number (571) 272-7705.

Signed:

/Deandra M. Hughes/

Primary Examiner, AU3992

Conferees:

/A. J. G./ Examiner, Art Unit 3992

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Substitute for form 1449/PTO				Complete if Known		
INFORMATION DISCLOSURE				Control Number 90/011,576	(to be assigned) (Reexamination of U.S. Patent No. 6,034,652; Scued: March 7, 2000)	
STATEMENT BY APPLICANT (Use as many sheets as necessary)				Filing Date	therewith) Mar. 16, 2011	
				Inventors	FREIBERGER et al.	
			and the bearings	Art Unit	(to be assigned) 3992	
				Examiner Name	(to be assigned) D. Hughes	
Sheet	TI	of	1	Attorney Docket Number	2988,002REX0	

Document Number Number-Kind Code <sup>2 (ff (Joseph))</sup> 5,748,190 5,781,894 5,913,040	Publication Date MM/DD/YYYY 05/05/1998 07/14/1998	Name of Patentee or Applicant of Cited Document Kjorsvik	Pages, Columns, Lin Where Relevant Passs or Relevant Figures A	ages
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This collection of information is required by 37 CFR 1.97 and 1.98. The information is required to obtain or retain a benefit by the public which is to file (and by the USPTO to process) an application. Confidentiality is governed by 35 U.S.C. 122 and 37 CFR 1.14. This collection is estimated to take 2 hours to complete, including gathering, preparing, and submitting the completed application form to the USPTO. Time will vary depending upon the individual case. Any comments on the amount of time you require to complete this form and/or suggestions for reducing this burden, should be sent to the Chief information Officer, U.S. Patent and Trademark Office, P.O. Box 1450, Alexandria, VA 22313-1450. DO NOT SEND FEES OR COMPLETED FORMS TO THIS ADDRESS. SEND TO: Commissioner for Patents, P.O. Box 1450, Alexandria, VA 22313-1450.

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Under the Paperwork Reduction Act of 1995, no persons are required to respond to a collection of information unless it contains a valid OMB control number Substitute for form 1449/PTO Complete if Known (to be assigned) Control Number 90/011, 576 (Reexamination of U.S. Patent No. FIRST SUPPLEMENTAL 6,034,652; Issued: March 7, 2000) (herewith) Mar. 16, 2011 INFORMATION DISCLOSURE Filing Date STATEMENT BY APPLICANT Inventors FREIBERGER et al. (to be assigned) 3992 (Use as many sheets as necessary) Art Unit (to be assigned) D. Hughes Examiner Name Sheet 2988.002REX0 1 of 1 Attorney Docket Number NON PATENT LITERATURE DOCUMENTS Include name of the author (in CAPITAL LETTERS), title of the article (when appropriate), title of Examiner Cite 7-2 Initials\* No.1 the item (book, magazine, journal, serial, symposium, catalog, etc.), date, page(s), volume-issue number(s), publisher, city and/or country where published NPL1 Roberts, Jason, "Director Demystified," Peachpit Press, 1995. NPL2 NPL3 NPL4 NPL5 NPL6 NPL7 NPL8 NPL9 NPL10 1335930\_1.DOC Date Examiner /Deandra Hughes/ (05/05/2011) Considered Signature

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